



# ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2017

OF THE CONDITION AND AFFAIRS OF THE

## NYLIFE Insurance Company of Arizona

NAIC Group Code 0826, 0826 NAIC Company Code 81353 Employer's ID No. 52-1530175  
(Current Period) (Prior Period)

Organized under the Laws of Arizona, State of Domicile or Port of Entry AZ,

Country of Domicile United States of America

INCORPORATED/ORGANIZED JULY 23, 1987

COMMENCED BUSINESS DECEMBER 30, 1987

Statutory Home Office .....	14850 N. Scottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254..
Main Administrative Office.....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Mail Address .....	51 Madison Avenue, New York, NY, U.S. 10010.....
Primary Location of Books and Records .....	51 Madison Avenue, New York, NY, U.S. 10010.....
.....	212-576-7000.....
Internet Website address .....	www.newyorklife.com.....
Statutory Statement Contact Person and Phone Number....	Peter Decandia.....212-576-8249.....
Statutory Statement Contact E-Mail Address .....	statement_contact@newyorklife.com .....
Statutory Statement Contact Fax Number .....	212-252-5699.....

### EXECUTIVE OFFICERS

**ALEXANDER COOK #**  
*Chairman of the Board, Chief Executive Officer and President*

**BARBARA JOAN MCINERNEY**  
*Senior Vice President and Chief Compliance Officer*

**STEPHEN MCNAMARA #**  
*Vice President and Actuary*

**MARY TERESA HALLAHAN**  
*Vice President and Treasurer*

**THOMAS FRANCIS ENGLISH**  
*Senior Vice President and Chief Legal Officer*

**ARTHUR HARUTYUN SETER**  
*Senior Vice President and Chief Investment Officer*

**PETER DECANDIA**  
*Corporate Vice President and Controller*

**COLLEEN ANNE MEADE**  
*Associate Legal Officer and Secretary*

### DIRECTORS

ALEXANDER COOK #

STEPHEN MCNAMARA #

MATTHEW WION #

ROBERT MICHAEL GARDNER

THOMAS JOHN GIRARD

ERIC LYNN #

State of New York }  
County of New York } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures Manual* except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

COLLEEN ANNE MEADE  
Associate Legal Officer and Secretary

MARY TERESA HALLAHAN  
Vice President and Treasurer

PETER DECANDIA  
Corporate Vice President and Controller

**STEPHEN COSTANZA**  
NOTARY PUBLIC-STATE OF NEW YORK  
No. 01CO6327901  
Qualified in Westchester County  
My Commission Expires July 20, 2019

Subscribed and sworn to before me this

21 day of February 2018

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number ...  
2. Date filed ...  
3. Number of pages attached ...

# Officers and Directors who did not occupy the indicated position in the previous annual statement

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**ASSETS**

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	146,403,003		146,403,003	148,582,826
2. Stocks (Schedule D):				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ .....				
encumbrances) .....				
4.2 Properties held for the production of income (less				
\$ .....				
encumbrances) .....				
4.3 Properties held for sale (less \$ .....				
encumbrances) .....				
5. Cash (\$ .....				
(1,749,670) , Schedule E - Part 1), cash equivalents				
(\$ .....				
4,938,805 , Schedule E - Part 2) and short-term				
investments (\$ .....				
, Schedule DA) .....	3,189,135		3,189,135	3,818,034
6. Contract loans (including \$ .....				
premium notes) .....				
7. Derivatives (Schedule DB) .....				
8. Other invested assets (Schedule BA) .....				
9. Receivables for securities .....	5,833		5,833	1,217
10. Securities lending reinvested collateral assets (Schedule DL) .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	149,597,971		149,597,971	152,402,077
13. Title plants less \$ .....				
charged off (for Title insurers				
only) .....				
14. Investment income due and accrued .....	1,003,488		1,003,488	1,019,949
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,257,841		1,257,841	(39,433)
15.2 Deferred premiums and agents' balances and installments booked but				
deferred and not yet due (including \$ .....				
earned but unbilled premiums) .....	21,364,378	364,270	21,000,108	22,954,536
15.3 Accrued retrospective premiums (\$ .....				
) and				
contracts subject to redetermination (\$ .....				
) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,314,000		1,314,000	387,500
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....	111,802		111,802	83,200
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	780,942		780,942	622,327
18.2 Net deferred tax asset .....	2,851,305	794,004	2,057,301	5,473,781
19. Guaranty funds receivable or on deposit .....	33,475		33,475	21,570
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets				
(\$ .....				
) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	21,363		21,363	
24. Health care (\$ .....				
) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	469,690	469,690		
26. Total assets excluding Separate Accounts, Segregated Accounts and				
Protected Cell Accounts (Lines 12 to 25) .....	178,806,255	1,627,964	177,178,291	182,925,507
27. From Separate Accounts, Segregated Accounts and Protected Cell				
Accounts .....				
28. Total (Lines 26 and 27) .....	178,806,255	1,627,964	177,178,291	182,925,507
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above) .....				
2501. Miscellaneous .....	271,109	271,109		
2502. Disallowed IMR .....	198,581	198,581		
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	469,690	469,690		

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$ .....59,478,352 (Exh. 5, Line 9999999) less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	59,478,352	70,334,691
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ ..... Modco Reserve) .....	1,712,944	2,293,502
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11) .....	849,233	2,901,340
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11) .....		
5. Policyholders' dividends \$ ..... and coupons \$ ..... due and unpaid (Exhibit 4, Line 10) .....		
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ ..... Modco) .....		
6.2 Dividends not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....		
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14) .....	103,021	96,822
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ .....1,576,362 ceded .....	1,576,362	
9.4 Interest maintenance reserve (IMR, Line 6) .....		
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... accident and health \$ ..... and deposit-type contract funds \$ .....		
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 6) .....	25,485	99,162
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 5) .....		
15.1 Current federal and foreign income taxes, including \$ ..... on realized capital gains (losses) .....		
15.2 Net deferred tax liability .....		
16. Unearned investment income .....		
17. Amounts withheld or retained by company as agent or trustee .....	2,019	2,019
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....	64,951	46,269
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7) .....	475,074	494,291
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....	2,570,738	2,635,474
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....		
24.09 Payable for securities .....		
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	16,849	89,013
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	66,875,028	78,992,583
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	66,875,028	78,992,583
29. Common capital stock .....	2,500,000	2,500,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....		
32. Surplus notes .....		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1) .....	98,500,000	98,500,000
34. Aggregate write-ins for special surplus funds .....		
35. Unassigned funds (surplus) .....	9,303,263	2,932,924
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	107,803,263	101,432,924
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55) .....	110,303,263	103,932,924
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	177,178,291	182,925,507
<b>DETAILS OF WRITE-INS</b>		
2501. Liability for interest on claims .....	16,849	89,013
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	16,849	89,013
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above) .....		
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....		

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SUMMARY OF OPERATIONS**

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11) .....	14,794,902	18,496,973
2. Considerations for supplementary contracts with life contingencies .....		
3. Net investment income (Exhibit of Net Investment Income, Line 17) .....	4,496,365	5,255,449
4. Amortization of Interest Maintenance Reserve (IMR, Line 5) .....	(29,730)	(24,170)
5. Separate Accounts net gain from operations excluding unrealized gains or losses .....		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1) .....	993,336	1,026,846
7. Reserve adjustments on reinsurance ceded .....		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts .....		
8.2 Charges and fees for deposit-type contracts .....		
8.3 Aggregate write-ins for miscellaneous income .....	5,849	249
9. Total (Lines 1 to 8.3) .....	20,260,722	24,755,347
10. Death benefits .....	11,001,340	12,702,056
11. Matured endowments (excluding guaranteed annual pure endowments) .....		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8) .....		
13. Disability benefits and benefits under accident and health contracts .....	823,190	357,878
14. Coupons, guaranteed annual pure endowments and similar benefits .....		
15. Surrender benefits and withdrawals for life contracts .....	2	
16. Group conversions .....	2,976,110	4,420,225
17. Interest and adjustments on contract or deposit-type contract funds .....	(23,368)	19,278
18. Payments on supplementary contracts with life contingencies .....		
19. Increase in aggregate reserves for life and accident and health contracts .....	(10,856,338)	(18,573,018)
20. Totals (Lines 10 to 19) .....	3,920,936	(1,073,581)
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1) .....	22	36,883
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1) .....		
23. General insurance expenses (Exhibit 2, Line 10, Cols. 1, 2, 3 and 4) .....	3,745,720	2,570,448
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3) .....	1,060,999	1,181,137
25. Increase in loading on deferred and uncollected premiums .....	(79,924)	1,542,368
26. Net transfers to or (from) Separate Accounts net of reinsurance .....		
27. Aggregate write-ins for deductions .....	75	2,973
28. Totals (Lines 20 to 27) .....	8,647,828	4,260,228
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28) .....	11,612,894	20,495,119
30. Dividends to policyholders .....		
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30) .....	11,612,894	20,495,119
32. Federal and foreign income taxes incurred (excluding tax on capital gains) .....	1,732,742	8,127,107
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32) .....	9,880,152	12,368,012
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ .....31,194 (excluding taxes of \$ .....1,448 transferred to the IMR) .....	22,319	(1,425)
35. Net income (Line 33 plus Line 34) .....	9,902,471	12,366,587
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2) .....	103,932,924	91,176,387
37. Net income (Line 35) .....	9,902,471	12,366,587
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....(5,178) .....	5,178	
39. Change in net unrealized foreign exchange capital gain (loss) .....		
40. Change in net deferred income tax .....	(4,200,082)	163,393
41. Change in nonadmitted assets .....	643,555	(217,528)
42. Change in liability for reinsurance in unauthorized and certified companies .....		
43. Change in reserve on account of change in valuation basis, (increase) or decrease .....		
44. Change in asset valuation reserve .....	19,217	(10,915)
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1) .....		
46. Surplus (contributed to) withdrawn from Separate Accounts during period .....		
47. Other changes in surplus in Separate Accounts Statement .....		
48. Change in surplus notes .....		
49. Cumulative effect of changes in accounting principles .....		
50. Capital changes:		
50.1 Paid in .....		
50.2 Transferred from surplus (Stock Dividend) .....		
50.3 Transferred to surplus .....		
51. Surplus adjustment:		
51.1 Paid in .....		
51.2 Transferred to capital (Stock Dividend) .....		
51.3 Transferred from capital .....		
51.4 Change in surplus as a result of reinsurance .....		
52. Dividends to stockholders .....		
53. Aggregate write-ins for gains and losses in surplus .....		455,000
54. Net change in capital and surplus for the year (Lines 37 through 53) .....	6,370,339	12,756,537
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38) .....	110,303,263	103,932,924
<b>DETAILS OF WRITE-INS</b>		
08.301. Sundries .....	5,849	249
08.302. ....		
08.303. ....		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page .....		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above) .....	5,849	249
2701. Fines, penalties and fees from regulatory authorities .....	75	2,973
2702. ....		
2703. ....		
2798. Summary of remaining write-ins for Line 27 from overflow page .....		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above) .....	75	2,973
5301. Prior period correction .....		455,000
5302. ....		
5303. ....		
5398. Summary of remaining write-ins for Line 53 from overflow page .....		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above) .....		455,000

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**CASH FLOW**

	1	2
	Current Year	Prior Year
<b>Cash from Operations</b>		
1. Premiums collected net of reinsurance .....	17,029,859	20,665,982
2. Net investment income .....	4,663,204	5,495,177
3. Miscellaneous income .....	984,688	1,036,300
4. Total (Lines 1 through 3) .....	22,677,751	27,197,459
5. Benefit and loss related payments .....	16,826,708	16,953,727
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....		
7. Commissions, expenses paid and aggregate write-ins for deductions .....	5,914,969	4,412,370
8. Dividends paid to policyholders .....		
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	1,924,000	13,557,229
10. Total (Lines 5 through 9) .....	24,665,677	34,923,326
11. Net cash from operations (Line 4 minus Line 10) .....	(1,987,926)	(7,725,867)
<b>Cash from Investments</b>		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds .....	23,230,404	43,303,716
12.2 Stocks .....		
12.3 Mortgage loans .....		
12.4 Real estate .....		
12.5 Other invested assets .....		1,074
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	319	3,365
12.7 Miscellaneous proceeds .....		
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	23,230,723	43,308,155
13. Cost of investments acquired (long-term only):		
13.1 Bonds .....	20,996,793	39,482,039
13.2 Stocks .....		
13.3 Mortgage loans .....		
13.4 Real estate .....		
13.5 Other invested assets .....		
13.6 Miscellaneous applications .....		5,126,944
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	20,996,793	44,608,983
14. Net increase (decrease) in contract loans and premium notes .....		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	2,233,930	(1,300,828)
<b>Cash from Financing and Miscellaneous Sources</b>		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes .....		
16.2 Capital and paid in surplus, less treasury stock .....		
16.3 Borrowed funds .....		
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	(582,895)	(653,276)
16.5 Dividends to stockholders .....		
16.6 Other cash provided (applied) .....	(292,008)	331,858
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	(874,903)	(321,418)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(628,899)	(9,348,114)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year .....	3,818,034	13,166,148
19.2 End of year (Line 18 plus Line 19.1) .....	3,189,135	3,818,034
<b>Note: Supplemental disclosures of cash flow information for non-cash transactions:</b>		
20.0001. Capitalized interest .....	163,209	209,053

**ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
ANALYSIS OF OPERATIONS BY LINES OF BUSINESS**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health			12 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (a)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other	
1. Premiums and annuity considerations for life and accident and health contracts	14,794,902		14,794,902									
2. Considerations for supplementary contracts with life contingencies												
3. Net investment income	4,496,365		4,496,365									
4. Amortization of Interest Maintenance Reserve (IMR)	(29,730)		(29,730)									
5. Separate Accounts net gain from operations excluding unrealized gains or losses												
6. Commissions and expense allowances on reinsurance ceded	993,336		993,336									
7. Reserve adjustments on reinsurance ceded												
8. Miscellaneous Income:												
8.1 Fees associated with income from investment management, administration and contract guarantees from Separate Accounts												
8.2 Charges and fees for deposit-type contracts												
8.3 Aggregate write-ins for miscellaneous income	5,849		5,849									
9. Totals (Lines 1 to 8.3)	20,260,722		20,260,722									
10. Death benefits	11,001,340		11,001,340									
11. Matured endowments (excluding guaranteed annual pure endowments)												
12. Annuity benefits												
13. Disability benefits and benefits under accident and health contracts	823,190		823,190									
14. Coupons, guaranteed annual pure endowments and similar benefits												
15. Surrender benefits and withdrawals for life contracts	2		2									
16. Group conversions	2,976,110		2,976,110									
17. Interest and adjustments on contract or deposit-type contract funds	(23,368)		(25,708)		2,340							
18. Payments on supplementary contracts with life contingencies												
19. Increase in aggregate reserves for life and accident and health contracts	(10,856,338)		(10,856,338)									
20. Totals (Lines 10 to 19)	3,920,936		3,918,596		2,340							
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	22		22									
22. Commissions and expense allowances on reinsurance assumed												
23. General insurance expenses	3,745,720		3,745,720									
24. Insurance taxes, licenses and fees, excluding federal income taxes	1,060,999		1,060,999									
25. Increase in loading on deferred and uncollected premiums	(79,924)		(79,924)									
26. Net transfers to or (from) Separate Accounts net of reinsurance												
27. Aggregate write-ins for deductions	75		75									
28. Totals (Lines 20 to 27)	8,647,828		8,645,488		2,340							
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	11,612,894		11,615,234		(2,340)							
30. Dividends to policyholders												
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	11,612,894		11,615,234		(2,340)							
32. Federal income taxes incurred (excluding tax on capital gains)	1,732,742		1,732,742									
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	9,880,152		9,882,492		(2,340)							
<b>DETAILS OF WRITE-INS</b>												
08.301. Sundries	5,849		5,849									
08.302.												
08.303.												
08.398. Summary of remaining write-ins for Line 8.3 from overflow page												
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	5,849		5,849									
2701. Fines, penalties and fees from regulatory authorities	75		75									
2702.												
2703.												
2798. Summary of remaining write-ins for Line 27 from overflow page												
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	75		75									

(a) Includes the following amounts for FEGLI/SGLI: Line 1 \_\_\_\_\_, Line 10 \_\_\_\_\_, Line 16 \_\_\_\_\_, Line 23 \_\_\_\_\_, Line 24 \_\_\_\_\_

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group	
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance	8 Annuities
Involving Life or Disability Contingencies (Reserves)								
(Net of Reinsurance Ceded)								
1. Reserve December 31, prior year .....	70,334,691		70,334,691					
2. Tabular net premiums or considerations .....	26,092,831		26,092,831					
3. Present value of disability claims incurred .....	1,093,530		1,093,530		XXX			
4. Tabular interest .....	2,713,700		2,713,700					
5. Tabular less actual reserve released .....	(398,295)		(398,295)					
6. Increase in reserve on account of change in valuation basis .....								
6.1 Change in excess of VM-20 deterministic/stochastic reserve over net premium reserve .....		XXX		XXX	XXX	XXX	XXX	XXX
7. Other increases (net) .....	5,122,264		5,122,264					
8. Totals (Lines 1 to 7) .....	104,958,721		104,958,721					
9. Tabular cost .....	43,076,276		43,076,276		XXX			
10. Reserves released by death .....	48,103		48,103	XXX	XXX			XXX
11. Reserves released by other terminations (net) .....	1,532,799		1,532,799					
12. Annuity, supplementary contract and disability payments involving life contingencies .....	823,190		823,190					
13. Net transfers to or (from) Separate Accounts .....								
14. Total Deductions (Lines 9 to 13) .....	45,480,368		45,480,368					
15. Reserve December 31, current year	59,478,353		59,478,353					

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT OF NET INVESTMENT INCOME**

	1 Collected During Year	2 Earned During Year
1. U.S. Government bonds .....	(a) ..... 408,565	..... 411,673
1.1 Bonds exempt from U.S. tax .....	(a) .....	
1.2 Other bonds (unaffiliated) .....	(a) ..... 4,190,118	..... 4,170,550
1.3 Bonds of affiliates .....	(a) .....	
2.1 Preferred stocks (unaffiliated) .....	(b) .....	
2.11 Preferred stocks of affiliates .....	(b) .....	
2.2 Common stocks (unaffiliated) .....		
2.21 Common stocks of affiliates .....		
3. Mortgage loans .....	(c) .....	
4. Real estate .....	(d) .....	
5. Contract loans .....		
6. Cash, cash equivalents and short-term investments .....	(e) ..... 60,976	..... 60,976
7. Derivative instruments .....	(f) .....	
8. Other invested assets .....		
9. Aggregate write-ins for investment income .....		
10. Total gross investment income .....	4,659,659	4,643,199
11. Investment expenses .....		(g) ..... 146,814
12. Investment taxes, licenses and fees, excluding federal income taxes .....		(g) ..... 20
13. Interest expense .....		(h) .....
14. Depreciation on real estate and other invested assets .....		(i) .....
15. Aggregate write-ins for deductions from investment income .....		
16. Total deductions (Lines 11 through 15) .....		146,834
17. Net investment income (Line 10 minus Line 16) .....		4,496,365
<b>DETAILS OF WRITE-INS</b>		
0901. ....		
0902. ....		
0903. ....		
0998. Summary of remaining write-ins for Line 9 from overflow page .....		
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above) .....		
1501. ....		
1502. ....		
1503. ....		
1598. Summary of remaining write-ins for Line 15 from overflow page .....		
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above) .....		

- (a) Includes \$ ..... 92,715 accrual of discount less \$ ..... 259,469 amortization of premium and less \$ ..... 66,008 paid for accrued interest on purchases.
- (b) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued dividends on purchases.
- (c) Includes \$ ..... accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.
- (d) Includes \$ ..... for company's occupancy of its own buildings; and excludes \$ ..... interest on encumbrances.
- (e) Includes \$ ..... 58,110 accrual of discount less \$ ..... amortization of premium and less \$ ..... paid for accrued interest on purchases.
- (f) Includes \$ ..... accrual of discount less \$ ..... amortization of premium.
- (g) Includes \$ ..... investment expenses and \$ ..... investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ ..... interest on surplus notes and \$ ..... interest on capital notes.
- (i) Includes \$ ..... depreciation on real estate and \$ ..... depreciation on other invested assets.

**EXHIBIT OF CAPITAL GAINS (LOSSES)**

	1	2	3	4	5
	Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds .....	(29,014)		(29,014)		
1.1 Bonds exempt from U.S. tax .....					
1.2 Other bonds (unaffiliated) .....	86,347		86,347		
1.3 Bonds of affiliates .....					
2.1 Preferred stocks (unaffiliated) .....					
2.11 Preferred stocks of affiliates .....					
2.2 Common stocks (unaffiliated) .....					
2.21 Common stocks of affiliates .....					
3. Mortgage loans .....					
4. Real estate .....					
5. Contract loans .....					
6. Cash, cash equivalents and short-term investments .....	319		319		
7. Derivative instruments .....					
8. Other invested assets .....					
9. Aggregate write-ins for capital gains (losses) .....					
10. Total capital gains (losses) .....	57,652		57,652		
<b>DETAILS OF WRITE-INS</b>					
0901. ....					
0902. ....					
0903. ....					
0998. Summary of remaining write-ins for Line 9 from overflow page .....					
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above) .....					



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health			11 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	
<b>FIRST YEAR (other than single)</b>											
1. Uncollected											
2. Deferred and accrued											
3. Deferred, accrued and uncollected:											
3.1 Direct											
3.2 Reinsurance assumed											
3.3 Reinsurance ceded											
3.4 Net (Line 1 + Line 2)											
4. Advance											
5. Line 3.4 - Line 4											
6. Collected during year:											
6.1 Direct	(83,224)		(83,224)								
6.2 Reinsurance assumed	17		17								
6.3 Reinsurance ceded	19		19								
6.4 Net	(83,226)		(83,226)								
7. Line 5 + Line 6.4	(83,226)		(83,226)								
8. Prior year (uncollected + deferred and accrued - advance)											
9. First year premiums and considerations:											
9.1 Direct	(83,224)		(83,224)								
9.2 Reinsurance assumed	17		17								
9.3 Reinsurance ceded	19		19								
9.4 Net (Line 7 - Line 8)	(83,226)		(83,226)								
<b>SINGLE</b>											
10. Single premiums and considerations:											
10.1 Direct											
10.2 Reinsurance assumed											
10.3 Reinsurance ceded											
10.4 Net											
<b>RENEWAL</b>											
11. Uncollected	580,502		580,502								
12. Deferred and accrued	13,635,796		13,635,796								
13. Deferred, accrued and uncollected:											
13.1 Direct	15,742,660		15,742,660								
13.2 Reinsurance assumed											
13.3 Reinsurance ceded	1,526,362		1,526,362								
13.4 Net (Line 11 + Line 12)	14,216,298		14,216,298								
14. Advance	103,021		103,021								
15. Line 13.4 - Line 14	14,113,277		14,113,277								
16. Collected during year:											
16.1 Direct	34,191,088		34,191,088								
16.2 Reinsurance assumed	226,931		226,931								
16.3 Reinsurance ceded	17,304,934		17,304,934								
16.4 Net	17,113,085		17,113,085								
17. Line 15 + Line 16.4	31,226,362		31,226,362								
18. Prior year (uncollected + deferred and accrued - advance)	16,348,234		16,348,234								
19. Renewal premiums and considerations:											
19.1 Direct	31,740,263		31,740,263								
19.2 Reinsurance assumed	226,931		226,931								
19.3 Reinsurance ceded	17,089,066		17,089,066								
19.4 Net (Line 17 - Line 18)	14,878,128		14,878,128								
<b>TOTAL</b>											
20. Total premiums and annuity considerations:											
20.1 Direct	31,657,039		31,657,039								
20.2 Reinsurance assumed	226,948		226,948								
20.3 Reinsurance ceded	17,089,085		17,089,085								
20.4 Net (Lines 9.4 + 10.4 + 19.4)	14,794,902		14,794,902								

**EXHIBIT - 1 PART 2 - DIVIDENDS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)**

	1 Total	2 Industrial Life	Ordinary		5 Credit Life (Group and Individual)	Group		Accident and Health			11 Aggregate of All Other Lines of Business
			3 Life Insurance	4 Individual Annuities		6 Life Insurance	7 Annuities	8 Group	9 Credit (Group and Individual)	10 Other	
<b>DIVIDENDS AND COUPONS APPLIED (included in Part 1)</b>											
21. To pay renewal premiums .....											
22. All other .....											
<b>REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED</b>											
23. First year (other than single):											
23.1 Reinsurance ceded .....											
23.2 Reinsurance assumed .....											
23.3 Net ceded less assumed .....											
24. Single:											
24.1 Reinsurance ceded .....											
24.2 Reinsurance assumed .....											
24.3 Net ceded less assumed .....											
25. Renewal:											
25.1 Reinsurance ceded .....	993,336		993,336								
25.2 Reinsurance assumed .....											
25.3 Net ceded less assumed .....	993,336		993,336								
26. Totals:											
26.1 Reinsurance ceded (Page 6, Line 6) .....	993,336		993,336								
26.2 Reinsurance assumed (Page 6, Line 22) .....											
26.3 Net ceded less assumed .....	993,336		993,336								
<b>COMMISSIONS INCURRED (direct business only)</b>											
27. First year (other than single) .....											
28. Single .....											
29. Renewal .....	22		22								
30. Deposit-type contract funds .....											
31. Totals (to agree with Page 6, Line 21)	22		22								

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**EXHIBIT 2 - GENERAL EXPENSES**

	Insurance				5 Investment	6 Total
	1 Life	2 Accident and Health		4 All Other Lines of Business		
		2 Cost Containment	3 All Other			
1. Rent	473,551				4,325	477,876
2. Salaries and wages	1,603,145				127,072	1,730,217
3.11 Contributions for benefit plans for employees	322,351				186	322,537
3.12 Contributions for benefit plans for agents	29,254					29,254
3.21 Payments to employees under non-funded benefit plans	710					710
3.22 Payments to agents under non-funded benefit plans	7,234					7,234
3.31 Other employee welfare	42,070				3	42,073
3.32 Other agent welfare	2,572					2,572
4.1 Legal fees and expenses	13,290				716	14,006
4.2 Medical examination fees	377					377
4.3 Inspection report fees	41					41
4.4 Fees of public accountants and consulting actuaries	94,604					94,604
4.5 Expense of investigation and settlement of policy claims	(73,312)					(73,312)
5.1 Traveling expenses	76,965				1,634	78,599
5.2 Advertising	166,931				6	166,937
5.3 Postage, express, telegraph and telephone	121,502				632	122,134
5.4 Printing and stationery	34,197				1	34,198
5.5 Cost or depreciation of furniture and equipment	33,862					33,862
5.6 Rental of equipment	27,111					27,111
5.7 Cost or depreciation of EDP equipment and software	328,084					328,084
6.1 Books and periodicals	855					855
6.2 Bureau and association fees	11,266				1	11,267
6.3 Insurance, except on real estate	15,909					15,909
6.4 Miscellaneous losses	9,638					9,638
6.5 Collection and bank service charges	7,893				653	8,546
6.6 Sundry general expenses	127,864				11,584	139,448
6.7 Group service and administration fees						
6.8 Reimbursements by uninsured plans						
7.1 Agency expense allowance						
7.2 Agents' balances charged off (less \$ recovered)	31,220					31,220
7.3 Agency conferences other than local meetings	161,250				1	161,251
9.1 Real estate expenses	(734)					(734)
9.2 Investment expenses not included elsewhere						
9.3 Aggregate write-ins for expenses	76,020					76,020
10. General expenses incurred	3,745,720				146,814	(a) 3,892,534
11. General expenses unpaid December 31, prior year	99,162					99,162
12. General expenses unpaid December 31, current year	25,485					25,485
13. Amounts receivable relating to uninsured plans, prior year						
14. Amounts receivable relating to uninsured plans, current year						
15. General expenses paid during year (Lines 10+11-12-13+14)	3,819,397				146,814	3,966,211
<b>DETAILS OF WRITE-INS</b>						
09.301.						
09.302. Professional Fees	76,020					76,020
09.303.						
09.398. Summary of remaining write-ins for Line 9.3 from overflow page						
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)	76,020					76,020

(a) Includes management fees of \$ 145,931 to affiliates and \$ 125,768 to non-affiliates.

**EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)**

	Insurance			4 Investment	5 Total
	1 Life	2 Accident and Health	3 All Other Lines of Business		
1. Real estate taxes					
2. State insurance department licenses and fees	181,919				181,919
3. State taxes on premiums	627,331				627,331
4. Other state taxes, including \$ for employee benefits	93,190				93,190
5. U.S. Social Security taxes	102,607			20	102,627
6. All other taxes	55,953				55,953
7. Taxes, licenses and fees incurred	1,061,000			20	1,061,020
8. Taxes, licenses and fees unpaid December 31, prior year					
9. Taxes, licenses and fees unpaid December 31, current year					
10. Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	1,061,000			20	1,061,020

**EXHIBIT 4 - DIVIDENDS OR REFUNDS**

	1 Life	2 Accident and Health
	1. Applied to pay renewal premiums	
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included in Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
<b>DETAILS OF WRITE-INS</b>		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

**NONE**



**EXHIBIT 5 - INTERROGATORIES**

- 1.1 Has the reporting entity ever issued both participating and non-participating contracts?..... Yes [ ] No [ X ]
- 1.2 If not, state which kind is issued.  
 Non-participating .....
- 2.1 Does the reporting entity at present issue both participating and non-participating contracts?..... Yes [ ] No [ X ]
- 2.2 If not, state which kind is issued.  
 The company no longer issues insurance policies .....
- 3. Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?..... Yes [ X ] No [ ]  
 If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.
- 4. Has the reporting entity any assessment or stipulated premium contracts in force? ..... Yes [ ] No [ X ]  
 If so, state:  
 4.1 Amount of insurance? ..... \$ .....  
 4.2 Amount of reserve? ..... \$ .....  
 4.3 Basis of reserve: .....
- 4.4 Basis of regular assessments: .....
- 4.5 Basis of special assessments: .....
- 4.6 Assessments collected during the year ..... \$ .....
- 5. If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts. ....
- 6. Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis? ..... Yes [ ] No [ X ]  
 6.1 If so, state the amount of reserve on such contracts on the basis actually held: ..... \$ .....  
 6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits: ..... \$ .....  
 Attach statement of methods employed in their valuation.
- 7. Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
 7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements ..... \$ .....  
 7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount: .....
- 7.3 State the amount of reserves established for this business: ..... \$ .....  
 7.4 Identify where the reserves are reported in the blank: .....
- 8. Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
 8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements: ..... \$ .....  
 8.2 State the amount of reserves established for this business: ..... \$ .....  
 8.3 Identify where the reserves are reported in the blank: .....
- 9. Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year? ..... Yes [ ] No [ X ]  
 9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders: ..... \$ .....  
 9.2 State the amount of reserves established for this business: ..... \$ .....  
 9.3 Identify where the reserves are reported in the blank: .....

**EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR**

1	Valuation Basis		4
Description of Valuation Class	2 Changed From	3 Changed To	Increase in Actuarial Reserve Due to Change
<b>NONE</b>			
9999999 - Total (Column 4, only)			

Exhibit 6 - Aggregate Reserves for Accident and Health Contracts

**N O N E**

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT 7 - DEPOSIT TYPE CONTRACTS**

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance .....	2,293,502			2,293,502		
2. Deposits received during the year .....						
3. Investment earnings credited to the account .....	2,337			2,337		
4. Other net change in reserves .....						
5. Fees and other charges assessed .....						
6. Surrender charges .....						
7. Net surrender or withdrawal payments .....	582,895			582,895		
8. Other net transfers to or (from) Separate Accounts .....						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8) .....	1,712,944			1,712,944		
10. Reinsurance balance at the beginning of the year .....						
11. Net change in reinsurance assumed .....						
12. Net change in reinsurance ceded .....						
13. Reinsurance balance at the end of the year (Lines 10+11-12) .....						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	1,712,944			1,712,944		

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 1 - Liability End of Current Year**

	1 Total	2 Industrial Life	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Due and unpaid:											
1.1 Direct .....											
1.2 Reinsurance assumed .....											
1.3 Reinsurance ceded .....											
1.4 Net .....											
2. In course of settlement:											
2.1 Resisted .....											
2.11 Direct .....											
2.12 Reinsurance assumed .....											
2.13 Reinsurance ceded .....											
2.14 Net .....			(b)	(b)		(b)	(b)				
2.2 Other .....											
2.21 Direct .....	1,228,429		1,228,429								
2.22 Reinsurance assumed .....											
2.23 Reinsurance ceded .....	1,057,500		1,057,500								
2.24 Net .....	170,929		(b) 170,929	(b)		(b)	(b)		(b)	(b)	(b)
3. Incurred but unreported:											
3.1 Direct .....	1,278,304		1,278,304								
3.2 Reinsurance assumed .....											
3.3 Reinsurance ceded .....	600,000		600,000								
3.4 Net .....	678,304		(b) 678,304	(b)		(b)	(b)		(b)	(b)	(b)
4. TOTALS .....											
4.1 Direct .....	2,506,733		2,506,733								
4.2 Reinsurance assumed .....											
4.3 Reinsurance ceded .....	1,657,500		1,657,500								
4.4 Net .....	849,233	(a)	(a) 849,233				(a)				

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ ..... in Column 2, \$ ..... in Column 3 and \$ ..... in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$ .....2,610,138  
 Individual Annuities \$ ....., Credit Life (Group and Individual) \$ ....., and Group Life \$ ....., are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$ .....  
 Credit (Group and Individual) Accident and Health \$ ....., and Other Accident and Health \$ ..... are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS**

**PART 2 - Incurred During the Year**

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct .....	25,995,056		25,995,056								
1.2 Reinsurance assumed .....											
1.3 Reinsurance ceded .....	11,191,919		11,191,919								
1.4 Net (d) .....	14,803,137		14,803,137								
2. Liability December 31, current year from Part 1:											
2.1 Direct .....	2,506,733		2,506,733								
2.2 Reinsurance assumed .....											
2.3 Reinsurance ceded .....	1,657,500		1,657,500								
2.4 Net .....	849,233		849,233								
3. Amounts recoverable from reinsurers December 31, current year .....	1,314,000		1,314,000								
4. Liability December 31, prior year:											
4.1 Direct .....	5,397,616		5,397,616								
4.2 Reinsurance assumed .....											
4.3 Reinsurance ceded .....	2,496,276		2,496,276								
4.4 Net .....	2,901,340		2,901,340								
5. Amounts recoverable from reinsurers December 31, prior year .....	387,500		387,500								
6. Incurred Benefits											
6.1 Direct .....	23,104,173		23,104,173								
6.2 Reinsurance assumed .....											
6.3 Reinsurance ceded .....	11,279,643		11,279,643								
6.4 Net .....	11,824,530		11,824,530								

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ ..... in Line 1.1, \$ ..... in Line 1.4.  
 \$ ..... in Line 6.1, and \$ ..... in Line 6.4.

(d) Includes \$ ..... premiums waived under total and permanent disability benefits.

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT OF NON-ADMITTED ASSETS**

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....			
2. Stocks (Schedule D):			
2.1 Preferred stocks .....			
2.2 Common stocks .....			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....			
3.2 Other than first liens.....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....			
4.2 Properties held for the production of income.....			
4.3 Properties held for sale .....			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA) .....			
6. Contract loans .....			
7. Derivatives (Schedule DB) .....			
8. Other invested assets (Schedule BA) .....			
9. Receivables for securities .....			
10. Securities lending reinvested collateral assets (Schedule DL) .....			
11. Aggregate write-ins for invested assets .....			
12. Subtotals, cash and invested assets (Lines 1 to 11) .....			
13. Title plants (for Title insurers only) .....			
14. Investment income due and accrued .....			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....			
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due ..	364,270	329,589	(34,681)
15.3 Accrued retrospective premiums and contracts subject to redetermination .....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....			
16.2 Funds held by or deposited with reinsured companies .....			
16.3 Other amounts receivable under reinsurance contracts .....			
17. Amounts receivable relating to uninsured plans .....			
18.1 Current federal and foreign income tax recoverable and interest thereon .....			
18.2 Net deferred tax asset .....	794,004	1,572,428	778,424
19. Guaranty funds receivable or on deposit .....			
20. Electronic data processing equipment and software .....			
21. Furniture and equipment, including health care delivery assets .....			
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			
23. Receivables from parent, subsidiaries and affiliates .....			
24. Health care and other amounts receivable .....			
25. Aggregate write-ins for other than invested assets .....	469,690	369,502	(100,188)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	1,627,964	2,271,519	643,555
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
28. Total (Lines 26 and 27) .....	1,627,964	2,271,519	643,555
<b>DETAILS OF WRITE-INS</b>			
1101. ....			
1102. ....			
1103. ....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. Miscellaneous .....	271,109	138,501	(132,608)
2502. Disallowed IMR .....	198,581	231,001	32,420
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	469,690	369,502	(100,188)

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of NYLIFE Insurance Company of Arizona (“the Company”) have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company has no permitted practices.

	SSAP #	F/S Page	F/S Line #	2017	2016
<u>Net Income</u>					
Net income Arizona state basis (Page 4, Line 35, (1) Columns 1 & 2)	XXX	XXX	XXX	\$ 9,902,471	\$ 12,366,587
State prescribed practices that increase/(decrease) (2) NAIC SAP:				—	—
State permitted practices that increase/(decrease) (3) NAIC SAP:				—	—
(4) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ 9,902,471</u>	<u>\$ 12,366,587</u>
<u>Capital and Surplus</u>					
Statutory capital and surplus Arizona state basis (5) (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 110,303,263	\$103,932,924
State prescribed practices that increase/(decrease) (6) NAIC SAP:				—	—
State permitted practices that increase/(decrease) (7) NAIC SAP:				—	—
(8) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 110,303,263</u>	<u>\$103,932,924</u>

B. The preparation of financial statements requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities at the date of the financial statements. Management is also required to disclose contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results may differ from those estimates.

C. Life premiums are taken into income over the premium-paying period of the policies. Commissions and other costs associated with acquiring new business are charged to operations as incurred (the Company has not issued any new policies since 2011). Amounts received or paid under deposit type contracts without mortality or morbidity risk are not reported as income or benefits but are recorded directly as an adjustment to the liability for deposit funds.

Policy reserves are based on mortality tables and valuation interest rates, which are consistent with statutory requirements and are designed to be sufficient to provide for contractual benefits. The Company holds reserves greater than those developed under the minimum statutory reserving rules when the valuation actuary determines that the minimum statutory reserves are inadequate.

The Company has established policy reserves (excluding the effects of reinsurance) on contracts issued January 1, 2001 and later that exceed the minimum amounts determined under Appendix A-820, “Minimum Life and Annuity Reserve Standards” of NAIC SAP by approximately \$1,718.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments consist of securities with remaining maturities of one year or less but greater than three months at the time of acquisition and are carried at amortized cost, which approximates fair value. Cash and cash equivalents include cash on hand, amounts due from banks and highly liquid debt instruments that have original maturities of three months or less at the date of purchase and are stated at amortized cost.
- (2) Bonds not backed by loans are stated at amortized cost using the interest method. Bonds in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. The cost basis of bonds is adjusted for impairments in value deemed to be other than temporary, with the difference between the bond’s amortized cost and its fair value recognized as a realized loss reported in net income. The new cost basis of an impaired bond is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary impairment (“OTTI”), the impaired bond is accounted for as if it had been purchased on the measurement date of the impairment. Refer to Note 20 – Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.
- (3)–(5) The Company does not own any common stock, preferred stock, real estate or mortgage loans.
- (6) Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 – Fair Value Measurements, for a discussion of the valuation approach and methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security’s amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected

## NOTES TO FINANCIAL STATEMENTS

future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed or structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

- (7) The Company has no investments in subsidiaries or affiliates.
- (8) The Company has no investments in limited partnerships or limited liability companies.
- (9) The Company does not have any derivative instruments.
- (10) The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.
- (11) The Company does not issue or have any in force accident and health policies.
- (12) The Company has not changed its capitalization policy from the prior year.
- (13) The Company does not have any pharmaceutical rebates receivable.

**D. Going Concern**

The Company does not have any doubt about its ability to continue as a going concern.

**2. Accounting Changes and Corrections of Errors**

**Change in Accounting Principles**

Accounting changes adopted to conform to the provisions of NAIC SAP or other state prescribed accounting practices are reported as changes in accounting principles. The cumulative effect of changes in accounting principles is generally reported as an adjustment to unassigned funds (surplus) in the period of the change in accounting principle. Generally, the cumulative effect is the difference between the amount of capital and surplus at the beginning of the year and the amount of capital and surplus that would have been reported at that date if the new accounting principles had been applied retroactively for all prior periods. There were no significant accounting changes in 2017 or 2016.

**Prior Period Correction**

In 2016, the Company corrected an error related to waiver of premium benefits incurred but not reported ("IBNR") and in course of settlement ("ICOS") disability reserves. The Company calculated the prior year reserves based on claims experience data that incorrectly identified certain claims as IBNR. To correct this error, the Company reduced its disability reserves and recorded a prior period correction that increased statutory surplus by \$455,000 on an after-tax basis.

**3. Business Combinations and Goodwill**

Not applicable.

**4. Discontinued Operations**

Not applicable.

**5. Investments**

**A. Mortgage Loans, including Mezzanine Real Estate Loans**

Not applicable.

**B. Debt Restructuring**

Not applicable.

**C. Reverse Mortgages**

Not applicable.

**D. Loan-Backed Securities**

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery, as of December 31, 2017.

**NOTES TO FINANCIAL STATEMENTS**

(3) As of December 31, 2017, the Company did not have any securities where the present value of cash flows expected to be collected is less than the amortized cost basis during the current year.

(4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at December 31, 2017:

	Less than 12 months		12 months or greater		Total	
	Fair Value	Losses	Fair Value	Losses	Fair Value	Losses
Total temporarily impaired securities	\$ 8,514,463	\$ 86,712	\$ 9,668,549	\$ 287,184	\$ 18,183,012	\$ 373,896

(5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

**E. Dollar Repurchase Agreements and/or Securities Lending Transactions**

Not applicable.

**F. Repurchase Agreements Transaction Accounted for as Secured Borrowing**

Not applicable.

**G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing**

Not applicable.

**H. Repurchase Agreements Transactions Accounted for as a Sale**

Not applicable.

**I. Reverse Repurchase Agreements Transactions Accounted for as a Sale**

Not applicable.

**J. Real Estate**

Not applicable.

**K. Low-Income Housing Tax Credits**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

L. Restricted Assets

(1) Restricted assets (including pledged):

Restricted Asset Category	Gross (Admitted and Nonadmitted) Restricted							8	9	Percentage	
	Current Year					6	7			10	11
	1	2	3	4	5						
Total General Account (G/A)	G/A Assets Supporting Separate Accounts (S/A) Activity (a)	Total S/A Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted and Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)	
a. Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	0.000%	0.000%
b. Collateral held under security lending agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
c. Subject to repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
d. Subject to reverse repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
e. Subject to dollar repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements	—	—	—	—	—	—	—	—	—	0.000%	0.000%
g. Placed under option contracts	—	—	—	—	—	—	—	—	—	0.000%	0.000%
h. Letter stock or securities restricted as to sale-excluding FHLB capital stock	—	—	—	—	—	—	—	—	—	0.000%	0.000%
i. FHLB capital stock	—	—	—	—	—	—	—	—	—	0.000%	0.000%
j. On deposit with states	3,356,554	—	—	—	3,356,554	3,802,257	(445,703)	—	3,356,554	1.877%	1.894%
k. On deposit with other regulatory bodies	—	—	—	—	—	—	—	—	—	0.000%	0.000%
l. Pledged as collateral to FHLB (including assets backing funding agreements)	—	—	—	—	—	—	—	—	—	0.000%	0.000%
m. Pledged as collateral not captured in other categories	—	—	—	—	—	—	—	—	—	0.000%	0.000%
n. Other restricted assets	—	—	—	—	—	—	—	—	—	0.000%	0.000%
<b>o. Total Restricted Assets</b>	<b>\$ 3,356,554</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ —</b>	<b>\$ 3,356,554</b>	<b>\$ 3,802,257</b>	<b>\$ (445,703)</b>	<b>\$ —</b>	<b>\$ 3,356,554</b>	<b>1.877%</b>	<b>1.894%</b>

(a) Subset of column 1

(b) Subset of column 3

(c) Column 5 divided by Asset page, Column 1, Line 28

(d) Column 9 divided by Asset page, Column 3, Line 28

(2) There were no assets pledged as collateral not captured in other categories at December 31, 2017 and 2016.

(3) There were no other restricted assets at December 31, 2017 and 2016.

(4) There were no other assets received as collateral and reflected as assets at December 31, 2017 and 2016.

M. Working Capital Finance Investments

Not applicable.

N. Offsetting and Netting of Assets and Liabilities

Not applicable.

O. Structured Notes

Not applicable.

P. 5\* Securities

Not applicable.

Q. Short Sales

Not applicable.

R. Prepayment Penalty and Acceleration Fees

The following represents the Company's securities sold, redeemed or otherwise disposed as a result of a callable feature (including make whole call provisions) and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee.

	General Account
Number of CUSIPs	4
Aggregate Amount of Investment Income	\$ 31,732

## NOTES TO FINANCIAL STATEMENTS

**6. Joint Ventures, Partnerships and Limited Liability Companies**

- A. The Company had no investments in joint ventures, limited partnerships or limited liability companies that exceeded 10% of its admitted assets.
- B. The Company did not recognize any impairment write down for its investments in partnerships during 2017.

**7. Investment Income**

- A. Due and accrued investment income is excluded from surplus when amounts are over 90 days past due or collection is uncertain.
- B. There was no investment income due and accrued excluded from surplus at December 31, 2017.

**8. Derivative Instruments**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

9. Income Taxes

A. The components of the net deferred tax assets ("DTAs") and net deferred tax liabilities ("DTLs") at December 31, 2017 and 2016 were as follows:

(1)

	2017		
	Ordinary	Capital	Total
(a) Gross DTAs	\$ 9,102,167	\$ 8,197	\$ 9,110,364
(b) Statutory valuation allowance	—	—	—
(c) Adjusted gross DTAs (1a - 1b)	9,102,167	8,197	9,110,364
(d) Nonadmitted DTAs	785,807	8,197	794,004
(e) Subtotal net admitted DTAs (1c - 1d)	8,316,360	—	8,316,360
(f) Gross DTLs	6,259,059	—	6,259,059
(g) Net admitted DTAs/(DTLs) (1e - 1f)	\$ 2,057,301	\$ —	\$ 2,057,301

	2016		
	Ordinary	Capital	Total
(a) Gross DTAs	\$ 17,926,279	\$ 2,912	\$ 17,929,191
(b) Statutory valuation allowance	—	—	—
(c) Adjusted gross DTAs (1a - 1b)	17,926,279	2,912	17,929,191
(d) Nonadmitted DTAs	1,572,208	220	1,572,428
(e) Subtotal net admitted DTAs (1c - 1d)	16,354,071	2,692	16,356,763
(f) Gross DTLs	10,880,290	2,692	10,882,982
(g) Net admitted DTAs/(DTLs) (1e - 1f)	\$ 5,473,781	\$ —	\$ 5,473,781

	Change During 2017		
	Ordinary	Capital	Total
(a) Gross DTAs	\$ (8,824,112)	\$ 5,285	\$ (8,818,827)
(b) Statutory valuation allowance	—	—	—
(c) Adjusted gross DTAs (1a - 1b)	(8,824,112)	5,285	(8,818,827)
(d) Nonadmitted DTAs	(786,401)	7,977	(778,424)
(e) Subtotal net admitted DTAs (1c - 1d)	(8,037,711)	(2,692)	(8,040,403)
(f) Gross DTLs	(4,621,231)	(2,692)	(4,623,923)
(g) Net admitted DTAs/(DTLs) (1e - 1f)	\$ (3,416,480)	\$ —	\$ (3,416,480)

(2) The admission calculation components were as follows:

	2017		
	Ordinary	Capital	Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ —	\$ —	\$ —
(b) Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	2,057,301	—	2,057,301
1. Adjusted gross DTAs expected to be realized following the balance sheet date	2,057,301	—	2,057,301
2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	16,236,894
(c) Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	6,259,059	—	6,259,059
(d) DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 8,316,360	\$ —	\$ 8,316,360

	2016		
	Ordinary	Capital	Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 5,473,781	\$ —	\$ 5,473,781
(b) Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	—	—	—
1. Adjusted gross DTAs expected to be realized following the balance sheet date	—	—	—
2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	14,768,871
(c) Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	10,880,290	2,692	10,882,982
(d) DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ 16,354,071	\$ 2,692	\$ 16,356,763

	Change During 2017		
	Ordinary	Capital	Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ (5,473,781)	\$ —	\$ (5,473,781)
(b) Adjusted gross DTAs expected to be realized (excluding the amount of DTAs from 2(a) above) after application of the threshold limitation (the lesser of 2(b)1 and 2(b)2 below):	2,057,301	—	2,057,301
1. Adjusted gross DTAs expected to be realized following the balance sheet date	2,057,301	—	2,057,301
2. Adjusted gross DTAs allowed per limitation threshold	XXX	XXX	1,468,023
(c) Adjusted gross DTAs (excluding the amount of DTAs from 2(a) and 2(b) above) offset by gross DTLs	(4,621,231)	(2,692)	(4,623,923)
(d) DTAs admitted as the result of application of SSAP No. 101. Total (2(a)+2(b)+2(c))	\$ (8,037,711)	\$ (2,692)	\$ (8,040,403)



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

NOTES TO FINANCIAL STATEMENTS

- (3) The ratio used to determine the applicable period used in 2(b)1 above and the amount of adjusted capital and surplus used to determine the percentage threshold limitation in 2(b)2 above are as follows:

	December 31, 2017	December 31, 2016
(a) Ratio percentage used to determine recovery period and threshold limitation amount	3695%	2824%
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above.	\$ 108,245,962	\$ 98,459,143

- (4) There was no impact on adjusted gross and net admitted DTAs due to tax planning strategies at December 31, 2017 and 2016. The Company did not use reinsurance in its tax planning strategies.

B. The Company has no unrecognized DTL at December 31, 2017 and 2016.

C. Significant components of income taxes incurred and the changes in DTAs and DTLs for the years ended December 31, 2017 and 2016 were as follows:

(1) Current Income Tax:	2017	2016	Change
(a) Federal	\$ 1,732,742	\$ 8,127,107	\$ (6,394,365)
(b) Foreign	—	—	—
(c) Subtotal	1,732,742	8,127,107	(6,394,365)
(d) Federal income tax on net capital gains	32,643	(161,676)	194,319
(e) Utilization of capital loss carry-forward	—	—	—
(f) Other	—	245,000	(245,000)
(g) Federal and foreign income taxes incurred	<u>\$ 1,765,385</u>	<u>\$ 8,210,431</u>	<u>\$ (6,445,046)</u>

(2) DTAs:	2017	2016	Change
(a) Ordinary			
(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
(2) Unearned premium reserve	—	—	—
(3) Policyholder reserve	5,373,353	10,964,890	(5,591,537)
(4) Investments	—	—	—
(5) Deferred acquisition costs	3,626,531	6,801,145	(3,174,614)
(6) Policyholder dividends accrual	—	—	—
(7) Fixed Assets	45,021	78,944	(33,923)
(8) Compensation and benefits accrual	—	—	—
(9) Pension accrual	—	—	—
(10) Receivables - nonadmitted	—	—	—
(11) Net operating loss carry-forward	—	—	—
(12) Tax credit carry-forward	—	—	—
(13) Other	57,262	81,300	(24,038)
(99) Subtotal	9,102,167	17,926,279	(8,824,112)
(b) Statutory valuation allowance adjustment	—	—	—
(c) Nonadmitted	785,807	1,572,208	(786,401)
(d) Admitted ordinary DTA (2a99 - 2b - 2c)	8,316,360	16,354,071	(8,037,711)
(e) Capital			
(1) Investments	8,197	2,912	5,285
(2) Net capital loss carry-forward	—	—	—
(3) Real estate	—	—	—
(4) Other	—	—	—
(99) Subtotal	8,197	2,912	5,285
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	8,197	220	7,977
(h) Admitted capital DTA (2e99 - 2f - 2g)	—	2,692	(2,692)
(i) Total admitted DTA (2d + 2h)	<u>\$ 8,316,360</u>	<u>\$ 16,356,763</u>	<u>\$ (8,040,403)</u>

(3) DTLs:	2017	2016	Change
(a) Ordinary			
(1) Investments	\$ 88,350	\$ 157,791	\$ (69,441)
(2) Fixed assets	—	—	—
(3) Deferred & uncollected premium	5,537,161	9,240,740	(3,703,579)
(4) Policyholder reserves	633,548	1,481,759	(848,211)
(5) Other	—	—	—
(99) Subtotal	6,259,059	10,880,290	(4,621,231)
(b) Capital			
(1) Investments	—	2,692	(2,692)
(2) Real estate	—	—	—
(3) Other	—	—	—
(99) Subtotal	—	2,692	(2,692)
(c) Total DTL (3a99 + 3b99)	6,259,059	10,882,982	(4,623,923)
(4) Net admitted DTAs/(DTLs) (2i - 3c)	<u>\$ 2,057,301</u>	<u>\$ 5,473,781</u>	<u>\$ (3,416,480)</u>

Deferred income tax benefit on change in net unrealized capital gains and losses	\$ 5,178
Decrease in net deferred tax related to other items	(4,200,082)
Decrease in DTAs nonadmitted	778,424
Total decrease in net admitted DTAs	<u>\$ (3,416,480)</u>

NOTES TO FINANCIAL STATEMENTS

- (5) The Company had no tax credits for years ended December 31, 2017 and 2016.
- (6) The Company does not have operating loss carry-forwards for December 31, 2017.
- (7) The Tax Cuts and Jobs Act ("TCJA") was enacted on December 22, 2017. The TCJA significantly changes U.S. tax law primarily by lowering the corporate income tax rate from 35% to 21%. Deferred taxes were revalued to reflect the 21% corporate income tax rate with the following result:

	<u>2017</u>
Deferred income tax benefit on change in net unrealized capital gains and losses	\$ —
Decrease in net deferred tax related to other items	(1,900,875)
Decrease in net deferred taxes	(1,900,875)
Decrease in nonadmitted DTAs	202,750
Total change in net admitted DTAs	<u>\$ (1,698,125)</u>

- (8) The Company had no adjustments to gross DTAs because of a change in circumstances that causes a change in judgment about the realizability of the related DTAs.

D. The Company's income tax expense for the years ended December 31, 2017 and 2016 and change in DTAs/DTLs at December 31, 2017 and 2016 differed from the amount obtained by applying the statutory rate to net gain from operations after dividends to policyholders and before federal income taxes for the following reasons:

	<u>2017</u>	<u>2016</u>	<u>Change</u>
Net gain from operations after dividends to policyholders and before federal income taxes @35%	\$ 4,064,515	\$ 7,173,292	\$ (3,108,777)
Net realized capital (losses)/gains at 35%	20,176	(161,676)	181,852
Amortization of IMR	10,406	8,459	1,947
Accruals in surplus	—	426,572	(426,572)
Nonadmitted assets	(35,448)	144,007	(179,455)
Impact of tax reform	1,900,875	—	1,900,875
Audit liability provision	—	211,043	(211,043)
Prior period correction	—	245,000	(245,000)
Other	4,943	341	4,602
Income tax incurred and change in net DTAs during period	<u>\$ 5,965,467</u>	<u>\$ 8,047,038</u>	<u>\$ (2,081,571)</u>
Federal income tax reported in the Summary of Operations	\$ 1,732,742	\$ 8,127,107	\$ (6,394,365)
Capital gains tax expense incurred	32,643	(161,676)	194,319
Decrease (increase) in net DTAs	4,200,082	(163,393)	4,363,475
Decrease (increase) in current and deferred taxes reported in prior period correction	—	245,000	(245,000)
Total statutory income taxes	<u>\$ 5,965,467</u>	<u>\$ 8,047,038</u>	<u>\$ (2,081,571)</u>

- E. (1) The Company did not have any operating loss and tax credit carry forwards available for tax purposes.
- (2) There are no taxes incurred in current and prior periods that are available for recoupment.
- (3) At December 31, 2017, the Company had no protective tax deposits on deposit with the Internal Revenue Service under Section 6603 of the Internal Revenue Service Code.
- F. The Company's federal income tax return is consolidated with the following entities:
  - i. New York Life Insurance Company ("New York Life")
  - ii. New York Life Insurance and Annuity Corporation ("NYLIAC")
  - iii. NYLIFE LLC and its domestic affiliates ("NYLIFE LLC")
  - iv. New York Life Investment Management Holdings LLC ("NYL Investments") and its domestic subsidiaries
  - v. New York Life Enterprises ("NYLE") and its domestic subsidiaries
  - vi. NYL Investors LLC ("NYL Investors")

The Company is a member of an affiliated group, which files a consolidated federal income tax return with New York Life. The consolidated income tax provision or benefit is allocated among the members of the group in accordance with a tax allocation agreement. This tax allocation agreement provides that the Company computes its share of the consolidated tax provision or benefit, in general, on a separate company basis, and may, where applicable, include the tax benefits of operating or capital losses utilizable in the New York Life's consolidated returns. Intercompany tax balances are settled quarterly on an estimated basis with a final settlement occurring within 30 days of the filing of the consolidated tax return. Current federal income taxes are charged or credited to operations based upon amounts estimated to be payable or recoverable as a result of taxable operations for the current year and any adjustments to such estimates from prior years.

G. The Company does not anticipate any significant changes to its total unrecognized tax benefits within the next 12 months.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A-C. In 2017, the Company did not receive any capital contribution from, nor pay any dividend to, its parent company, New York Life.

The Company compensates New York Life and NYLIAC for policy credits associated with converting the Company's term policies to permanent cash value life insurance policies that are issued by New York Life and NYLIAC without any additional underwriting. For the year ended December 31, 2017, the Company incurred charges of \$643,479 and \$2,332,631 paid or payable to New York Life and NYLIAC, respectively, for these services. For the year ended December 31, 2016, the Company incurred charges of \$807,306 and \$3,612,919 paid or payable to New York Life and NYLIAC, respectively, for these services.

D. At December 31, 2017 and 2016, the Company reported \$2,549,375 and \$2,635,474, respectively, as net amounts payable to parent and affiliates. The terms of the underlying agreements generally require that these amounts be settled in cash within 90 days.

E. The Company has a credit agreement with New York Life dated August 11, 2004, and restated November 16, 2015, under which the Company may borrow from New York Life in an amount of up to \$10,000,000. During 2017 and 2016, the credit facility was not used, no interest was paid, and there was no outstanding balance due.

NOTES TO FINANCIAL STATEMENTS

F. New York Life provides the Company with certain services and facilities including, but not limited to accounting, tax and auditing services, legal services, actuarial services, electronic data processing operations and communications operations. New York Life charges the Company for the identified costs associated with these services and facilities under the terms of a service agreement between New York Life and the Company. For the years ended December 31, 2017 and 2016, the fees incurred associated with these services and facilities, amounted to \$4,296,314 and \$3,171,626, respectively.

The Company has entered into an investment advisory agreement with New York Life Investment Management LLC ("NYLIM"), an indirect wholly owned subsidiary of New York Life, whereby NYLIM provides investment advisory and administrative services to the Company. On May 1, 2014 NYLIM assigned its investment advisory rights and obligations under this agreement to NYL Investors, a wholly owned subsidiary of New York Life. For the years ended December 31, 2017 and 2016, the total cost for these services amounted to \$144,428 and \$152,264 respectively, which is included in the costs of services charged by New York Life to the Company.

G. All outstanding shares of the Company are owned by the parent company, New York Life, a mutual insurance company domiciled in the State of New York.

H. The Company does not own any shares of an upstream affiliate either directly or through its subsidiaries.

I-K. The Company does not have an investment in a SCA entity.

L. The Company does not hold investments in downstream non-insurance holding companies.

M. The Company does not have any affiliated common stock investments.

N. The Company does not hold investments in insurance SCA.

11. Debt

A. The Company has not issued any debt.

B. Not applicable.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

See section G.

B. Plan Asset Investment Policies and Strategies

See section G.

C. Determination of Fair Values

See section G.

D. Long-term Rate of Return on Plan Assets

See section G.

E. Defined Contribution Plans

See section G.

F. Multiemployer Plans

Not applicable.

G. Consolidated/Holding Company Plans

The Company participates in the cost of the following plans sponsored by New York Life: (1) certain postretirement life and health benefits for retired employees and agents including their eligible dependents, (2) certain defined benefit pension plans for eligible employees and agents, (3) certain defined contribution plans for substantially all employees and agents and (4) postemployment benefits. The expense for these plans is allocated to the Company in accordance with an intercompany cost sharing agreement. The liabilities for these plans are included with the liabilities for the corresponding plan of New York Life. The Company's share of the cost of these plans was as follows for the year ended December 31, 2017 and 2016:

	2017	2016
Postretirement life and health	\$ 39,914	\$ 27,448
Defined benefit pension	135,892	115,254
Defined contribution	47,142	41,257
Postemployment benefits	—	679
Total	<u>\$ 222,948</u>	<u>\$ 184,638</u>

H. Postemployment Benefits and Compensated Absences

See section G.

I. Impact of Medicare Modernization Act on Postretirement Benefit (INT 04-17)

See section G.

13. Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations

(1) The Company has 30,000 shares authorized, with a par value of \$100 per share with 25,000 shares issued and outstanding. All shares are common stock and all are owned by New York Life.

(2) Not applicable.

## NOTES TO FINANCIAL STATEMENTS

- (3) The Company is subject to restrictions on the payment of dividends to New York Life. Under the Arizona Insurance Law, cash dividends to stockholders may only be paid out of an insurer's available surplus funds which are derived from realized net profits on its business. Stock dividends may be paid out of any available surplus funds that exceed the aggregate amount of surplus loaned to the insurer. No surplus funds have been loaned to the Company. In addition, generally, no extraordinary dividend (as described under Arizona Revised Statute ("A.R.S.") Section 20-481.19) may be paid or distributed to stockholders without the prior approval of the Director of Insurance of Arizona. At December 31, 2017, the Company had surplus of \$9,303,263.
- (4) During the year ended December 31, 2017, the Company did not declare a dividend to its sole shareholder, New York Life.
- (5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.
- (6) No restrictions have been placed on the unassigned surplus funds of the Company.
- (7) The Company did not have any advances to surplus.
- (8) The Company did not hold stock, including stock of affiliated companies, for any special purpose.
- (9) The Company did not hold any special surplus funds.
- (10) The Company did not have any unassigned funds (surplus) represented or reduced by cumulative net unrealized losses, gross of deferred taxes as at December 31, 2017.
- (11) The Company has not issued any surplus notes.
- (12)-(13) The Company has never had a quasi-reorganization.

### 14. Liabilities, Contingencies and Assessments

#### A. Contingent Commitments

##### (1) Commitments or contingent commitments

The Company does not have any commitments or contingent commitments.

##### (2) Guarantees

The Company does not have any guarantees.

##### (3) Guarantee obligations

The Company does not have any guarantee obligations.

#### B. Assessments

(1)-(3) The Company is not aware of any significant insolvencies of insurance companies, which would result in material assessments on the Company and as a result, no provision has been made, either as an asset or liability for amounts to be paid on insurer insolvencies.

#### C. Gain Contingencies

Not applicable.

#### D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

The Company's exposure to extra contractual obligations and bad faith losses is immaterial.

#### E. Joint and Several Liabilities

Not applicable.

#### F. All Other Contingencies

The Company, along with New York Life and NYLIAC, is a defendant in one consolidated purported class action suit arising from its agency sales force. The lawsuit seeks, among other things, extra contractual damages. Notwithstanding the uncertain nature of litigation, the outcome of which cannot be predicted, the Company believes that, after provisions made in the financial statements, the ultimate liability that could result from this litigation would not have a material adverse effect on the Company's financial position; however, it is possible that a settlement or adverse determination in this action or other proceedings in the future could have a material adverse effect on the Company's operating results for a given year.

Subject to the above, the Company has no pending legal proceedings that would have a material adverse effect on the financial position of the Company.

### 15. Leases

#### A. Lessee Operating Lease

(1)a The Company is a party to an affiliated group air transportation services agreement entered into with NYLIFE LLC, a direct wholly owned subsidiary of New York Life, in November 2004. Under the terms of the agreement the Company, in conjunction with certain specified affiliates, leases an aircraft from NYLIFE LLC. Costs associated with the lease are determined on a fully allocated basis and allotted to the parties based on usage. The Company's share of expenses associated with the lease of the aircraft was \$4,251 in 2017. The agreement expires in 2019.

(1)b-(3)b Not applicable.

#### B. Lessor Leases

Not applicable.

NOTES TO FINANCIAL STATEMENTS

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

(1) - (7) Not applicable.

C. Wash Sales

(1) In the course of the Company's investment management activities, securities may be sold at a loss and repurchased within 30 days of the sale date to enhance the Company's yield on its investment portfolio.

(2) The Company did not have any wash sales where securities with an NAIC rating designation of 3 or below, or unrated, were sold during the year ended December 31, 2017 and reacquired within 30 days of the sale date.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

The Company did not have any direct premium written/produced by managing general agents/third-party administrators during 2017.

20. Fair Value Measurements

A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, *Fair Value Measurements*. Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.

(1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:

**Level 1** Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.

**Level 2** Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.

**Level 3** Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company had no investments held at fair value at December 31, 2017.

B. Not applicable.

C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at December 31, 2017:

	Fair Value	Carrying Value	Level 1	Level 2	Level 3	Not Practicable
Assets:						
Bonds	\$147,659,166	\$146,403,003	\$ —	\$147,659,166	\$ —	\$ —
Cash, cash equivalents and short-term investments	3,189,135	3,189,135	22,384	3,166,751	—	—
Investment income due and accrued	1,003,488	1,003,488	—	1,003,488	—	—
Total assets	<u>\$151,851,789</u>	<u>\$150,595,626</u>	<u>\$ 22,384</u>	<u>\$151,829,405</u>	<u>\$ —</u>	<u>\$ —</u>

**Bonds**

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses an income-based approach by using a discounted cash-flow model or it may use a market approach by looking at recent trades of a specific security to determine fair value on public securities or a combination of the two. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

## NOTES TO FINANCIAL STATEMENTS

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a market approach such as a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

### Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities, the carrying value of short-term investments, cash equivalents and investment income due and accrued is presumed to approximate fair value.

- D. If it is not practicable for an entity to estimate the fair value of that financial instrument or a class of financial instruments, the following shall be disclosed:

(1) - (2) Not applicable.

### 21. Other Items

#### A. Unusual or Infrequent Items

Not applicable.

#### B. Troubled Debt Restructuring: Debtors

Not applicable.

#### C. Other Disclosures

Assets with a carrying value of \$3,356,554 at December 31, 2017 were on deposit with government authorities or trustees as required by certain state insurance laws.

#### D. Business Interruption Insurance Recoveries

Not applicable.

#### E. State Transferable and Non-transferable Tax Credits

Not applicable.

#### F. Subprime and Midprime Mortgage Related Risk Exposure

(1) The Company categorizes mortgage securities with an average FICO score (credit score) of 625 or less as "subprime" mortgage securities and mortgage securities with an average FICO score of greater than 625 and less than 700 as "midprime" mortgage securities. Securities with an average FICO score of 700 or greater are characterized as "prime". The delinquency, credit loss, prepayment rate of the pool of mortgages collateralizing the investment and credit enhancement available for the investment are reviewed. Cash flow forecasts for each subprime and midprime mortgage security using estimates of future prepayment, delinquency, default and loss severity rates are prepared and are stress tested. This analysis shows that the majority of the unrealized losses associated with the Company's subprime and midprime mortgage holdings is due to market dislocation and is not reflective of the projected cash flows for the portfolio of securities or how these securities have performed to date.

(2) The Company does not engage in subprime residential or commercial mortgage lending and therefore has no direct exposure through investments in subprime mortgage loans.

(3) The Company has exposure to subprime and midprime residential mortgage lending through its fixed maturity investments that are collateralized by mortgages that have characteristics of subprime or midprime lending. Subprime residential mortgage lending is the origination of residential mortgage loans to customers with weak credit profiles, including using relaxed mortgage-underwriting standards that provide for affordable mortgage products. These investments are primarily in the form of asset-backed securities ("ABS") supported by subprime or midprime residential mortgage loans or collateralized debt securities ("CDOs") that contain a subprime or midprime loan component. The collective carrying value of these investments is \$166,188 representing 0.11% of total fixed maturity investments. Of this amount, 100% had credit quality ratings below "AA". There was no common stock subprime or midprime exposure. The Company manages its subprime and midprime risk exposure by limiting the Company's holdings in these types of instruments, and performing ongoing analysis of cash flows, prepayment speeds, default rates and other stress variables.

The Company's general account fixed maturity investments that are collateralized by residential mortgages that have characteristics of subprime or midprime lending are:

Midprime-Type	Actual Cost	Book Adjusted Carrying Value	Fair Value	OTTI Losses
Residential mortgage-backed securities	\$ 165,971	\$ 166,188	\$ 175,789	\$ 116,110

(4) The Company does not have underwriting exposure to subprime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.

#### G. Retained Assets

(1) Effective June 1, 2012, the Company ceased offering retained asset accounts as a settlement option to life insurance beneficiaries. Prior to that date, beneficiaries could select the retained asset account as a settlement option for satisfying individual life insurance claims of \$10,000 or more. Retained asset accounts are interest-bearing draft accounts administered by an unaffiliated bank and beneficiaries may access available funds by writing a check for any amount up to the full remaining balance of the net claim settlement. The Company's aggregate liability for retained asset accounts is reported as a component of liability for deposit-type contracts on Page 3 – Liabilities, Surplus and other Funds.

**NOTES TO FINANCIAL STATEMENTS**

Interest rates for retained asset accounts are not guaranteed and are declared periodically at the discretion of the Company. The following interest rates were paid to retained asset account holders in 2017:

Effective Date		Interest Rate
From	Through	
01/01/2017	06/04/2017	0.11%
6/5/2017	10/15/2017	0.12%
10/16/2017	11/5/2017	0.13%
11/6/2017	12/31/2017	0.14%

The applicable fees charged for retained asset accounts in 2017 were as follows:

Description	Amount Charged
Overnight delivery of additional checkbooks	\$15 weekday, \$22 weekend
Checks returned for insufficient funds	\$10 per occurrence
Stop Payment requests	\$12 per request

(2) The following table presents the number and balance of retained asset accounts in-force at December 31, 2017 and 2016, respectively:

	In-Force			
	2017		2016	
	Number	Amount	Number	Amount
Up to and including 12 months	—	\$ —	—	\$ —
13 to 24 months	—	—	—	—
25 to 36 months	—	—	—	—
37 to 48 months	—	—	—	—
49 to 60 months	—	—	3	62,849
Over 60 months	15	1,712,944	22	2,230,653
Total	15	\$ 1,712,944	25	\$ 2,293,502

(3) The following table presents the Company's retained asset accounts at December 31, 2017:

	Individual	
	Number	Amount
Retained asset accounts at the beginning of the year	25	\$ 2,293,502
Retained asset accounts issued/added during the year	—	—
Investment earnings credited to retained asset accounts during the year	N/A	2,336
Fees and other charges assessed to retained asset accounts during the year	—	(10)
Retained asset accounts transferred to state unclaimed property funds during the year	(1)	(45,014)
Retained asset accounts closed/withdrawn during at the year	(9)	(537,870)
Retained asset accounts at the end of the year	\$ 15	\$ 1,712,944

**H. Insurance-Linked Securities ("ILS") Contracts**

Not applicable

**22. Events Subsequent**

At February 23, 2018, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

**23. Reinsurance**

**A. Ceded Reinsurance Report**

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company? Yes ( ) No (X). If yes, give full details.
- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) which is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business? Yes ( ) No (X). If yes, give full details.

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits? Yes ( ) No (X).
  - a. If yes, what is the estimated amount of the aggregate reduction in surplus of a unilateral cancellation by the reinsurer as of the date of this statement, for those agreements in which cancellation results in a net obligation of the company to the reinsurer, and for which such obligation is not presently accrued? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate.
  - b. What is the total amount of reinsurance credits taken, whether as an asset or as a reduction of a liability, for these agreements in this statement?

NOTES TO FINANCIAL STATEMENTS

(2) Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies? Yes ( ) No (X). If yes, give full details.

Section 3 – Ceded Reinsurance Report – Part B

(1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. If all reinsurance agreements were terminated by either party as of the date of the statement, the resulting reduction in surplus due to loss of reserve credits net of unearned premium would be approximately \$6,300,000.

(2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts which were in-force or which had existing reserves established by the Company as of the effective date of the agreement? Yes ( ) No (X). If yes, what is the amount of reinsurance credits, whether an asset or a reduction of liability, taken for such new agreements or amendments?

**B. Uncollectible Reinsurance**

Not applicable.

**C. Commutation of Ceded Reinsurance**

Not applicable.

**D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation**

Not applicable.

E - G. Not applicable.

**24. Retrospectively Rated Contracts and Contracts Subject to Redetermination**

Not applicable.

**25. Change in Incurred Losses and Loss Adjustment Expenses**

Not applicable.

**26. Intercompany Pooling Arrangements**

Not applicable.

**27. Structured Settlements**

Not applicable.

**28. Health Care Receivables**

Not applicable.

**29. Participating Policies**

Not applicable.

**30. Premium Deficiency Reserves**

Not applicable.

**31. Reserves for Life Contracts and Annuity Contracts**

(1) The Company waives deductions of deferred fractional premiums upon death of the insured and returns a portion of the final premium beyond the date of death. No surrender values are promised in excess of the total reserves included in other sections of Exhibit 5.

(2) Certain substandard policies were valued on tables that are multiples of the standard table.

(3) At December 31, 2017, the Company had \$340,000 of insurance in force for which the gross premiums are less than the net premiums according to the standard of valuation set by the State of Arizona. Reserves to cover the above insurance totaled the net amount of \$590 at year-end and are reported in Exhibit 5 - Miscellaneous Reserves.

(4) The tabular interest (Page 7, Line 4) and tabular less actual reserves released (Page 7, Line 5) have been determined by formula as described in the instructions for Page 7. The tabular cost (Page 7, Line 9) has been determined by formula as described in the instructions for Page 7 adjusted for the difference in valuation mortality in different years between the tabular cost of mortality floor and the rest of the Regulation XXX calculation. (See item 6 of this footnote).

(5) The tabular interest on funds not involving life contingencies on Exhibit 7, Line 3 is generally the interest actually credited to or accrued on such funds.

(6) The details for "other increases (net)" on Page 7, Line 7 are:

	<u>Ordinary Life</u>
Effects attributable to differences in valuation mortality between the tabular cost floor and the rest of the reserve calculation, and between the direct and ceded reserves for policies reinsured under other than coinsurance	\$ 5,068,562
Impact of change in X factors	(2,960)
Impact of updated disability IBNR/ICOS factors	56,662
Total	<u>\$ 5,122,264</u>



**NOTES TO FINANCIAL STATEMENTS**

**32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics**

	<u>Amount</u>	<u>% of Total</u>
A. Subject to discretionary withdrawal:		
(1) With market value adjustment	\$ —	0%
(2) At book value less current surrender charge of 5% of more	—	0%
(3) At fair value	—	0%
(4) Total with market value adjustment or at fair value (Total of 1 through 3)	—	0%
(5) At book value without adjustment (minimal or no charge or adjustment)	1,712,944	100%
B. Not subject to discretionary withdrawal	—	0%
C. Total (gross)	1,712,944	100%
D. Reinsurance ceded	—	0%
E. Total (net) * (C) – (D)	<u>\$ 1,712,944</u>	<u>100%</u>

\* Reconciliation of total annuity actuarial reserves and deposit fund liabilities

	<u>Amount</u>
F. Life & Accident & Health Annual Statement: Exhibit 7, Line 14, Total (net)	<u>\$ 1,712,944</u>

**33. Premium and Annuity Considerations Deferred and Uncollected**

A. Deferred and uncollected life insurance premiums at December 31, 2017, were as follows:

<u>Type</u>	<u>Gross</u>	<u>Net of Loading</u>
(1) Ordinary new business	\$ —	\$ —
(2) Ordinary renewal	15,742,660	22,622,219
Total	<u>\$ 15,742,660</u>	<u>\$ 22,622,219</u>

**34. Separate Accounts**

Not applicable.

**35. Loss/Claim Adjustment Expenses**

Not applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1, 1A and 2
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? ..... Yes [ X ] No [ ] N/A [ ]
- 1.3 State Regulating? ..... Arizona
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2014
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2014
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 06/21/2016
- 3.4 By what department or departments?  
Arizona Department of Insurance .....
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.11 sales of new business? ..... Yes [ ] No [ X ]  
4.12 renewals? ..... Yes [ ] No [ X ]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:  
4.21 sales of new business? ..... Yes [ ] No [ X ]  
4.22 renewals? ..... Yes [ ] No [ X ]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---------------------|------------------------|------------------------|
|                     |                        |                        |
- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 6.2 If yes, give full information: .....
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? ..... Yes [ ] No [ X ]
- 7.2 If yes,  
7.21 State the percentage of foreign control; ..... %  
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]  
 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
 .....  
 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]  
 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
NYLIFE Securities LLC	New York, NY				YES
NYLIFE Distributors LLC	Jersey City, NJ				YES
Eagle Strategies LLC	New York, NY				YES
New York Life Investment Management LLC	New York, NY				YES
MacKay Shields LLC	New York, NY				YES
GoldPoint Partners LLC	New York, NY				YES
Cornerstone Capital Management Holdings LLC	New York, NY				YES
NYLIM Service Company LLC	Jersey City, NJ				YES
Private Advisors, L.L.C.	Richmond, VA				YES
Credit Value Partners, LLC	Greenwich, CT				YES
NYL Investors LLC	New York, NY				YES
IndexIQ Advisors LLC	Rye Brook, NY				YES
Madison Capital Funding LLC	Chicago, IL				YES
CHIPC Evergreen General, LLC	Greenwich, CT				YES
CHIPC PE General, LLC	Greenwich, CT				YES
CVP IV General LLC	Greenwich, CT				YES
CVP CLO Advisors, LLC	Greenwich, CT				YES
CVP CLO Manager, LLC	Greenwich, CT				YES

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
 Pricewaterhouse Coopers, LLP, 300 Madison Avenue, New York, NY 10017
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? ..... Yes [ ] No [ X ]  
 10.2 If the response to 10.1 is yes, provide information related to this exemption:  
 .....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? ..... Yes [ ] No [ X ]  
 10.4 If the response to 10.3 is yes, provide information related to this exemption:  
 .....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? ..... Yes [ ] No [ X ] N/A [ ]  
 10.6 If the response to 10.5 is no or n/a, please explain  
 Pursuant to Arizona Revised Statutes Section 20-698 and the NAIC Model Audit Rule, NYLIFE Insurance Company of Arizona is not required to comply with the independence requirements of the Model Audit Rule as its aggregate annual premiums are less \$300 million. ....
11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?  
 Stephen McNamara, FSA, MAAA, Vice President & Actuary, NYLIFE Insurance Company of Arizona, 51 Madison Ave, Room 711, NY, NY 10010 .....
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? ..... Yes [ ] No [ X ]  
 12.11 Name of real estate holding company .....  
 12.12 Number of parcels involved .....  
 12.13 Total book/adjusted carrying value ..... \$ .....
- 12.2 If, yes provide explanation:  
 .....
- 13. FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?  
 .....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? ..... Yes [ ] No [ ]  
 13.3 Have there been any changes made to any of the trust indentures during the year? ..... Yes [ ] No [ ]  
 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? ..... Yes [ ] No [ ] N/A [ ]  
 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain:  
 .....
- 14.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [ X ]  
 14.21 If the response to 14.2 is yes, provide information related to amendment(s).  
 .....
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]  
 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).  
 .....

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? ..... Yes [ ] No [ X ]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

**BOARD OF DIRECTORS**

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? ..... Yes [ X ] No [ ]
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? ..... Yes [ X ] No [ ]
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? ..... Yes [ X ] No [ ]

**FINANCIAL**

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? ..... Yes [ ] No [ X ]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- |   |          |
|---|----------|
| 20.11 To directors or other officers.....               | \$ ..... |
| 20.12 To stockholders not officers.....                 | \$ ..... |
| 20.13 Trustees, supreme or grand (Fraternal Only) ..... | \$ ..... |
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- |   |          |
|---|----------|
| 20.21 To directors or other officers.....               | \$ ..... |
| 20.22 To stockholders not officers.....                 | \$ ..... |
| 20.23 Trustees, supreme or grand (Fraternal Only) ..... | \$ ..... |
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? ..... Yes [ ] No [ X ]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- |                                 |          |
|---------------------------------|----------|
| 21.21 Rented from others.....   | \$ ..... |
| 21.22 Borrowed from others..... | \$ ..... |
| 21.23 Leased from others .....  | \$ ..... |
| 21.24 Other .....               | \$ ..... |
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? ..... Yes [ ] No [ X ]
- 22.2 If answer is yes:
- |   |
|---|
| 22.21 Amount paid as losses or risk adjustment \$ ..... |
| 22.22 Amount paid as expenses .....                     |
| 22.23 Other amounts paid .....                          |
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ X ] No [ ]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 21,363

**INVESTMENT**

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03)..... Yes [ X ] No [ ]
- 24.02 If no, give full and complete information relating thereto  
 .....
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)  
 .....
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? ..... Yes [ ] No [ ] N/A [ X ]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. .... \$ .....
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. .... \$ .....
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? ..... Yes [ ] No [ ] N/A [ X ]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? ..... Yes [ ] No [ ] N/A [ X ]
- 24.09 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? ..... Yes [ ] No [ ] N/A [ X ]

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....  
 24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....  
 24.103 Total payable for securities lending reported on the liability page ..... \$ .....

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03) ..... Yes [ X ] No [ ]

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements ..... \$ .....  
 25.22 Subject to reverse repurchase agreements ..... \$ .....  
 25.23 Subject to dollar repurchase agreements ..... \$ .....  
 25.24 Subject to reverse dollar repurchase agreements ..... \$ .....  
 25.25 Placed under option agreements ..... \$ .....  
 25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock ..... \$ .....  
 25.27 FHLB Capital Stock ..... \$ .....  
 25.28 On deposit with states ..... \$ ..... 3,356,554  
 25.29 On deposit with other regulatory bodies ..... \$ .....  
 25.30 Pledged as collateral - excluding collateral pledged to an FHLB ..... \$ .....  
 25.31 Pledged as collateral to FHLB - including assets backing funding agreements ..... \$ .....  
 25.32 Other ..... \$ .....

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? ..... Yes [ ] No [ X ]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ X ]  
 If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? ..... Yes [ ] No [ X ]

27.2 If yes, state the amount thereof at December 31 of the current year ..... \$ .....

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
JPMorgan Chase .....	4 MetroTech Center, 4th Fl., Brooklyn, NY 11245 .....
The Bank of New York Mellon .....	225 Liberty Street, 22nd Fl., New York, NY 10286 .....
The Northern Trust Company .....	50 S LaSalle Street, 2nd Fl., Chicago, IL 60603 .....
RBC Dexia Investor Services Trust .....	155 Wellington Street West, 3rd Fl., Toronto, ON M5V 3L3 .....

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? ..... Yes [ ] No [ X ]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
NYL Investors LLC .....	A.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [ ] No [ X ]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [ ] No [ X ]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
169553 .....	NYL Investors LLC .....	N/A .....	SEC .....	DS.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? ..... Yes [ ] No [ X ]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation
.....	.....	.....	.....

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds .....	151,319,423	152,575,586	1,256,163
30.2 Preferred stocks .....			
30.3 Totals	151,319,423	152,575,586	1,256,163

30.4 Describe the sources or methods utilized in determining the fair values:

See Note 20 .....

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? ..... Yes [ X ] No [ ]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? ..... Yes [ ] No [ X ]

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:  
 Independent pricing vendors are used to value Schedule D assets. Broker quotes are used on a limited basis from approved brokers when independent pricing vendors do not provide quotes. ....

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

32.2 If no, list exceptions:  
 .....

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

33. By self-designating 5\*GI securities, the reporting entity is certifying the following elements of each self-designated 5\*GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5\*GI securities? ..... Yes [ ] No [ X ]

**OTHER**

34.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? .....\$ .....11,267

34.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

35.1 Amount of payments for legal expenses, if any? .....\$ .....

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid

36.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? .....\$ .....

36.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

**PART 2 - LIFE INTERROGATORIES**

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? ..... Yes [ ] No [ X ]

1.2 If yes, indicate premium earned on U.S. business only ..... \$

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? ..... \$  
 1.31 Reason for excluding:  
 .....

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. .... \$

1.5 Indicate total incurred claims on all Medicare Supplement insurance. .... \$

1.6 Individual policies:

	Most current three years:	
	1.61 Total premium earned .....	\$ .....
	1.62 Total incurred claims .....	\$ .....
	1.63 Number of covered lives .....	.....
	All years prior to most current three years	
	1.64 Total premium earned .....	\$ .....
	1.65 Total incurred claims .....	\$ .....
	1.66 Number of covered lives .....	.....

1.7 Group policies:

	Most current three years:	
	1.71 Total premium earned .....	\$ .....
	1.72 Total incurred claims .....	\$ .....
	1.73 Number of covered lives .....	.....
	All years prior to most current three years	
	1.74 Total premium earned .....	\$ .....
	1.75 Total incurred claims .....	\$ .....
	1.76 Number of covered lives .....	.....

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator .....		
2.2 Premium Denominator .....	14,794,902	18,496,973
2.3 Premium Ratio (2.1/2.2) .....	0.000	0.000
2.4 Reserve Numerator .....		
2.5 Reserve Denominator .....	49,763,638	62,582,355
2.6 Reserve Ratio (2.4/2.5) .....	0.000	0.000

3.1 Does this reporting entity have Separate Accounts? ..... Yes [ ] No [ X ]

3.2 If yes, has a Separate Accounts Statement been filed with this Department? ..... Yes [ ] No [ ] N/A [ X ]

3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? ..... \$

3.4 State the authority under which Separate Accounts are maintained:  
 .....

3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? ..... Yes [ ] No [ ]

3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? ..... Yes [ ] No [ ]

3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"? .....

4.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? ..... Yes [ X ] No [ ]

4.2 Net reimbursement of such expenses between reporting entities:

4.21 Paid .....		\$ 3,564,179
4.22 Received .....		\$ .....

5.1 Does the reporting entity write any guaranteed interest contracts? ..... Yes [ ] No [ X ]

5.2 If yes, what amount pertaining to these lines is included in:

5.21 Page 3, Line 1 .....		\$ .....
5.22 Page 4, Line 1 .....		\$ .....

6. FOR STOCK REPORTING ENTITIES ONLY:

6.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: ..... \$ 98,500,000

7. Total dividends paid stockholders since organization of the reporting entity:

7.11 Cash .....		\$ .....
7.12 Stock .....		\$ .....



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**GENERAL INTERROGATORIES**

8.1 Does the company reinsure any Workers' Compensation Carve-Out business defined as: ..... Yes [ ] No [ X ]  
 Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance.

8.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? ..... Yes [ ] No [ ]

8.3 If 8.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
8.31 Earned premium .....	.....	.....	.....
8.32 Paid claims .....	.....	.....	.....
8.33 Claim liability and reserve (beginning of year) .....	.....	.....	.....
8.34 Claim liability and reserve (end of year) .....	.....	.....	.....
8.35 Incurred claims .....	.....	.....	.....

8.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 8.31 and 8.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
8.41	<\$25,000	.....	.....
8.42	\$25,000 - 99,999	.....	.....
8.43	\$100,000 - 249,999	.....	.....
8.44	\$250,000 - 999,999	.....	.....
8.45	\$1,000,000 or more	.....	.....

8.5 What portion of earned premium reported in 8.31, Column 1 was assumed from pools? .....\$ .....

9. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

9.1 Amount of loss reserves established by these annuities during the current year: .....\$ .....

9.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
P&C Insurance Company And Location	

10.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

10.2 If yes, please provide the amount of custodial funds held as of the reporting date. ....\$ .....

10.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

10.4 If yes, please provide the balance of funds administered as of the reporting date. ....\$ .....

## GENERAL INTERROGATORIES

11.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? ..... Yes [  ] No [  ] N/A [  ]

11.2 If the answer to 11.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

12. Provide the following for individual ordinary life insurance\* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

12.1 Direct Premium Written .....	\$ .....	33,637,074
12.2 Total Incurred Claims .....	\$ .....	25,362,754
12.3 Number of Covered Lives .....		22,807

*Ordinary Life Insurance Includes
Term (whether full underwriting, limited underwriting, jet issue, "short form app")
Whole Life (whether full underwriting, limited underwriting, jet issue, "short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**FIVE-YEAR HISTORICAL DATA**

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.  
\$000 omitted for amounts of life insurance

	1 2017	2 2016	3 2015	4 2014	5 2013
<b>Life Insurance in Force</b> (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4) .....					
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4) .....	10,084,357	12,441,411	15,518,497	18,888,206	22,679,474
3. Credit life (Line 21, Col. 6) .....					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4) .....					
5. Industrial (Line 21, Col. 2) .....					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4) .....					
7. Total (Line 21, Col. 10) .....	10,084,357	12,441,411	15,518,497	18,888,206	22,679,474
7.1 Total in force for which VM-20 deterministic/stochastic reserves are calculated .....		XXX	XXX	XXX	XXX
<b>New Business Issued</b> (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2) .....					
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2) .....					
10. Credit life (Line 2, Col. 6) .....					
11. Group (Line 2, Col. 9) .....					
12. Industrial (Line 2, Col. 2) .....					
13. Total (Line 2, Col. 10) .....					
<b>Premium Income - Lines of Business</b> (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2) .....					
15.1 Ordinary-life insurance (Line 20.4, Col. 3) .....	14,794,902	18,496,973	23,306,067	32,988,534	24,918,157
15.2 Ordinary-individual annuities (Line 20.4, Col. 4) .....					
16. Credit life (group and individual) (Line 20.4, Col. 5) .....					
17.1 Group life insurance (Line 20.4, Col. 6) .....					
17.2 Group annuities (Line 20.4, Col. 7) .....					
18.1 A & H-group (Line 20.4, Col. 8) .....					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9) .....					
18.3 A & H-other (Line 20.4, Col. 10) .....					
19. Aggregate of all other lines of business (Line 20.4, Col. 11) .....					
20. Total .....	14,794,902	18,496,973	23,306,067	32,988,534	24,918,157
<b>Balance Sheet (Pages 2 &amp; 3)</b>					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3) .....	177,178,291	182,925,507	200,467,143	199,780,662	194,934,357
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26) .....	66,875,028	78,992,583	109,290,756	120,133,159	126,742,637
23. Aggregate life reserves (Page 3, Line 1) .....	59,478,352	70,334,691	89,607,709	105,143,086	110,790,350
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1 .....		XXX	XXX	XXX	XXX
24. Aggregate A & H reserves (Page 3, Line 2) .....					
25. Deposit-type contract funds (Page 3, Line 3) .....	1,712,944	2,293,502	2,944,043	3,816,561	5,108,786
26. Asset valuation reserve (Page 3, Line 24.01) .....	475,074	494,291	483,376	428,727	373,405
27. Capital (Page 3, Lines 29 and 30) .....	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
28. Surplus (Page 3, Line 37) .....	107,803,263	101,432,924	88,676,387	77,147,503	65,691,720
<b>Cash Flow (Page 5)</b>					
29. Net Cash from Operations (Line 11) .....	(1,987,926)	(7,725,867)	779,523	8,377,724	4,677,211
<b>Risk-Based Capital Analysis</b>					
30. Total adjusted capital .....	110,778,337	104,427,215	91,659,763	80,076,230	68,565,124
31. Authorized control level risk - based capital .....	2,942,523	3,504,146	4,235,710	4,983,446	4,258,425
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets</b> (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1) .....	97.9	97.5	92.1	95.4	86.4
33. Stocks (Lines 2.1 and 2.2) .....					
34. Mortgage loans on real estate(Lines 3.1 and 3.2 ) .....					
35. Real estate (Lines 4.1, 4.2 and 4.3) .....					
36. Cash, cash equivalents and short-term investments (Line 5) .....	2.1	2.5	7.9	2.7	11.1
37. Contract loans (Line 6) .....					
38. Derivatives (Page 2, Line 7) .....					
39. Other invested assets (Line 8) .....			0.0	1.9	2.6
40. Receivables for securities (Line 9) .....	0.0	0.0			
41. Securities lending reinvested collateral assets (Line 10) .....					
42. Aggregate write-ins for invested assets (Line 11) .....					
43. Cash, cash equivalents and invested assets (Line 12) .....	100.0	100.0	100.0	100.0	100.0

**FIVE-YEAR HISTORICAL DATA**

(Continued)

	1 2017	2 2016	3 2015	4 2014	5 2013
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1) .....					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1) .....					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1), .....					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10) .....					
48. Affiliated mortgage loans on real estate .....					
49. All other affiliated .....			1,074	2,986,240	3,954,728
50. Total of above Lines 44 to 49 .....			1,074	2,986,240	3,954,728
51. Total Investment in Parent included in Lines 44 to 49 above .....					
<b>Total Nonadmitted and Admitted Assets</b>					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2) .....	1,627,964	2,271,519	2,053,991	2,764,114	4,628,595
53. Total admitted assets (Page 2, Line 28, Col. 3) .....	177,178,291	182,925,507	200,467,143	199,780,662	194,934,357
<b>Investment Data</b>					
54. Net investment income (Exhibit of Net Investment Income) .....	4,496,365	5,255,449	5,323,173	5,228,655	5,181,315
55. Realized capital gains (losses) (Page 4, Line 34, Column 1) .....	22,319	(1,425)	9,862	(6,227)	(20,171)
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1) .....	5,178		(10,279)	67	219
57. Total of above Lines 54, 55 and 56 .....	4,523,862	5,254,024	5,322,756	5,222,495	5,161,363
<b>Benefits and Reserve Increases (Page 6)</b>					
58. Total contract benefits - life (Lines 10, 11, 12, 13, 14 and 15 Col. 1, minus Lines 10, 11, 12, 13, 14 and 15 Cols. 9, 10 and 11) .....	11,824,532	13,059,934	16,075,071	17,234,126	12,591,134
59. Total contract benefits - A & H (Lines 13 & 14, Cols. 9, 10 & 11) .....					
60. Increase in life reserves - other than group and annuities (Line 19, Cols. 2 and 3) .....	(10,856,338)	(18,573,018)	(14,883,796)	(5,202,348)	(8,693,293)
61. Increase in A & H reserves (Line 19, Cols. 9, 10 & 11) .....					
62. Dividends to policyholders (Line 30, Col. 1) .....					
<b>Operating Percentages</b>					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0 .....	18.6	8.5	9.8	5.2	10.9
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0 .....	17.6	18.0	16.0	15.0	13.5
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2) .....					
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2) .....					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2) .....					
<b>A &amp; H Claim Reserve Adequacy</b>					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2) .....					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2) .....					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2) .....					
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2) .....					
<b>Net Gains From Operations After Federal Income Taxes by Lines of Business (Page 6, Line 33)</b>					
72. Industrial life (Col. 2) .....					
73. Ordinary - life (Col. 3) .....	9,882,492	12,370,747	12,023,550	10,845,009	7,911,262
74. Ordinary - individual annuities (Col. 4) .....					
75. Ordinary-supplementary contracts (Col. 5) .....	(2,340)	(2,735)	(462)	199,418	181,507
76. Credit life (Col. 6) .....					
77. Group life (Col. 7) .....					
78. Group annuities (Col. 8) .....					
79. A & H-group (Col. 9) .....					
80. A & H-credit (Col. 10) .....					
81. A & H-other (Col. 11) .....					
82. Aggregate of all other lines of business (Col. 12) ....					
83. Total (Col. 1) .....	9,880,152	12,368,012	12,023,088	11,044,427	8,092,769

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [ ] No [ ]  
 If no, please explain: .....

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**EXHIBIT OF LIFE INSURANCE**

(\$000 Omitted for Amounts of Life Insurance)

	Industrial		Ordinary		Credit Life (Group and Individual)		Group			10 Total Amount of Insurance
	1	2	3	4	5	6	Number of		9	
	Number of Policies	Amount of Insurance	Number of Policies	Amount of Insurance	Number of Individual Policies and Group Certificates	Amount of Insurance	Policies	Certificates	Amount of Insurance	
1. In force end of prior year .....			27,643	12,441,411						12,441,411
2. Issued during year .....										
3. Reinsurance assumed .....										
4. Revived during year .....			64	42,353						42,353
5. Increased during year (net) .....										
6. Subtotals, Lines 2 to 5 .....			64	42,353						42,353
7. Additions by dividends during year .....	XXX		XXX		XXX		XXX	XXX		
8. Aggregate write-ins for increases .....										
9. Totals (Lines 1 and 6 to 8) .....			27,707	12,483,764						12,483,764
Deductions during year:										
10. Death .....			72	22,131			XXX			22,131
11. Maturity .....							XXX			
12. Disability .....							XXX			
13. Expiry .....										
14. Surrender .....			645	286,929						286,929
15. Lapse .....			3,200	1,693,691						1,693,691
16. Conversion .....			667	373,593			XXX	XXX	XXX	373,593
17. Decreased (net) .....			82	23,062						23,062
18. Reinsurance .....										
19. Aggregate write-ins for decreases .....										
20. Totals (Lines 10 to 19) .....			4,666	2,399,407						2,399,407
21. In force end of year (Line 9 minus Line 20) .....			23,041	10,084,357						10,084,357
22. Reinsurance ceded end of year .....	XXX		XXX	5,129,798	XXX		XXX	XXX		5,129,798
23. Line 21 minus Line 22 .....	XXX		XXX	4,954,559	XXX	(a)	XXX	XXX		4,954,559
<b>DETAILS OF WRITE-INS</b>										
0801. ....										
0802. ....										
0803. ....										
0898. Summary of remaining write-ins for Line 8 from overflow page .....										
0899. TOTALS (Lines 0801 thru 0803 plus 0898) (Line 8 above) .....										
1901. ....										
1902. ....										
1903. ....										
1998. Summary of remaining write-ins for Line 19 from overflow page .....										
1999. TOTALS (Lines 1901 thru 1903 plus 1998) (Line 19 above) .....										

(a) Group \$ ..... ; Individual \$ .....

**EXHIBIT OF LIFE INSURANCE**

(\$000 Omitted for Amounts of Life Insurance) (Continued)  
**ADDITIONAL INFORMATION ON INSURANCE IN FORCE END OF YEAR**

	Industrial		Ordinary	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
24. Additions by dividends .....	XXX		XXX	
25. Other paid-up insurance .....	XXX			
26. Debit ordinary insurance .....	XXX			

**NONE**

**ADDITIONAL INFORMATION ON ORDINARY INSURANCE**

Term Insurance Excluding Extended Term Insurance	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance
27. Term policies - decreasing .....			23,041	10,084,357
28. Term policies - other .....				
29. Other term insurance - decreasing .....	XXX		XXX	
30. Other term insurance .....	XXX		XXX	
31. Totals (Lines 27 to 30) .....			23,041	10,084,357
Reconciliation to Lines 2 and 21:				
32. Term additions .....	XXX		XXX	
33. Totals, extended term insurance .....	XXX	XXX		
34. Totals, whole life and endowment .....				
35. Totals (Lines 31 to 34) .....			23,041	10,084,357

**CLASSIFICATION OF AMOUNT OF INSURANCE BY PARTICIPATING STATUS**

	Issued During Year (Included in Line 2)		In Force End of Year (Included in Line 21)	
	1 Non-Participating	2 Participating	3 Non-Participating	4 Participating
36. Industrial .....				
37. Ordinary .....			10,084,357	
38. Credit Life (Group and Individual) .....				
39. Group .....				
40. Totals (Lines 36 to 39) .....			10,084,357	

**ADDITIONAL INFORMATION ON CREDIT LIFE AND GROUP INSURANCE**

	Credit Life		Group	
	1 Number of Individual Policies or Group Certificates	2 Amount of Insurance	3 Number of Certificates	4 Amount of Insurance
41. Amount of insurance included in Line 2 ceded to other companies .....	XXX		XXX	
42. Number in force end of year if the number under ceded groups is limited on a pro-rata basis .....				XXX
43. Federal Employees' Group Life Insurance included in Line 21 .....				
44. Servicemen's Group Life Insurance included in Line 21 .....				
45. Group Permanent Insurance included in Line 21 .....				

**NONE**

**ADDITIONAL ACCIDENTAL DEATH BENEFITS**

46. Amount of additional accidental death benefits in force end of year under ordinary policies .....	44,835
---	--------

**BASIS OF CALCULATION ON ORDINARY TERM INSURANCE**

47. State basis of calculation of (47.1) decreasing term insurance contained in Family Income Mortgage Protection, etc., policies and riders and of (47.2) term insurance on wife and children under Family, Parent and Child, etc., policies and riders .....	
47.1 .....	
47.2 .....	

**NONE**

**POLICIES WITH DISABILITY PROVISIONS**

Disability Provisions	Industrial		Ordinary		Credit		Group	
	1 Number of Policies	2 Amount of Insurance	3 Number of Policies	4 Amount of Insurance	5 Number of Policies	6 Amount of Insurance	7 Number of Certificates	8 Amount of Insurance
48. Waiver of Premium .....			11,854	5,031,413				
49. Disability Income .....								
50. Extended Benefits .....			XXX	XXX				
51. Other .....								
52. Total .....		(a)	11,854	(a) 5,031,413		(a)		(a)

(a) See the Annual Audited Financial Reports section of the annual statement instructions

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES**

**SUPPLEMENTARY CONTRACTS**

	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year .....		24		
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Total (Lines 1 to 4) .....		24		
Deductions during year:				
6. Decreased (net) .....		10		
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....		10		
9. In force end of year .....		14		
10. Amount on deposit .....		(a) 1,712,944		(a)
11. Income now payable .....				(a)
12. Amount of income payable .....	(a)	(a)	(a)	(a)

**ANNUITIES**

	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year .....				
2. Issued during year .....				
3. Reinsurance assumed .....				
4. Increased during year (net) .....				
5. Totals (Lines 1 to 4) .....				
Deductions during year:				
6. Decreased (net) .....				
7. Reinsurance ceded .....				
8. Totals (Lines 6 and 7) .....				
9. In force end of year .....				
Income now payable:				
10. Amount of income payable .....	(a)	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance .....	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance .....	XXX	(a)	XXX	(a)

**NONE**

**ACCIDENT AND HEALTH INSURANCE**

	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year .....						
2. Issued during year .....						
3. Reinsurance assumed .....						
4. Increased during year (net) .....		XXX		XXX		XXX
5. Totals (Lines 1 to 4) .....		XXX		XXX		XXX
Deductions during year:						
6. Conversions .....		XXX	XX	XXX	XXX	XXX
7. Decreased (net) .....		XXX		XXX		XXX
8. Reinsurance ceded .....		XXX				XXX
9. Totals (Lines 6 to 8) .....		XXX		XXX		XXX
10. In force end of year .....		(a)		(a)		(a)

**NONE**

**DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS**

	1	2
	Deposit Funds Contracts	Dividend Accumulations Contracts
1. In force end of prior year .....		
2. Issued during year .....		
3. Reinsurance assumed .....		
4. Increased during year (net) .....		
5. Totals (Lines 1 to 4) .....		
Deductions During Year:		
6. Decreased (net) .....		
7. Reinsurance ceded .....		
8. Totals (Lines 6 and 7) .....		
9. In force end of year .....		
10. Amount of account balance .....	(a)	(a)

**NONE**

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

**ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE**

**INTEREST MAINTENANCE RESERVE**

	1 Amount
1. Reserve as of December 31, Prior Year .....	(231,002)
2. Current year's realized pre-tax capital gains/(losses) of \$ .....4,138 transferred into the reserve net of taxes of \$ .....1,448 .....	2,689
3. Adjustment for current year's liability gains/(losses) released from the reserve .....	
4. Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3) .....	(228,313)
5. Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4) .....	(29,731)
6. Reserve as of December 31, current year (Line 4 minus Line 5)	(198,582)

**AMORTIZATION**

Year of Amortization	1 Reserve as of December 31, Prior Year	2 Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	3 Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	4 Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2017 .....	(35,037)	5,306		(29,731)
2. 2018 .....	(29,027)	(767)		(29,794)
3. 2019 .....	(25,113)	(797)		(25,910)
4. 2020 .....	(27,338)	(579)		(27,917)
5. 2021 .....	(32,619)	(354)		(32,973)
6. 2022 .....	(32,294)	(120)		(32,414)
7. 2023 .....	(27,014)			(27,014)
8. 2024 .....	(19,128)			(19,128)
9. 2025 .....	(11,377)			(11,377)
10. 2026 .....	(3,133)			(3,133)
11. 2027 .....	1,026			1,026
12. 2028 .....	1,086			1,086
13. 2029 .....	1,127			1,127
14. 2030 .....	1,191			1,191
15. 2031 .....	1,252			1,252
16. 2032 .....	1,320			1,320
17. 2033 .....	1,250			1,250
18. 2034 .....	1,037			1,037
19. 2035 .....	806			806
20. 2036 .....	553			553
21. 2037 .....	259			259
22. 2038 .....	95			95
23. 2039 .....	58			58
24. 2040 .....	19			19
25. 2041 .....				
26. 2042 .....				
27. 2043 .....				
28. 2044 .....				
29. 2045 .....				
30. 2046 .....				
31. 2047 and Later				
32. Total (Lines 1 to 31)	(231,002)	2,689		(228,313)



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**ASSET VALUATION RESERVE**

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year .....	494,291		494,291				494,291
2. Realized capital gains/(losses) net of taxes - General Account .....	34,783		34,783				34,783
3. Realized capital gains/(losses) net of taxes - Separate Accounts .....							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account .....							
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts .....							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves .....							
7. Basic contribution .....	72,843		72,843				72,843
8. Accumulated balances (Lines 1 through 5 - 6 + 7) .....	601,917		601,917				601,917
9. Maximum reserve .....	475,074		475,074				475,074
10. Reserve objective .....	351,507		351,507				351,507
11. 20% of (Line 10 - Line 8) .....	(50,082)		(50,082)				(50,082)
12. Balance before transfers (Lines 8 + 11) .....	551,835		551,835				551,835
13. Transfers .....							
14. Voluntary contribution .....							
15. Adjustment down to maximum/up to zero .....	(76,762)		(76,762)				(76,762)
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	475,073		475,073				475,073

**ASSET VALUATION RESERVE  
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS  
DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
<b>LONG-TERM BONDS</b>												
1.		Exempt Obligations .....	13,544,544	XXX	XXX	13,544,544	0.0000		0.0000		0.0000	
2.	1	Highest Quality .....	122,191,951	XXX	XXX	122,191,951	0.0004	48,877	0.0023	281,041	0.0030	366,576
3.	2	High Quality .....	10,166,532	XXX	XXX	10,166,532	0.0019	19,316	0.0058	58,966	0.0090	91,499
4.	3	Medium Quality .....	499,976	XXX	XXX	499,976	0.0093	4,650	0.0230	11,499	0.0340	16,999
5.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
6.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
7.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
8.		Total Unrated Multi-class Securities Acquired by Conversion .....		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (Sum of Lines 1 through 8)	146,403,003	XXX	XXX	146,403,003	XXX	72,843	XXX	351,507	XXX	475,074
<b>PREFERRED STOCK</b>												
10.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
11.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
12.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
13.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
14.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
15.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
16.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	
<b>SHORT - TERM BONDS</b>												
18.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
19.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
20.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
21.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
22.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
23.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
24.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
25.		Total Short - Term Bonds (Sum of Lines 18 through 24)		XXX	XXX		XXX		XXX		XXX	
<b>DERIVATIVE INSTRUMENTS</b>												
26.		Exchange Traded .....		XXX	XXX		0.0004		0.0023		0.0030	
27.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
28.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
29.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
30.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
31.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
32.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
33.		Total Derivative Instruments .....		XXX	XXX		XXX		XXX		XXX	
34.		Total (Lines 9 + 17 + 25 + 33)	146,403,003	XXX	XXX	146,403,003	XXX	72,843	XXX	351,507	XXX	475,074

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**DEFAULT COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
MORTGAGE LOANS												
In Good Standing:												
35.		Farm Mortgages - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
36.		Farm Mortgages - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
37.		Farm Mortgages - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
38.		Farm Mortgages - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
39.		Farm Mortgages - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
40.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
41.		Residential Mortgages - All Other .....			XXX		0.0013		0.0030		0.0040	
42.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
44.		Commercial Mortgages - All Other - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
45.		Commercial Mortgages - All Other - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
47.		Commercial Mortgages - All Other - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
Overdue, Not in Process:												
48.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
49.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
50.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
51.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
52.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
In Process of Foreclosure:												
53.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
54.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
55.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
56.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
57.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59.		Schedule DA Mortgages			XXX		0.0030		0.0100		0.0130	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public .....		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
2.		Unaffiliated - Private .....		XXX	XXX		0.0000		0.1600		0.1600	
3.		Federal Home Loan Bank .....		XXX	XXX		0.0000		0.0050		0.0080	
4.		Affiliated - Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations .....					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality .....					XXX		XXX		XXX	
7.		Fixed Income - High Quality .....					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality .....					XXX		XXX		XXX	
9.		Fixed Income - Low Quality .....					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality .....					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default .....					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public .....					0.0000		0.1300 (a)		0.1300 (a)	
13.		Unaffiliated Common Stock - Private .....					0.0000		0.1600		0.1600	
14.		Real Estate .....					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
16.		Affiliated - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
19.		Investment Properties .....					0.0000		0.0750		0.0750	
20.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1100		0.1100	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations .....		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
24.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
25.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
26.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
27.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
28.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS												
30.	1	Highest Quality .....		XXX	XXX		0.0004		0.0023		0.0030	
31.	2	High Quality .....		XXX	XXX		0.0019		0.0058		0.0090	
32.	3	Medium Quality .....		XXX	XXX		0.0093		0.0230		0.0340	
33.	4	Low Quality .....		XXX	XXX		0.0213		0.0530		0.0750	
34.	5	Lower Quality .....		XXX	XXX		0.0432		0.1100		0.1700	
35.	6	In or Near Default .....		XXX	XXX		0.0000		0.2000		0.2000	
36.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)		XXX	XXX		XXX		XXX		XXX	
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS												
In Good Standing Affiliated:												
38.		Mortgages - CM1 - Highest Quality .....			XXX		0.0010		0.0050		0.0065	
39.		Mortgages - CM2 - High Quality .....			XXX		0.0035		0.0100		0.0130	
40.		Mortgages - CM3 - Medium Quality .....			XXX		0.0060		0.0175		0.0225	
41.		Mortgages - CM4 - Low Medium Quality .....			XXX		0.0105		0.0300		0.0375	
42.		Mortgages - CM5 - Low Quality .....			XXX		0.0160		0.0425		0.0550	
43.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
44.		Residential Mortgages - All Other .....		XXX	XXX		0.0013		0.0030		0.0040	
45.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0003		0.0006		0.0010	
Overdue, Not in Process Affiliated:												
46.		Farm Mortgages .....			XXX		0.0420		0.0760		0.1200	
47.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
48.		Residential Mortgages - All Other .....			XXX		0.0025		0.0058		0.0090	
49.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0005		0.0012		0.0020	
50.		Commercial Mortgages - All Other .....			XXX		0.0420		0.0760		0.1200	
In Process of Foreclosure Affiliated:												
51.		Farm Mortgages .....			XXX		0.0000		0.1700		0.1700	
52.		Residential Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
53.		Residential Mortgages - All Other .....			XXX		0.0000		0.0130		0.0130	
54.		Commercial Mortgages - Insured or Guaranteed .....			XXX		0.0000		0.0040		0.0040	
55.		Commercial Mortgages - All Other .....			XXX		0.0000		0.1700		0.1700	
56.		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants .....			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities .....			XXX		0.0010		0.0050		0.0065	
59.		Unaffiliated - In Good Standing Primarily Senior .....			XXX		0.0035		0.0010		0.0130	
60.		Unaffiliated - In Good Standing All Other .....			XXX		0.0060		0.0175		0.0225	
61.		Unaffiliated - Overdue, Not in Process .....			XXX		0.0420		0.0760		0.1200	
62.		Unaffiliated - In Process of Foreclosure .....			XXX		0.0000		0.1700		0.1700	
63.		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

**ASSET VALUATION RESERVE (Continued)**  
**BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS**  
**EQUITY AND OTHER INVESTED ASSET COMPONENT**

Line Number	NAIC Designation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK												
65.		Unaffiliated Public .....		XXX	XXX		0.0000		0.1300 (a)		0.1300 (a)	
66.		Unaffiliated Private .....		XXX	XXX		0.0000		0.1600		0.1600	
67.		Affiliated Life with AVR .....		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual) .....		XXX	XXX		0.0000		0.1300		0.1300	
69.		Affiliated Other - All Other .....		XXX	XXX		0.0000		0.1600		0.1600	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE												
71.		Home Office Property (General Account only) .....					0.0000		0.0750		0.0750	
72.		Investment Properties .....					0.0000		0.0750		0.0750	
73.		Properties Acquired in Satisfaction of Debt .....					0.0000		0.1100		0.1100	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
LOW INCOME HOUSING TAX CREDIT INVESTMENTS												
75.		Guaranteed Federal Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit .....					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit .....					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit .....					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
ALL OTHER INVESTMENTS												
81.		NAIC 1 Working Capital Finance Investments .....		XXX			0.0000		0.0037		0.0037	
82.		NAIC 2 Working Capital Finance Investments .....		XXX			0.0000		0.0120		0.0120	
83.		Other Invested Assets - Schedule BA .....		XXX			0.0000		0.1300		0.1300	
84.		Other Short-Term Invested Assets - Schedule DA .....		XXX			0.0000		0.1300		0.1300	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84) .....		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)					XXX		XXX		XXX	

(a) Times the company's weighted average portfolio beta (Minimum .10, Maximum .20).  
 (b) Determined using the same factors and breakdowns used for directly owned real estate.  
 (c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve Replications (Synthetic) Assets

**NONE**

Schedule F - Claims

**NONE**

Schedule H - Part 1 - Analysis of Underwriting Operations

**NONE**

Schedule H - Part 2 - Reserves and Liabilities

**NONE**

Schedule H - Part 3 - Test of Prior Year's Claim Reserves and Liabilities

**NONE**

Schedule H - Part 4 - Reinsurance

**NONE**

Schedule H - Part 5 - Health Claims

**NONE**





ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE S - PART 1 - SECTION 2**

Reinsurance Assumed Accident and Health Insurance Listed by Reinsured Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsured	5 Domiciliary Jurisdiction	6 Type of Reinsurance Assumed	7 Premiums	8 Unearned Premiums	9 Reserve Liability Other Than for Unearned Premiums	10 Reinsurance Payable on Paid and Unpaid Losses	11 Modified Coinsurance Reserve	12 Funds Withheld Under Coinsurance
<b>NONE</b>											
9999999 - Totals											



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE S - PART 3 - SECTION 1**

Reinsurance Ceded Life Insurance, Annuities, Deposit Funds and Other Liabilities Without Life or Disability Contingencies, and Related Benefits Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsur- ance Ceded	7 Type of Business Ceded	8 Amount in Force at End of Year	Reserve Credit Taken		11 Premiums	Outstanding Surplus Relief		14 Modified Coinsurance Reserve	15 Funds Withheld Under Coinsurance
								9 Current Year	10 Prior Year		12 Current Year	13 Prior Year		
66915	13-5582869	02/11/1998	New York Life Insurance Company	NY	YRT/I	OL				360,015				
0299999. General Account - Authorized U.S. Affiliates - Other										360,015				
0399999. Total General Account - Authorized U.S. Affiliates										360,015				
0699999. Total General Account - Authorized Non-U.S. Affiliates														
0799999. Total General Account - Authorized Affiliates										360,015				
80659	38-0397420	06/01/2001	Canada Life Assurance Company	MI	YRT/I	OL	6,885,000	44,325	48,060	55,646				
86258	13-2572994	12/06/1976	General Re Life Corporation	CT	YRT/I	OL	47,669,500	172,497	209,420	278,358				
88340	59-2859797	04/01/2014	Hannover Life Reassurance Company of America	FL	YRT/I	OL	19,230,223	39,460	39,460	23,025				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	YRT/I	OL	860,787,192	2,949,341	3,181,737	2,733,332				
66346	58-0828824	02/11/1998	Munich American Reassurance Company	GA	CO/I	OL	99,667,331	567,377	593,862	367,057				
68723	86-0742727	02/11/1998	New York Life Agents Reinsurance Company	AZ	YRT/I	OL	226,024,522			552,758				
93572	43-1235868	02/11/1998	RGA Reinsurance Company	MO	CO/I	OL	299,001,969	1,701,338	1,780,693	1,101,174				
93572	43-1235868	03/30/1998	RGA Reinsurance Company	MO	YRT/I	OL	1,858,856,701	6,028,700	7,252,498	5,406,516				
68713	84-0499703	02/11/1998	Security Life of Denver Insurance Company	CO	CO/I	OL	199,334,636	1,133,991	1,186,864	993,319				
82627	06-0839705	05/26/2000	Swiss Re Life and Health America Inc.	MO	YRT/I	OL	439,979,419	1,637,823	1,702,893	1,643,036				
86231	39-0989781	02/11/1998	Transamerica Life Insurance Company	IA	CO/I	OL	199,334,619	1,133,987	1,186,858	734,112				
86231	39-0989781	02/11/1998	Transamerica Life Insurance Company	IA	YRT/I	OL	873,026,576	2,879,364	3,256,221	2,840,737				
0899999. General Account - Authorized U.S. Non-Affiliates										5,129,797,688	18,288,203	20,438,566	16,729,070	
1099999. Total General Account - Authorized Non-Affiliates										5,129,797,688	18,288,203	20,438,566	16,729,070	
1199999. Total General Account Authorized										5,129,797,688	18,288,203	20,438,566	17,089,085	
1499999. Total General Account - Unauthorized U.S. Affiliates														
1799999. Total General Account - Unauthorized Non-U.S. Affiliates														
1899999. Total General Account - Unauthorized Affiliates														
2199999. Total General Account - Unauthorized Non-Affiliates														
2299999. Total General Account Unauthorized														
2599999. Total General Account - Certified U.S. Affiliates														
2899999. Total General Account - Certified Non-U.S. Affiliates														
2999999. Total General Account - Certified Affiliates														
3299999. Total General Account - Certified Non-Affiliates														
3399999. Total General Account Certified														
3499999. Total General Account Authorized, Unauthorized and Certified										5,129,797,688	18,288,203	20,438,566	17,089,085	
3799999. Total Separate Accounts - Authorized U.S. Affiliates														
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates														
4199999. Total Separate Accounts - Authorized Affiliates														
4499999. Total Separate Accounts - Authorized Non-Affiliates														
4599999. Total Separate Accounts Authorized														
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates														
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates														
5299999. Total Separate Accounts - Unauthorized Affiliates														
5599999. Total Separate Accounts - Unauthorized Non-Affiliates														
5699999. Total Separate Accounts Unauthorized														
5999999. Total Separate Accounts - Certified U.S. Affiliates														
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates														
6399999. Total Separate Accounts - Certified Affiliates														
6699999. Total Separate Accounts - Certified Non-Affiliates														
6799999. Total Separate Accounts Certified														
6899999. Total Separate Accounts Authorized, Unauthorized and Certified														
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)										5,129,797,688	18,288,203	20,438,566	17,089,085	
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)														
9999999 - Totals										5,129,797,688	18,288,203	20,438,566	17,089,085	

Schedule S - Part 3 - Section 2

**NONE**

Schedule S - Part 4

**NONE**

Schedule S - Part 4 - Bank Footnote

**NONE**

Schedule S - Part 5

**NONE**

Schedule S - Part 5 - Bank Footnote

**NONE**

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE S - PART 6**

Five Year Exhibit of Reinsurance Ceded Business  
(\$000 Omitted)

	1 2017	2 2016	3 2015	4 2014	5 2013
<b>A. OPERATIONS ITEMS</b>					
1. Premiums and annuity considerations for life and accident and health contracts .....	17,089	18,607	19,843	18,431	29,870
2. Commissions and reinsurance expense allowances .....	993	1,027	1,051	1,110	1,182
3. Contract claims .....	11,280	10,758	15,104	16,536	19,852
4. Surrender benefits and withdrawals for life contracts .....					
5. Dividends to policyholders .....					
6. Reserve adjustments on reinsurance ceded .....					
7. Increase in aggregate reserve for life and accident and health contracts .....	(2,150)	(2,363)	(3,097)	(13,755)	(6,711)
<b>B. BALANCE SHEET ITEMS</b>					
8. Premiums and annuity considerations for life and accident and health contracts deferred and uncollected .....	1,526	1,742	1,788	1,698	3,131
9. Aggregate reserves for life and accident and health contracts .....	18,288	20,439	22,802	25,899	39,654
10. Liability for deposit-type contracts .....					
11. Contract claims unpaid .....	1,658	2,496	4,278	3,712	7,837
12. Amounts recoverable on reinsurance .....	1,314	388	690	1,027	261
13. Experience rating refunds due or unpaid .....					
14. Policyholders' dividends (not included in Line 10) .....					
15. Commissions and reinsurance expense allowances due .....	96	83	91	99	94
16. Unauthorized reinsurance offset .....					
17. Offset for reinsurance with Certified Reinsurers .....					
<b>C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)</b>					
18. Funds deposited by and withheld from (F) .....					
19. Letters of credit (L) .....					
20. Trust agreements (T) .....					
21. Other (O) .....					
<b>D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)</b>					
22. Multiple Beneficiary Trust .....					
23. Funds deposited by and withheld from (F) .....					
24. Letters of credit (L) .....					
25. Trust agreements (T) .....					
26. Other (O) .....					

**SCHEDULE S - PART 7**

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
<b>ASSETS (Page 2, Col. 3)</b>			
1. Cash and invested assets (Line 12) .....	149,597,971		149,597,971
2. Reinsurance (Line 16) .....	1,425,802	(1,425,802)	
3. Premiums and considerations (Line 15) .....	22,257,949	1,526,362	23,784,311
4. Net credit for ceded reinsurance .....	XXX	18,268,779	18,268,779
5. All other admitted assets (balance) .....	3,896,569		3,896,569
6. Total assets excluding Separate Accounts (Line 26) .....	177,178,291	18,369,341	195,547,630
7. Separate Account assets (Line 27) .....			
8. Total assets (Line 28) .....	177,178,291	18,369,341	195,547,630
<b>LIABILITIES, CAPITAL AND SURPLUS (Page 3)</b>			
9. Contract reserves (Lines 1 and 2) .....	59,478,352	18,288,203	77,766,555
10. Liability for deposit-type contracts (Line 3) .....	1,712,944		1,712,944
11. Claim reserves (Line 4) .....	849,233	1,657,500	2,506,733
12. Policyholder dividends/reserves (Lines 5 through 7) .....			
13. Premium & annuity considerations received in advance (Line 8) .....	103,021		103,021
14. Other contract liabilities (Line 9) .....	1,576,362	(1,576,362)	
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount) .....			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount) .....			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount) .....			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount) .....			
19. All other liabilities (balance) .....	3,155,116		3,155,116
20. Total liabilities excluding Separate Accounts (Line 26) .....	66,875,028	18,369,341	85,244,369
21. Separate Account liabilities (Line 27) .....			
22. Total liabilities (Line 28) .....	66,875,028	18,369,341	85,244,369
23. Capital & surplus (Line 38) .....	110,303,263	XXX	110,303,263
24. Total liabilities, capital & surplus (Line 39) .....	177,178,291	18,369,341	195,547,632
<b>NET CREDIT FOR CEDED REINSURANCE</b>			
25. Contract reserves .....	18,288,203		
26. Claim reserves .....	1,657,500		
27. Policyholder dividends/reserves .....			
28. Premium & annuity considerations received in advance .....			
29. Liability for deposit-type contracts .....			
30. Other contract liabilities .....	(1,576,362)		
31. Reinsurance ceded assets .....	1,425,802		
32. Other ceded reinsurance recoverables .....			
33. Total ceded reinsurance recoverables .....	19,795,141		
34. Premiums and considerations .....	1,526,362		
35. Reinsurance in unauthorized companies .....			
36. Funds held under reinsurance treaties with unauthorized reinsurers .....			
37. Reinsurance with Certified Reinsurers .....			
38. Funds held under reinsurance treaties with Certified Reinsurers .....			
39. Other ceded reinsurance payables/offsets .....			
40. Total ceded reinsurance payable/offsets .....	1,526,362		
41. Total net credit for ceded reinsurance .....	18,268,779		

**ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA**  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7
		2	3	4	5	6	
	Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama	AL	L	747,543			747,543	
2. Alaska	AK	L	525,942			525,942	
3. Arizona	AZ	L	768,172			768,172	
4. Arkansas	AR	L	181,033			181,033	
5. California	CA	L	6,573,244			6,573,244	
6. Colorado	CO	L	589,525			589,525	
7. Connecticut	CT	L	297,464			297,464	
8. Delaware	DE	L	147,508			147,508	
9. District of Columbia	DC	L	52,373			52,373	
10. Florida	FL	L	1,936,254			1,936,254	
11. Georgia	GA	L	1,226,492			1,226,492	
12. Hawaii	HI	L	55,677			55,677	
13. Idaho	ID	L	135,368			135,368	
14. Illinois	IL	L	1,083,968			1,083,968	
15. Indiana	IN	L	155,500			155,500	
16. Iowa	IA	L	440,458			440,458	
17. Kansas	KS	L	340,386			340,386	
18. Kentucky	KY	L	320,441			320,441	
19. Louisiana	LA	L	1,182,740			1,182,740	
20. Maine	ME	N	6,362			6,362	
21. Maryland	MD	L	621,698			621,698	
22. Massachusetts	MA	L	487,924			487,924	
23. Michigan	MI	L	659,030			659,030	
24. Minnesota	MN	L	215,080			215,080	
25. Mississippi	MS	L	368,941			368,941	
26. Missouri	MO	L	685,766			685,766	
27. Montana	MT	L	208,575			208,575	
28. Nebraska	NE	L	111,338			111,338	
29. Nevada	NV	L	404,022			404,022	
30. New Hampshire	NH	L	53,807			53,807	
31. New Jersey	NJ	L	967,021			967,021	
32. New Mexico	NM	L	451,201			451,201	
33. New York	NY	N	108,246			108,246	
34. North Carolina	NC	L	520,255			520,255	
35. North Dakota	ND	L	75,663			75,663	
36. Ohio	OH	L	663,972			663,972	
37. Oklahoma	OK	L	351,979			351,979	
38. Oregon	OR	L	370,184			370,184	
39. Pennsylvania	PA	L	922,834			922,834	
40. Rhode Island	RI	L	35,003			35,003	
41. South Carolina	SC	L	572,048			572,048	
42. South Dakota	SD	L	495,323			495,323	
43. Tennessee	TN	L	301,897			301,897	
44. Texas	TX	L	3,272,027			3,272,027	
45. Utah	UT	L	402,907			402,907	
46. Vermont	VT	L	79,874			79,874	
47. Virginia	VA	L	952,033			952,033	
48. Washington	WA	L	1,479,224			1,479,224	
49. West Virginia	WV	L	84,930			84,930	
50. Wisconsin	WI	L	391,415			391,415	
51. Wyoming	WY	L	126,844			126,844	
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N	31			31	
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N	14,113			14,113	
58. Aggregate Other Alien	OT	XXX	57,750			57,750	
59. Subtotal	(a) 49		33,279,405			33,279,405	
90. Reporting entity contributions for employee benefits plans	XXX						
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		828,459			828,459	
94. Aggregate or other amounts not allocable by State	XXX						
95. Totals (Direct Business)	XXX		34,107,864			34,107,864	
96. Plus reinsurance assumed	XXX		226,948			226,948	
97. Totals (All Business)	XXX		34,334,812			34,334,812	
98. Less reinsurance ceded	XXX		17,304,953			17,304,953	
99. Totals (All Business) less Reinsurance Ceded	XXX		17,029,859	(b)		17,029,859	
<b>DETAILS OF WRITE-INS</b>							
58001. ZZZ other alien	XXX		57,750			57,750	
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		57,750			57,750	
9401.	XXX						
9402.	XXX						
9403.	XXX						
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, etc., of premiums and annuity considerations

Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent. \*Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7. \*\*All U.S. business must be allocated by state regardless of license status. NOTE: Schedule T should not be used as the basis for state guaranty association assessments.

(a) Insert the number of L responses except for Canada and Other Alien.

(b) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

**SCHEDULE T - PART 2**  
**INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

Allocated by States and Territories

			Direct Business Only				6 Totals	
			1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)		5 Deposit-Type Contracts
States, Etc.								
1.	Alabama	AL	747,543					747,543
2.	Alaska	AK	525,942					525,942
3.	Arizona	AZ	768,172					768,172
4.	Arkansas	AR	181,033					181,033
5.	California	CA	6,573,244					6,573,244
6.	Colorado	CO	589,525					589,525
7.	Connecticut	CT	297,464					297,464
8.	Delaware	DE	147,508					147,508
9.	District of Columbia	DC	52,373					52,373
10.	Florida	FL	1,936,254					1,936,254
11.	Georgia	GA	1,226,492					1,226,492
12.	Hawaii	HI	55,677					55,677
13.	Idaho	ID	135,368					135,368
14.	Illinois	IL	1,083,968					1,083,968
15.	Indiana	IN	155,500					155,500
16.	Iowa	IA	440,458					440,458
17.	Kansas	KS	340,386					340,386
18.	Kentucky	KY	320,441					320,441
19.	Louisiana	LA	1,182,740					1,182,740
20.	Maine	ME	6,362					6,362
21.	Maryland	MD	621,698					621,698
22.	Massachusetts	MA	487,924					487,924
23.	Michigan	MI	659,030					659,030
24.	Minnesota	MN	215,080					215,080
25.	Mississippi	MS	368,941					368,941
26.	Missouri	MO	685,766					685,766
27.	Montana	MT	208,575					208,575
28.	Nebraska	NE	111,338					111,338
29.	Nevada	NV	404,022					404,022
30.	New Hampshire	NH	53,807					53,807
31.	New Jersey	NJ	967,021					967,021
32.	New Mexico	NM	451,201					451,201
33.	New York	NY	108,246					108,246
34.	North Carolina	NC	520,255					520,255
35.	North Dakota	ND	75,663					75,663
36.	Ohio	OH	663,972					663,972
37.	Oklahoma	OK	351,979					351,979
38.	Oregon	OR	370,184					370,184
39.	Pennsylvania	PA	922,834					922,834
40.	Rhode Island	RI	35,003					35,003
41.	South Carolina	SC	572,048					572,048
42.	South Dakota	SD	495,323					495,323
43.	Tennessee	TN	301,897					301,897
44.	Texas	TX	3,272,027					3,272,027
45.	Utah	UT	402,907					402,907
46.	Vermont	VT	79,874					79,874
47.	Virginia	VA	952,033					952,033
48.	Washington	WA	1,479,224					1,479,224
49.	West Virginia	WV	84,930					84,930
50.	Wisconsin	WI	391,415					391,415
51.	Wyoming	WY	126,844					126,844
52.	American Samoa	AS						
53.	Guam	GU						
54.	Puerto Rico	PR	31					31
55.	U.S. Virgin Islands	VI						
56.	Northern Mariana Islands	MP						
57.	Canada	CAN	14,113					14,113
58.	Aggregate Other Alien	OT	57,750					57,750
59.	Total		33,279,405					33,279,405



**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

The following entities are directly controlled by New York Life Insurance Company (Parent) (entities that are indented are directly controlled by the preceding entity).

New York Life Insurance and Annuity Corporation (See page 51.2 for entity's org chart) (91596) (DE)  
 NYLIFE Insurance Company of Arizona (81353) (AZ)  
 New York Life Enterprises LLC (See page 51.2 for entity's org chart) (DE)  
 NYLIFE LLC (See page 51.2 for entity's org chart) (DE)  
 New York Life Investment Management Holdings LLC (See page 51.4 for entity's org chart) (DE)  
 NYLife Real Estate Holdings LLC (See page 51.8 for entity's org chart) (DE)  
 NYL Real Assets LLC (DE)  
 NYL Emerging Manager LLC (DE)  
 NYL Wind Investments LLC (DE)  
 NYL Investors LLC (See page 51.3 for entity's org chart) (DE)  
 NYLIC HKP Member LLC (DE)  
 Madison Capital Funding LLC (DE)  
     MCF Co-Investment GP LLC (DE)  
         MCF Co-Investment GP LP (DE)  
             Madison Capital Funding Co-Investment Fund LP (DE)  
 Madison Avenue Loan Fund GP LLC (DE)  
     Madison Avenue Loan Fund LP (DE)  
 MCF KB Fund LLC (DE)  
 MCF Fund I LLC (DE)  
 Ironshore Investment BL I Ltd. (BMU)  
 MCF CLO IV LLC (DE)  
 MCF CLO V LLC (DE)  
 MCF CLO VI LLC (DE)  
 MCF CLO VII LLC (DE)  
 Montpelier Carry Parent, LLC (DE)  
     Montpelier Carry, LLC (DE)  
 Montpelier GP, LLC (DE)  
     Montpelier Fund, L.P. (DE)  
 MCF Mezzanine Carry I LLC (DE)  
 MCF Mezzanine Fund I LLC (DE)  
 Warwick Seller Representative, LLC (DE)  
 Young America Holdings, LLC (DE)  
     YAC.ECOM Incorporated (MN)  
     Young America, LLC (MN)  
         Global Fulfillment Services, Inc. (AZ)  
         SourceOne Worldwide, Inc. (MN)  
         YA Canada Corporation (CAN)  
 Zenith Products Holdings, Inc. (DE)  
     ZPC Holding Corp. (DE)  
         Zenith Products Corporation (DE)  
 NYLIM Jacob Ballas India Holdings IV (MUS)  
 NYLIM Flatiron CLO 2004-1 Ltd. (CYM)  
     NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (DE)  
 NYLIM Flatiron CLO 2006-1 Ltd. (CYM)  
     NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (DE)  
 Flatiron CLO 2007-1 Ltd. (CYM)  
     NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)  
 Flatiron CLO 2011-1 Ltd. (CYM)

Flatiron CLO 2012-1 Ltd. (CYM)  
 Flatiron CLO 2013-1 Ltd. (CYM)  
 Flatiron CLO 2014-1 Ltd. (CYM)  
 Flatiron CLO 2015-1 Ltd (CYM)  
 Flatiron CLO 17 Ltd. (CYM)  
 Flatiron CLO 18 Ltd. (CYM)  
 Flatiron CLO 18 Funding Ltd. (CYM)  
 Stratford CDO 2001-1 Ltd. (CYM)  
 Silverado CLO 2006-II Limited (CYM)  
     Silverado CLO 2006-II Equity Holdings LLC, Series A (CYM)  
 Silver Spring, LLC (DE)  
     Silver Spring Associates, L.P. (PA)  
 SCP 2005-C21-002 LLC (DE)  
 SCP 2005-C21-003 LLC (DE)  
 SCP 2005-C21-006 LLC (DE)  
 SCP 2005-C21-007-LLC (DE)  
 SCP 2005-C21-008 LLC (DE)  
 SCP 2005-C21-009 LLC (DE)  
 SCP 2005-C21-017 LLC (DE)  
 SCP 2005-C21-018 LLC (DE)  
 SCP 2005-C21-021 LLC (DE)  
 SCP 2005-C21-025 LLC (DE)  
 SCP 2005-C21-031 LLC (DE)  
 SCP 2005-C21-036 LLC (DE)  
 SCP 2005-C21-041 LLC (DE)  
 SCP 2005-C21-043 LLC (DE)  
 SCP 2005-C21-044 LLC (DE)  
 SCP 2005-C21-048 LLC (DE)  
 SCP 2005-C21-061 LLC (DE)  
 SCP 2005-C21-063 LLC (DE)  
 SCP 2005-C21-067 LLC (DE)  
 SCP 2005-C21-069 LLC (DE)  
 SCP 2005-C21-070 LLC (DE)  
 NYMH-Ennis GP, LLC (DE)  
     NYMH-Ennis, L.P. (TX)  
 NYMH-Freeport GP, LLC (DE)  
     NYMH-Freeport, L.P. (TX)  
 NYMH-Houston GP, LLC (DE)  
     NYMH-Houston, L.P. (TX)  
 NYMH-Plano GP, LLC (DE)  
     NYMH-Plano, L.P. (TX)  
 NYMH-San Antonio GP, LLC (DE)  
     NYMH-San Antonio, L.P. (TX)  
 NYMH-Stephenville GP, LLC (DE)  
     NYMH-Stephenville, L.P. (TX)  
 NYMH-Taylor GP, LLC (DE)  
     NYMH-Taylor, L.P. (TX)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Insurance Company (Parent)** (continued)

NYMH Attleboro MA, LLC (DE)  
 NYMH-Farmingdale, NY, LLC (DE)  
 NYLMDC-King of Prussia GP, LLC (DE)  
     NYLMDC-King of Prussia Realty, LP (DE)  
 2015 DIL PORTFOLIO HOLDINGS LLC (DE)  
     NJ 663 E. CRESCENT AVE LLC (DE)  
     NJ 1881 ROUTE 46 LLC (DE)  
     PA 180 KOST RD LLC (DE)  
 2017 CT REO HOLDINGS LLC (DE)  
     CT 611 W. JOHNSON AVE LLC (DE)  
     CT 550 RESEARCH PKWY LLC (DE)  
     CT 160 CORPORATE COURT LLC (DE)  
 Cortlandt Town Center LLC (DE)  
 REEP-IND 10 WEST AZ LLC (DE)  
 REEP-IND Aegean MA LLC (DE)  
 REEP-IND CHINO CA LLC (DE)  
 REEP-IND FREEDOM MA LLC (DE)  
 REEP-IND Fridley MN LLC (MN)  
 REEP-IND Green Oaks IL LLC (DE)  
 REEP-IND Kent LLC (DE)  
 REEP-IND LYMAN MA LLC (DE)  
 REEP-IND RTG NC LLC (DE)  
 REEP-MF 960 East Paces Ferry GA LLC (DE)  
 REEP-MF 960 EPF Opco GA LLC (DE)  
 REEP-MF Chandler AZ LLC (DE)  
 REEP-MF Issaquah WA LLC (DE)  
 REEP-MF Mount Vernon GA LLC (DE)  
 REEP-MF Mount Laurel NJ LLC (DE)  
 REEP-MF Verde NC LLC (DE)  
 REEP-MF Wallingford WA LLC (DE)  
 REEP-OFC Bellevue WA LLC (DE)  
 REEP-OFC WATER RIDGE NC HOLDCO LLC (DE)  
 REEP-OFC ONE WATER RIDGE NC LLC (DE)  
 REEP-OFC TWO WATER RIDGE NC LLC (DE)  
 REEP-OFC FOUR WATER RIDGE NC LLC (DE)  
 REEP-OFC FIVE WATER RIDGE NC LLC (DE)  
 REEP-OFC SIX WATER RIDGE NC LLC (DE)  
 REEP-OFC SEVEN WATER RIDGE NC LLC (DE)  
 REEP-OFC EIGHT WATER RIDGE NC LLC (DE)  
 REEP-OFC NINE WATER RIDGE NC LLC (DE)  
 REEP-OFC TEN WATER RIDGE NC LLC (DE)  
 REEP-OFC ELEVEN WATER RIDGE NC LLC (DE)  
 REEP-MF FOUNTAIN PLACE MN LLC (DE)  
     REEP-MF FOUNTAIN PLACE LLC (DE)  
 REEP-OFC 2300 Empire CA LLC (DE)  
 REEP-IND 10 WEST II AZ LLC (DE)  
 REEP-RTL Flemington NJ LLC (DE)  
 REEP-RTL Mill Creek NJ LLC (DE)

REEP-MF Evanston IL LLC (DE)  
 PTC Acquisitions, LLC (DE)  
 Martingale Road LLC (DE)  
 New York Life Funding (CYM)  
 New York Life Global Funding (DE)  
 NYL Equipment Issuance Trust (DE)  
 NYL Equipment Issuance Trust 2014-2 (DE)  
 Government Energy Savings Trust 2003-A (NY)  
 UFI-NOR Federal Receivables Trust, Series 2009B (NY)  
 JREP Fund Holdings I, L.P. (CYM)  
 Jaguar Real Estate Partners L.P. (CYM)  
 NYLIFE Office Holdings Member LLC (DE)  
     NYLIFE Office Holdings LLC (DE)  
         NYLIFE Office Holdings REIT LLC (DE)  
             REEP-OFC DRAKES LANDING CA LLC (DE)  
             REEP-OFC CORPORATE POINTE CA LLC (DE)  
             REEP-OFC VON KARMAN CA LLC (DE)  
             REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE)  
             REEP-OFC 525 N Tryon NC LLC (DE)  
                 525 Charlotte Office LLC (DE)  
             NYLIFE Office Holdings Acquisition REIT LLC (DE)  
                 REEP-OFC Westory DC LLC (DE)  
 MAX Ventures and Industries Limited (IND)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

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**New York Life Insurance and Annuity Corporation**

Ausbil IT - Ausbil Microcap Fund (AUS)  
Ausbil IT – Candriam Sustainable Global Equity Fund (AUS)  
MacKay Shields Unconstrained Bond Fund (DE)  
Ausbil Dividend Income Fund (AUS)

**New York Life Enterprises LLC**

SEAF Sichuan SME Investment Fund LLC (DE)  
New York Life International Holdings Limited (MUS)  
NYL Cayman Holdings Ltd. (CYM)  
    NYL Worldwide Capital Investments LLC (DE)  
Seguros Monterrey New York Life, S.A. de C.V. (MEX)  
    Administradora de Conductos SMNYL, S.A. de C.V. (MEX)  
    Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)  
    Inmobiliaria SMNYL, S.A. de C.V. (MEX)

**NYLIFE LLC**

Eagle Strategies LLC (DE)  
New York Life Capital Corporation (DE)  
New York Life Trust Company (NY)  
NYL Executive Benefits LLC (DE)  
NYLIFE Securities LLC (DE)  
NYLINK Insurance Agency Incorporated (DE)  
NYLUK I Company (GBR)  
    NYLUK II Company (GBR)  
        Gresham Mortgage (GBR)  
        W Construction Company (GBR)  
        WUT (GBR)  
        WIM (AIM) (GBR)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

NYL Investors LLC

NYL Investors (U.K.) Limited (GBR)  
 NYL Investors REIT Manager LLC (DE)  
 NYLIM Holdings NCVAD, GP, LLC (DE)  
     McMorgan Northern California Value Add/Development Fund I, L.P. (DE)  
         MNCVAD-IND Greenwood CA LLC (DE)  
         MNCVAD-IND Norris Canyon CA LL (DE)  
             MNCVAD-CP Norris Canyon LLC (DE)  
         MNCVAD-IND Petaluma CA LLC (DE)  
         MNCVAD-OFC 2665 NORTH FIRST CA LLC (DE)  
             MNCVAD-SEAGATE 2665 NORTH FIRST LLC (DE)  
         MNCVAD-OFC Bridgepointe CA LLC (DE)  
         MNCVAD-OFC RIDDER PARK CA LLC (DE)  
             MNCVAD-GRAYMARK RIDDER PARK LLC (DE)  
         MNCVAD-OFC ONE BAY CA LLC (DE)  
             MNCVAD-HARVEST ONE BAY LLC (DE)  
         MNCVAD-IND RICHMOND CA LLC (DE)  
 NYL Investors NCVAD II GP, LLC (DE)  
     McMorgan Northern California Value Add/Development Fund II, LP (DE)  
         MNCVAD II-MF HENLEY CA LLC (DE)  
         MNCVAD II-SP HENLEY JV LLC (DE)  
             MNCVAD II-SP HENLEY OWNER LLC (DE)  
 Madison Core Property Fund LLC (DE)  
     MIREF 1500 Quail, LLC (DE)  
     MIREF Mill Creek, LLC (DE)  
     MIREF Gateway, LLC (DE)  
     MIREF Delta Court, LLC (DE)  
     MIREF Fremont Distribution Center, LLC (DE)  
     MIREF Century, LLC (DE)  
     MIREF Saddle River LLC (DE)  
     MIREF Newpoint Commons, LLC (DE)  
     MIREF Northsight, LLC (DE)  
     MIREF Riverside, LLC (DE)  
     MIREF Corporate Woods, LLC (DE)  
     MIREF Bedminster, LLC (DE)  
     MIREF Barton's Creek, LLC (DE)  
         Barton's Lodge Apartments, LLC (DE)  
     MIREF Marketpointe, LLC (DE)  
     MIREF 101 East Crossroads, LLC (DE)  
         101 East Crossroads, LLC (DE)  
     MIREF Chain Bridge, LLC (DE)  
         1991 Chain Bridge Road, LLC (DE)  
     MIREF Hawthorne, LLC (DE)  
     MIREF Auburn 277, LLC (DE)  
     MIREF Sumner North, LLC (DE)  
     MIREF Wellington, LLC (DE)  
     MIREF Warner Center, LLC (DE)  
     MADISON-IND Valley Business Park CA LLC (DE)  
     MADISON-MF Duluth GA LLC (DE)

MADISON-OFC Centerstone I CA LLC (DE)  
 MADISON-OFC Centerstone III CA LLC (DE)  
 MADISON-MOB Centerstone IV CA LLC (DE)  
 MADISON-OFC Centerpoint Plaza CA LLC (DE)  
 MADISON-IND Logistics NC LLC (DE)  
     MCPF-LRC Logistics LLC (DE)  
 MADISON-MF Desert Mirage AZ LLC (DE)  
 MADISON-OFC One Main Place OR LLC (DE)  
 MADISON-IND Fenton MO LLC (DE)  
 MADISON-IND Hitzert Roadway MO LLC (DE)  
 MADISON-MF Hoyt OR LLC (DE)  
 MADISON-RTL Clifton Heights PA LLC (DE)  
 MADISON-IND Locust CA LLC (DE)  
 MADISON-OFC Weston Pointe FL LLC (DE)  
 MADISON-MF Henderson NV LLC (DE)  
     MCPF-SP Henderson LLC (DE)  
         MADISON-SP Henderson LLC (DE)  
 MADISON-IND VISTA LOGISTICS OR LLC (DE)  
     MADISON-SPECHT VISTA LOGISTICS LLC (DE)  
 MADISON-MF MCCADDEN CA LLC (DE)  
 MADISON-OFC 1201 WEST IL LLC (DE)  
     MADISON-MCCAFFERY 1201 WEST IL LLC (DE)  
 MADISON-MF CRESTONE CA LLC (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

**New York Life Investment Management Holdings LLC**

New York Life Investment Management Asia Limited (CYM)  
 Institutional Capital LLC (DE)  
 MacKay Shields LLC (DE)

MacKay Shields Core Plus Opportunities Fund GP LLC (DE)  
     MacKay Shields Core Plus / Opportunities Fund LP (DE)  
 MacKay Municipal Managers Opportunities GP LLC (DE)  
     MacKay Municipal Opportunities Master Fund, L.P. (DE)  
     MacKay Municipal Opportunities Fund, L.P. (DE)  
 MacKay Municipal Managers Credit Opportunities GP, LLC (DE)  
     MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)  
     MacKay Municipal Credit Opportunities Fund, L.P. (DE)  
     MacKay Municipal Credit Opportunities HL Fund, L.P. (DE)  
 MacKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC (DE)  
     MacKay Municipal Credit Opportunities HL (Cayman) Fund, LP (CYM)  
 MacKay Municipal Short Term Opportunities Fund GP LLC (DE)  
     MacKay Municipal Short Term Opportunities Fund LP (DE)  
 Plainview Funds plc (IRL)  
     Plainview Funds plc - MacKay Shields Flexible Bond Portfolio (IRL)  
     Plainview Funds plc - MacKay Shields Unconstrained Bond Portfolio (IRL)  
     Plainview Funds plc - MacKay Shields Floating Rate High Yield Portfolio (IRL)  
     Plainview Funds plc - MacKay Shields Core Plus Opportunities Portfolio (IRL)  
     Plainview Funds plc - MacKay Shields High Yield Crossover Portfolio (IRL)  
     MacKay Shields Statutory Trust – High Yield Bond Series (CT)  
 MacKay Shields High Yield Active Core Fund GP LLC (DE)  
     MacKay Shields High Yield Active Core Fund LP (DE)  
 MacKay Shields Credit Strategy Fund Ltd (CYM)  
 MacKay Shields Credit Strategy Partners LP (DE)  
 MacKay Shields General Partner (L/S) LLC (DE)  
     MacKay Shields Long/Short Fund LP (DE)  
     MacKay Shields Long/Short Fund (Master) LP (DE)  
 MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)  
 MacKay Shields Core Fixed Income Fund GP LLC (DE)  
     MacKay Shields Core Fixed Income Fund LP (DE)  
 MacKay Shields Select High Yield Bond Fund GP LLC (DE)  
     MacKay Shields Select High Yield Bond Fund LP (DE)  
     MacKay Shields High Yield Crossover Fund LP (DE)  
 MacKay Shields (International) Ltd. (GBR)  
 MacKay Shields (Services) Ltd. (GBR)  
     MacKay Shields UK LLP (GBR)  
 MacKay Shields Global Derivatives LLC (DE)  
 MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)  
     MacKay Puerto Rico Opportunities Funds, L.P. (DE)  
     MacKay Puerto Rico Opportunities Feeder Fund, L.P. (CYM)  
 MacKay Municipal Managers California Opportunities GP LLC (DE)  
     MacKay Municipal Managers California Opportunities Fund, L.P. (DE)  
 MacKay Municipal New York Opportunities GP LLC (DE)  
     MacKay Municipal New York Opportunities Fund, L.P. (DE)  
     MacKay Municipal Opportunity HL Fund, L.P. (DE)

MacKay Municipal Capital Trading GP LLC (DE)  
     MacKay Municipal Capital Trading Master Fund, L.P (DE)  
     MacKay Municipal Capital Trading Fund, L.P. (DE)  
 MacKay Municipal Managers Strategic Opportunities GP LLC (DE)  
     MacKay Municipal Managers Strategic Opportunities Fund, L.P. (DE)  
 MacKay Shields US Equity Market Neutral Fund GP LLC (DE)  
     MacKay Cornerstone US Equity Market Neutral Fund LP (DE)  
 MacKay Shields Intermediate Bond Fund GP LLC (DE)  
     MacKay Shields Intermediate Bond Fund LP (DE)  
 Cornerstone Capital Management Holdings LLC (DE)  
     Cornerstone US Equity Market Neutral Fund, LLC (DE)  
     Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)  
     Cornerstone Capital Management Large-Cap Enhanced Index Fund, L.P. (DE)  
 GoldPoint Partners LLC (DE)  
     New York Life Capital Partners, L.L.C. (DE)  
     New York Life Capital Partners, L.P. (DE)  
     New York Life Capital Partners II, L.L.C. (DE)  
     New York Life Capital Partners II, LP (DE)  
     New York Life Capital Partners III GenPar GP, LLC (DE)  
     New York Life Capital Partners III GenPar, L.P. (DE)  
     New York Life Capital Partners III, L.P. (DE)  
     New York Life Capital Partners III-A, L.P. (DE)  
     New York Life Capital Partners IV GenPar GP, LLC (DE)  
     New York Life Capital Partners IV GenPar, L.P. (DE)  
     New York Life Capital Partners IV, L.P. (DE)  
     New York Life Capital Partners IV-A, L.P. (DE)  
 GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)  
     GoldPoint Mezzanine Partners IV GenPar, LP (DE)  
     GoldPoint Mezzanine Partners Co-Investment Fund A, LP (DE)  
     GoldPoint Mezzanine Partners IV, LP (DE)  
     GPP Mezzanine Blocker Holdco A, LP (DE)  
     GPP Mezzanine Blocker Holdco Preferred A, LP (DE)  
     GPP Mezzanine Blocker A, LP (DE)  
     GPP Mezzanine Blocker Holdco B, LP (DE)  
     GPP Mezzanine Blocker B, LP (DE)  
     GPP Mezzanine Blocker Holdco C, LP (DE)  
     GPP Mezzanine Blocker C, LP (DE)  
     GPP Mezzanine Blocker Holdco D, LP (DE)  
     GPP Mezzanine Blocker D, LP (DE)  
     GoldPoint Mezzanine Partners Offshore IV, L.P. (CYM)  
 GoldPoint Partners Co-Investment V GenPar GP LLC (DE)  
     GoldPoint Partners Co-Investment V GenPar, L.P. (DE)  
     GoldPoint Partners Co-Investment Fund A, LP (DE)  
     GoldPoint Partners Co-Investment V, LP (DE)  
     GoldPoint Partners Co-Investment V ECI Blocker A, LP (DE)  
     GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP (DE)  
     GoldPoint Partners Co-Investment V ECI Blocker B, LP (DE)

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 - ORGANIZATIONAL CHART

#### New York Life Investment Management Holdings LLC (continued)

<ul style="list-style-type: none"> <li>GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker C, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker E, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker F, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker Holdco G, LP (DE)</li> <li>GoldPoint Partners Co-Investment V ECI Blocker G, LP (DE)</li> <li>GoldPoint Partners Select Manager III GenPar GP, LLC (DE)</li> <li>GoldPoint Partners Select Manager III GenPar, L.P. (CYM)</li> <li>GoldPoint Partners Select Manager Fund III, L.P. (CYM)</li> <li>GoldPoint Partners Select Manager Fund III AIV, L.P. (DE)</li> <li>GoldPoint Partners Select Manager IV GenPar GP, LLC (DE)</li> <li>GoldPoint Partners Select Manager IV GenPar, L.P. (DE)</li> <li>GoldPoint Partners Select Manager Fund IV, L.P. (DE)</li> <li>GoldPoint Partners Canada III GenPar Inc (CAN)</li> <li>GoldPoint Partners Select Manager Canada Fund III, L.P. (CAN)</li> <li>GoldPoint Partners Canada IV GenPar Inc. (CAN)</li> <li>GoldPoint Partners Select Manager Canada Fund IV, L.P. (CAN)</li> <li>GoldPoint Partners Co-Investment VI GenPar GP LLC (DE)</li> <li>GoldPoint Partners Co-Investment VI GenPar, LP (DE)</li> <li>GoldPoint Partners Co-Investment VI, LP (DE)</li> <li>GoldPoint Private Credit GenPar GP, LLC (DE)</li> <li>GoldPoint Private Credit Fund, LP (DE)</li> <li>NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)</li> <li>NYLCAP 2010 Co-Invest GenPar L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest ECI Blocker F L.P. (DE)</li> <li>NYLCAP 2010 Co-Invest ECI Blocker G L.P. (DE)</li> <li>NYLCAP Canada GenPar, Inc. (CAN)</li> <li>NYLCAP Select Manager Canada Fund, LP (CAN)</li> <li>NYLCAP Canada II GenPar, Inc. (CAN)</li> <li>NYLCAP Select Manager Canada Fund II, L.P. (CAN)</li> <li>NYLIM Mezzanine GenPar GP, LLC (DE)</li> <li>NYLIM Mezzanine GenPar, LP (DE)</li> <li>New York Life Investment Management Mezzanine Partners, LP (DE)</li> <li>NYLIM Mezzanine Partners Parallel Fund, LP (DE)</li> <li>NYLIM Mezzanine Partners II GenPar GP, LLC (DE)</li> <li>NYLIM Mezzanine Offshore Partners II, LP (CYM)</li> <li>NYLIM Mezzanine Partners II GenPar, LP (DE)</li> <li>New York Life Investment Management Mezzanine Partners II, LP (DE)</li> <li>NYLIM Mezzanine Partners II Parallel Fund, LP (DE)</li> </ul>	<ul style="list-style-type: none"> <li>NYLIM Mezzanine II Parallel Luxco S.á.r.l. (LUX)</li> <li>Voice Holdco Ltd. (CAN)</li> <li>NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)</li> <li>NYLCAP Mezzanine Partners III GenPar, LP (DE)</li> <li>NYLCAP Mezzanine Partners III-K, LP (DE)</li> <li>NYLCAP Mezzanine Partners III, LP (DE)</li> <li>NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP (DE)</li> <li>NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP (DE)</li> <li>NYLCAP Mezzanine Offshore Partners III, L.P. (CYM)</li> <li>NYLCAP Select Manager GenPar GP, LLC (DE)</li> <li>NYLCAP Select Manager GenPar, LP (DE)</li> <li>NYLCAP Select Manager Fund, LP (DE)</li> <li>NYLCAP Select Manager Cayman Fund, LP (CYM)</li> <li>NYLCAP Select Manager II GenPar GP, LLC (DE)</li> <li>NYLCAP Select Manager II GenPar GP, L.P. (CYM)</li> <li>NYLCAP Select Manager Fund II, L.P. (CYM)</li> <li>NYLCAP India Funding LLC (DE)</li> <li>NYLIM-JB Asset Management Co., LLC (MUS)</li> <li>New York Life Investment Management India Fund II, LLC (MUS)</li> <li>New York Life Investment Management India Fund (FVCI) II, LLC (MUS)</li> <li>NYLCAP India Funding III LLC (DE)</li> <li>NYLIM-Jacob Ballas Asset Management Company III, LLC (MUS)</li> <li>NYLIM Jacob Ballas India Fund III, LLC (MUS)</li> <li>NYLIM Jacob Ballas Capital India (FVCI) III, LLC (MUS)</li> <li>NYLIM Jacob Ballas India (FII) III, LLC (MUS)</li> <li>Evolve Asset Management, Ltd. (IND)</li> <li>NYLCAP Holdings (Mauritius) LLC (MUS)</li> <li>Jacob Ballas Capital India PVT, Ltd. (MUS)</li> <li>NYLIM Service Company LLC (DE)</li> <li>NYL Workforce GP LLC (DE)</li> <li>New York Life Investment Management LLC (DE)</li> <li>NYLIM-GCR Fund I, LLC (DE)</li> <li>NYLIM Fund II GP, LLC (DE)</li> <li>NYLIM Real Estate Mezzanine Fund II, LP (DE)</li> <li>NYLIM-TND, LLC (DE)</li> <li>WFHG, GP LLC (DE)</li> <li>Workforce Housing Fund I-2007, LP (DE)</li> <li>Index IQ Holdings Inc. (DE)</li> </ul>
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**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

**New York Life Investment Management Holdings LLC** (continued)

Financial Development LLC (DE)  
 IndexIQ, Inc. (DE)  
 IndexIQ LLC (DE)  
 IndexIQ Advisors LLC (DE)  
 New York Life Investment Management Holdings International S.á.r.l. (LUX)  
 New York Life Investment Management Holdings II International S.á.r.l. (LUX)  
 New York Life Investment Management Global Holdings S.á.r.l. (LUX)  
 CGH UK Acquisition Company Limited (GBR)  
 Candriam Luxco S.á.r.l. (LUX)  
 Candriam Luxembourg, partnership limited by shares (LUX)  
 Candriam Belgium, public limited company (BEL)  
 Candriam France, simplified joint-stock company (FRA)  
 Candriam Monétaire (FRA)  
 Candriam Switzerland LLC, limited liability company (CHE)  
 Cordius CIG (LUX)  
 Candriam Bonds Convertible Opportunities (LUX)  
 Candriam Alternative Return Equity Market Neutral (LUX)  
 Candriam L ESG Defensive Asset Allocation (LUX)  
 Ausbil Investment Management Limited (AUS)  
 Ausbil Australia Pty. Ltd. (AUS)  
 Ausbil Asset Management Pty. Ltd. (AUS)  
 Ausbil Investment Management Limited Employee Share Trust (AUS)  
 NYLIFE Distributors LLC (DE)  
 Private Advisors L.L.C. (DE)  
 PACIF Carry Parent, LLC (DE)  
 PACIF Carry, LLC (DE)  
 PACIF GP, LLC (DE)  
 Private Advisors Coinvestment Fund, LP (DE)  
 PACIF II GP, LLC (DE)  
 Private Advisors Coinvestment Fund II, LP (DE)  
 PACIF II Carry Parent, LLC (DE)  
 PACIF II Carry, LLC (DE)  
 PACIF III GP, LLC (DE)  
 Private Advisors Coinvestment Fund III, LP (DE)  
 PACIF III Carry Parent, LLC (DE)  
 PACIF III Carry, LLC (DE)  
 PACIF IV GP, LLC (DE)  
 Private Advisors Coinvestment Fund IV, LP (DE)  
 PACIF IV Carry Parent, LLC (DE)  
 PACIF IV Carry, LLC (DE)  
 PA Hedged Equity Fund, L.P. (DE)  
 Private Advisors Hedged Equity Fund (QP), L.P. (DE)  
 Private Advisors Hedged Equity Master Fund (DE)  
 Private Advisors Small Company Buyout Fund II, L.P. (DE)  
 PASCBF III GP, LLC (DE)  
 Private Advisors Small Company Buyout Fund III, LP (DE)  
 PASCBF IV GP, LLC (DE)  
 Private Advisors Small Company Buyout Fund IV, LP (DE)

PASCBF IV Carry Parent, LLC (DE)  
 PASCBF IV Carry, LLC (DE)  
 PASCBF V GP, LLC (DE)  
 Private Advisors Small Company Buyout Fund V, LP (DE)  
 Private Advisors Small Company Buyout V-ERISA Fund, LP (DE)  
 PASCBF V Carry Parent, LLC (DE)  
 PASCBF V Carry, LLC (DE)  
 PASCPEF VI Carry Parent, LLC (DE)  
 PASCPEF VI Carry, LLC (DE)  
 PASCPEF VI GP, LLC (DE)  
 Private Advisors Small Company Private Equity Fund VI, LP (DE)  
 Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)  
 PASCPEF VII GP, LLC (DE)  
 Private Advisors Small Company Private Equity Fund VII, LP (DE)  
 Private Advisors Small Company Private Equity Fund VII (Cayman), LP (CYM)  
 PASCPEF VII Carry Parent, LLC (DE)  
 PASCPEF VII Carry, LLC (DE)  
 PASCPEF VIII GP, LLC (DE)  
 Private Advisors Small Company Private Equity Fund VIII, LP (DE)  
 PASCPEF VIII Carry Parent, LLC (DE)  
 PASCPEF VIII Carry, LLC (DE)  
 Cuyahoga Capital Partners I Management Group, LLC (DE)  
 Cuyahoga Capital Partners I, L.P. (DE)  
 Cuyahoga Capital Partners II Management Group LLC (DE)  
 Cuyahoga Capital Partners II LP (DE)  
 Cuyahoga Capital Partners III Management Group LLC (DE)  
 Cuyahoga Capital Partners III LP (DE)  
 Cuyahoga Capital Partners IV Management Group LLC (DE)  
 Cuyahoga Capital Partners IV LP (DE)  
 Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)  
 Cuyahoga Capital Emerging Buyout Partners LP (DE)  
 PA Real Assets Carry Parent, LLC (DE)  
 PA Real Assets Carry, LLC (DE)  
 PA Emerging Manager Carry Parent, LLC (DE)  
 PA Emerging Manager Carry, LLC (DE)  
 RIC I GP, LLC (DE)  
 Richmond Coinvestment Partners I, LP (DE)  
 RIC I Carry Parent, LLC (DE)  
 RIC I Carry, LLC (DE)  
 PASF V GP, LLC (DE)  
 Private Advisors Secondary Fund V, LP (DE)  
 PASF V Carry Parent, LLC (DE)  
 PASF V Carry, LLC (DE)  
 PARAF GP, LLC (DE)  
 Private Advisors Real Assets Fund, LP (DE)  
 PARAF Carry Parent, LLC (DE)  
 PARAF Carry, LLC (DE)  
 PASCCIF GP, LLC (DE)

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

New York Life Investment Management Holdings LLC (continued)

Private Advisors Small Company Coinvestment Fund, LP (DE)  
 Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE)  
 PASCIF Carry Parent, LLC (DE)  
 PASCIF Carry, LLC (DE)  
 PA Real Assets Carry Parent II, LLC (DE)  
 PA Real Assets Carry II, LLC (DE)  
 PARAF II GP LLC (DE)  
 Private Advisors Real Assets Fund II LP (DE)  
 Private Advisors Hedged Equity Fund, Ltd. (CYM)  
 Private Advisors Hedged Equity Fund (QP), Ltd. (CYM)  
 Private Advisors Hedged Equity Master Fund, Ltd. (CYM)  
 Private Advisors Stable Value ERISA Fund, Ltd. (CYM)  
 Private Advisors Stable Value Master Fund, Ltd. (CYM)  
 UVF GP, LLC (DE)  
 Undiscovered Value Fund, LP (DE)  
 Undiscovered Value Fund, Ltd. (CYM)  
 Undiscovered Value Master Fund SPC (CYM)  
 NYLIM Alternatives LLC (DE)  
 CVP Holdings, LLC (DE)  
 CVP CLO Manager, LLC (DE)  
 CVP CLO Holdings GP LLC (DE)  
 CVP CLO Holdings, LP (CYM)  
 CVP CLO Advisors, LLC (DE)  
 Credit Value Partners, LLC (DE)  
 CHIPC Evergreen General, LLC (DE)  
 CVP High Income Private Credit Master Fund, LP (CYM)  
 CVP Loan Servicing LLC (DE)  
 CHIPC PE General, LLC (DE)  
 CHIPC PE Intermediate Fund, LP (CYM)  
 CVP High Income Private Credit PE Fund (Cayman), LP (CYM)  
 CVP High Income Private Credit PE Fund, LP (DE)  
 CVP Distressed Fund, LLC (DE)  
 CVF IV General, LLC (DE)  
 Credit Value Fund IV, LP (DE)  
 Credit Value Fund (Cayman) IV, LP (CYM)  
 Credit Value Intermediate Fund IV, LP (CYM)  
 Credit Value Master Fund IV-A, LP (CYM)  
 Credit Value Master Fund IV-B, LP (CYM)  
 CVF IV Vert LLC (DE)  
 CVF IV-A1 Vert LLC (DE)  
 CVP SPV LLC (DE)  
 CVP SPV LLC Series I (DE)  
 CVP SPV LLC Series II (DE)  
 CVP SPV LLC Series III (DE)  
 CVP Management Ireland Limited (IRL)



**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**

NYLife Real Estate Holdings LLC

Huntsville NYL LLC (DE)  
 CC Acquisitions, LP (DE)  
 REEP-IND Cedar Farms TN LLC (DE)  
     Cedar Farms JV LLC (DE)  
 REEP-IND Continental NC LLC (DE)  
     LRC-Patriot, LLC (DE)  
         REEP-LRC Industrial LLC (DE)  
 REEP-IND Forest Park NJ LLC (DE)  
     FP Building 4 LLC (DE)  
     FP Building 1-2-3 LLC (DE)  
     FP Building 17, LLC (DE)  
     FP Building 18, LLC (DE)  
     FP Building 19, LLC (DE)  
     FP Building 20, LLC (DE)  
     FP Mantua Grove LLC (DE)  
     FP Lot 1.01 LLC (DE)  
 REEP-IND NJ LLC (DE)  
     NJIND JV LLC (DE)  
         NJIND Hook Road LLC (DE)  
         NJIND Raritan Center LLC (DE)  
         NJIND Talmadge Road LLC (DE)  
         NJIND Bay Avenue LLC (DE)  
         NJIND Melrich Road LLC (DE)  
         NJIND Corbin Street LLC (DE)  
 REEP-IND Valwood TX LLC (DE)  
 REEP-MF Cumberland TN LLC (DE)  
     Cumberland Apartments, LLC (TN)  
 REEP-MF Enclave TX LLC (DE)  
     Enclave CAF LLC (DE)  
 REEP-MF Marina Landing WA LLC (DE)  
     REEP-SP Marina Landing LLC (DE)  
 REEP-MF Mira Loma II TX LLC (DE)  
     Mira Loma II, LLC (DE)  
 REEP-MF Summitt Ridge CO LLC (DE)  
     Summitt Ridge Apartments, LLC (DE)  
 REEP-MF Woodridge IL LLC (DE)  
 REEP-OF Centerpointe VA LLC (DE)  
 REEP-OFC 575 Lex NY LLC (DE)  
 REEP-OFC 575 Lex NY GP LLC (DE)  
 REEP-RTL SASI GA LLC (DE)  
 REEP-RTL Bradford PA LLC (DE)  
 REEP-OFC Royal Centre GA LLC (DE)  
     Royal Centre, LLC (DE)  
 REEP-RTL CTC NY LLC (DE)  
 REEP-OFC 5005 LBJ Freeway TX LLC (DE)  
     5005 LBJ Tower LLC (DE)  
 REEP-MF SPENCER NV LLC (DE)  
     REEP-HZ SPENCER JV LLC (DE)

REEP-HZ SPENCER LLC (DE)  
 REEP-OFC/RTL MARKET ROSS TX LLC (DE)  
     MARKET ROSS TX JV LLC (DE)  
     MARKET ROSS TX GARAGE OWNER LC (DE)  
     MARKET ROSS TX OFFICE OWNER LLC (DE)  
     MARKET ROSS TX RETAIL OWNER LLC (DE)  
 REEP-OFC Mallory TN LLC (DE)  
     3665 Mallory JV LLC (DE)  
 REEP-OFC WATER RIDGE NC LLC (DE)  
 REEP-OFC VIRIDIAN AZ LLC (DE)  
     REEP-HINES VIRIDIAN JV LLC (DE)  
 REEP-OFC 2300 Empire LLC (DE)  
 REEP-MF Wynnewood PA LLC (DE)  
     Wynnewood JV LLC (DE)

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0826	New York Life Group	66915	13-5582869	1583827	0000071633		New York Life Insurance Company	NY	UDP						
.0826	New York Life Group	91596	13-3044743	3683691	0000727136		New York Life Insurance and Annuity Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
.0826	New York Life Group	81353	52-1530175				NYLIFE Insurance Company of Arizona	AZ		New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			13-4199614				New York Life Enterprises LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			13-4081725	2928649	0001270096		NYLIFE LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			52-2206682		0001513831		New York Life Investment Management Holdings LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			27-0166422				NYLife Real Estate Holdings, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			47-2379075				NYL Real Assets LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			47-2530753				NYL Emerging Manager LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			46-4293486				NYL Wind Investments LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			36-4715120				NYL Investors LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	
			36-4715120				Madison Capital Funding LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	45.000	New York Life Insurance Company	N	
			26-2806813				MCF Co-Investment GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2806864		0001538585		MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2806918		0001538584		Madison Capital Funding Co-Investment Fund LP	DE	NIA	MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	N	
			80-0920962				Madison Avenue Loan Fund GP LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			61-1711540		0001577927		Madison Avenue Loan Fund LP	DE	NIA	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			36-4883128				MCF KB Fund LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2213974				MCF Fund I LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2213974				Ironshore Investment BL I Ltd.	BMJ	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			81-4067250				MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Ownership	6.700	New York Life Insurance Company	N	1
			81-4067250				MCF CLO IV LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			82-1943737				MCF CLO V LLC	DE	NIA	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company	N	1
			82-2734635				MCF CLO V LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			37-1749427				MCF CLO VI LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			46-2042988				MCF CLO VII LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
			46-2042988		0001570694		Montpelier Carry Parent, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			35-2537165				Montpelier Carry, LLC	DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			32-0469843				Montpelier GP, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
			32-0469843				Montpelier Fund, L.P.	DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							MCF Mezzanine Carry I LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
							MCF Mezzanine Fund I LLC	DE	NIA	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company	N	
							New York Life Insurance and Annuity Corporation	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	33.330	New York Life Insurance Company	N	
							Warwick Seller Representative, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
							Young America Holdings, LLC	DE	NIA	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	N	
							YAC.ECOM Incorporated	MIN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Young America, LLC	MIN	NIA	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Global Fulfillment Services, Inc.	AZ	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
							SourceOne Worldwide, Inc.	MIN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
							YA Canada Corporation	CAN	NIA	Young America, LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001347648		Zenith Products Holdings, Inc	DE	NIA	Madison Capital Funding LLC	Ownership	16.360	New York Life Insurance Company	N	
							ZPC Holding Corp.	DE	NIA	Zenith Products Holdings Inc.	Ownership	100.000	New York Life Insurance Company	N	
							Zenith Products Corporation	DE	NIA	ZPC Holding Corp.	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Jacob Ballas India Holdings IV	MUS	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Flatiron CLO 2004-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			27-4658141				NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A	DE	OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
							NYLIM Flatiron CLO 2006-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5





ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
							New York Life Global Funding	DE	DTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
							NYL Equipment Issuance Trust	DE	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							NYL Equipment Issuance Trust 2014-2	DE	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							Government Energy Savings Trust 2003-A	NY	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							UFI-NOR Federal Receivables Trust, Series 2009B	NY	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
							JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	N	
							Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company	N	
							NYLIFE Office Holdings Member LLC	DE	NIA	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company	N	
							NYLIFE Office Holdings LLC	DE	NIA	NYLIFE Office Holdings Member LLC	Ownership	51.000	New York Life Insurance Company	N	
							NYLIFE Office Holdings REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC DRAKES LANDING LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC CORPORATE POINTE CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC VON KARMAN CA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC ONE BOWDOIN SQUARE MA LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC 525 N Tryon NC LLC	DE	NIA	NYLIFE Office Holdings REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2591038				525 Charlotte Office LLC	DE	NIA	REEP-OFC 525 N Tryon NC LLC	Ownership	48.450	New York Life Insurance Company	N	
							NYLIFE Office Holdings Acquisition REIT LLC	DE	NIA	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIFE Office Holdings Acquisition REIT LLC	DE	NIA	NYLIFE Office Holdings Acquisition REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC Westory DC LLC	DE	NIA	NYLIFE Office Holdings Acquisition REIT LLC	Ownership	100.000	New York Life Insurance Company	N	
							MAX Ventures and Industries Limited	IND	NIA	New York Life Insurance Company	Ownership	22.510	New York Life Insurance Company	N	
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company	N	
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	32.026	New York Life Insurance Company	N	
							NYLIC HKP Member LLC	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	20.470	New York Life Insurance Company	N	
							Ausbil IT - Ausbil Microcap Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	30.240	New York Life Insurance Company	N	
							Ausbil IT Candriam Sustainable Global Equity Fund	AUS	NIA	New York Life Insurance and Annuity Corporation	Ownership	99.230	New York Life Insurance Company	N	
							Mackay Shields Unconstrained Bond Fund	DE	NIA	New York Life Insurance and Annuity Corporation	Ownership	99.800	New York Life Insurance Company	N	
							Ausbil Dividend Income Fund	DE	NIA	New York Life Enterprises LLC	Ownership	39.980	New York Life Insurance Company	N	
							SEAF Sichuan SME Investment Fund LLC	DE	NIA	New York Life Enterprises LLC	Ownership	84.380	New York Life Insurance Company	N	
			98-0412951				New York Life International Holdings Limited	MUS	NIA	New York Life Enterprises LLC	Ownership	15.620	New York Life Insurance Company	N	
			98-0412951				New York Life International Holdings Limited	MUS	NIA	NYL Cayman Holdings Ltd.	Ownership	100.000	New York Life Insurance Company	N	
							NYL Cayman Holdings Ltd.	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd.	Ownership	99.998	New York Life Insurance Company	N	
							Seguros Monterrey New York Life, S.A. de C.V.	MEX	IA	New York Life Enterprises LLC	Ownership	99.000	New York Life Insurance Company	N	
							Administradora de Conductos SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
							Agencias de Distribucion SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de C.V.	Ownership	99.000	New York Life Insurance Company	N	
							Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Agencias de Distribucion SMNYL, S.A. de C.V.	Ownership	1.000	New York Life Insurance Company	N	
			26-1483563				Eagle Strategies LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-3853547				New York Life Capital Corporation	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-3808042		0001033244		New York Life Trust Company	NY	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-5582869				NYL Executive Benefits LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-0145686		0000071637		NYLIFE Securities LLC	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			13-3929029				NYLINK Insurance Agency Incorporated	DE	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLUK I Company	GBR	NIA	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLUK II Company	GBR	NIA	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	N	
							Gresham Mortgage	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							W Construction Company	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							WUT	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							WIM (AIM)	GBR	NIA	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors (U.K.) Limited	GBR	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors REIT Manager LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Holdings NCVAD GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							McMorgan Northern California Value Add/Development Fund I, L.P.	DE	NIA	NYLIM Holdings NCVAD GP LLC	Ownership	50.000	New York Life Insurance Company	N	
							MNCVAD-IND Greenwood CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-IND Norris Canyon CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-CP Norris Canyon LLC	DE	NIA	MNCVAD-IND Norris Canyon CA LLC	Ownership	94.000	New York Life Insurance Company	N	
							MNCVAD-IND Petaluma CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-OFC 2665 NORTH FIRST CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-SEAGATE 2665 NORTH FIRST LLC	DE	NIA	MNCVAD-OFC 2665 North First CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MNCVAD-OFC Bridgepointe CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-OFC RIDDER PARK CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-GRAYMARK RIDDER PARK LLC	DE	NIA	MNCVAD-OFC RIDDER PARK CA LLC	Ownership	97.500	New York Life Insurance Company	N	
							MNCVAD-OFC ONEBAY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD-HARVEST ONE BAY LLC	DE	NIA	MNCVAD-OFC ONEBAY CA LLC	Ownership	95.000	New York Life Insurance Company	N	
							MNCVAD-IND RICHMOND CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYL Investors NCVAD II GP, LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
							McMorgan Northern California Value Add/Development Fund II, LP	DE	NIA	NYL Investors NCVAD II GP, LLC	Ownership	50.000	New York Life Insurance Company	N	
							MNCVAD II-MF HENLEY CA LLC	DE	NIA	McMorgan Northern California Value Add/Development Fund II, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							MNCVAD II-SP HENLEY JV LLC	DE	NIA	MNCVAD II-MF HENLEY CA LLC	Ownership	90.000	New York Life Insurance Company	N	
							MNCVAD II-SP HENLEY OWNER LLC	DE	NIA	MNCVAD II-SP HENLEY JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							Madison Core Property Fund LLC	DE	NIA	NYL Investors LLC	Management	0.000	New York Life Insurance Company	N	11
							MIREF 1500 Quail, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Mill Creek, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Gateway, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Delta Court, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Fremont Distribution Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Century, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Newport Commons, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Northsight, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Riverside, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Corporate Woods, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Bedminster, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Bartons Creek, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							Bartons Lodge Apartments, LLC	DE	NIA	MIREF Bartons Creek, LLC	Ownership	90.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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							MIREF Marketpointe, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF 101 East Crossroads, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							101 East Crossroads, LLC	DE	NIA	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Chain Bridge, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							1991 Chain Bridge Road, LLC	DE	NIA	MIREF Chain Bridge, LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Hawthorne, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Auburn 277, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Sumner North, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Wellington, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MIREF Warner Center, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-IND Valley Business Park CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Duluth GA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC Centerstone I CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC Centerstone III CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MOB Centerstone IV CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC Centerpoint Plaza CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2279230				MADISON-IND Logistics NC LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2283899				MCPF-LRC Logistics LLC	DE	NIA	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company	N	
							MADISON-MF Desert Mirage AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC One Main Place OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-IND Fenton MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-IND Hitzert Roadway MO LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Hoyt OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-RTL Clifton Heights PA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-IND Locust CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5640009				MADISON-OFC Weston Pointe FL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MF Henderson NV LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MCPF-SP Henderson LLC	DE	NIA	MADISON-MF Henderson NV LLC	Ownership	90.000	New York Life Insurance Company	N	
							MADISON-SP Henderson LLC	DE	NIA	MCPF-SP Henderson LLC	Ownership	90.000	New York Life Insurance Company	N	
							MADISON-IND VISTA LOGISTICS OR LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-SPECHT VISTA LOGISTICS LLC	DE	NIA	MADISON-IND VISTA LOGISTICS OR LLC	Ownership	95.000	New York Life Insurance Company	N	
							MADISON-MF MCCADDEN CA LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-OFC 1201 WEST IL LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							MADISON-MCCAFFERY 1201 WEST IL LLC	DE	NIA	MADISON-OFC 1201 WEST IL LLC	Ownership	92.500	New York Life Insurance Company	N	
							MADISON-MF CRESTONE AZ LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Asia Limited	CYM	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			03-0598064		0000050672		Institutional Capital LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4080466		0000061227		MacKay Shields LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-2850988				MacKay Shields Core Plus Opportunities Fund GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-2851036		0001502131		MacKay Shields Core Plus / Opportunities Fund LP	DE	NIA	MacKay Shields Core Plus Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-0676586				MacKay Municipal Managers Opportunities GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-2332835		0001432467		MacKay Municipal Opportunities Master Fund, L.P.	DE	NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			22-2267512		0001432468		MacKay Municipal Opportunities Fund, L.P.	DE	NIA	MacKay Municipal Managers Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-0676650				MacKay Municipal Managers Credit Opportunities GP, LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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			30-0523736		0001460030		MackKay Municipal Credit Opportunities Master Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			30-0523739		0001460023		MackKay Municipal Credit Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			38-4019880		0001700102		MackKay Municipal Credit Opportunities HL Fund, L.P.	DE	NIA	MackKay Municipal Managers Credit Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1374021				MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1370729				MackKay Municipal Credit Opportunities HL (Cayman) Fund, LP	CYM	NIA	MackKay Municipal Managers Credit Opportunities HL (Cayman) GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-3040968				MackKay Municipal Short Term Opportunities Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-3041041		0001532022		MackKay Municipal Short Term Opportunities Fund LP	DE	NIA	MackKay Municipal Short Term Opportunities Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Ownership	50.000	New York Life Insurance Company	N	
							Plainview Funds plc	IRL	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
							Plainview Funds plc - MackKay Shields - Flexible Bond Portfolio	IRL	NIA	Plainview Funds Plc	Management	0.000	New York Life Insurance Company	N	2
							Plainview Funds plc - MackKay Shields - Unconstrained Bond Portfolio	IRL	NIA	New York Life Insurance Company	Ownership	1.910	New York Life Insurance Company	N	
							Plainview Funds plc - MackKay Shields - Unconstrained Bond Portfolio	IRL	NIA	MackKay Shields LLC	Ownership	1.450	New York Life Insurance Company	N	
							Plainview Funds plc - MackKay Shields - Floating Rate High Yield Portfolio	IRL	NIA	New York Life Insurance Company	Ownership	92.370	New York Life Insurance Company	N	
							Plainview Funds plc - MackKay Shields - Floating Rate High Yield Portfolio	IRL	NIA	MackKay Shields LLC	Ownership	7.390	New York Life Insurance Company	N	
							Plainview Funds plc - MackKay Shields Core Plus Opportunities Portfolio	IRL	NIA	MackKay Shields LLC	Management	0.000	New York Life Insurance Company	N	2
			22-6861385				MackKay Shields Statutory Trust High Yield Bond Series	CT	NIA	Plainview Funds Plc	Management	0.000	New York Life Insurance Company	N	2
							Plainview Funds plc - MackKay Shields High Yield Crossover Portfolio	IRL	NIA	MackKay Shields LLC	Management	0.000	New York Life Insurance Company	N	2
			27-3064248				MackKay Shields High Yield Active Core Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-4248749		0001502130		MackKay Shields High Yield Active Core Fund LP	DE	NIA	MackKay Shields High Yield Active Core Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-0540507				MackKay Shields Credit Strategy Fund Ltd	CYM	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4357172				MackKay Shields Credit Strategy Partners LP	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4080466				MackKay Shields General Partner (L/S) LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4185570				MackKay Shields Long/Short Fund LP	DE	NIA	MackKay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company	N	
			02-0633343				MayKay Shields Long/Short Fund (Master) LP	DE	NIA	MackKay Shields General Partner (L/S) LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	New York Life Insurance Company	Ownership	13.240	New York Life Insurance Company	N	
					0001502133		MackKay Shields Defensive Bond Arbitrage Fund Ltd.	BMJ	NIA	MackKay Shields LLC	Ownership	0.370	New York Life Insurance Company	N	
			45-2732939				MackKay Shields Core Fixed Income Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2733007		0001529525		MackKay Shields Core Fixed Income Fund LP	DE	NIA	MackKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-1760156				MackKay Shields Select High Yield Bond Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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			81-4553436				MackKay Shields Select High Yield Bond Fund LP	DE	NIA	MackKay Shields Select High Yield Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-4560451				MackKay Shields High Yield Crossover Fund LP	DE	NIA	MackKay Shields Core Fixed Income Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1108933				MackKay Shields (International) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1108959				MackKay Shields (Services) Ltd.	GBR	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (International) Ltd.	Ownership	99.000	New York Life Insurance Company	N	
			98-1108940				MackKay Shields UK LLP	GBR	NIA	MackKay Shields (Services) Ltd.	Ownership	1.000	New York Life Insurance Company	N	
							MackKay Shields Global Derivatives LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2950749				MackKay Municipal Managers Puerto Rico Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-2960789				MackKay Puerto Rico Opportunities Funds, L.P.	DE	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1230069		0001639564		MackKay Puerto Rico Opportunities Feeder Fund, L.P.	CYM	NIA	MackKay Municipal Managers Puerto Rico Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-3358622				MackKay Municipal Managers California Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-3373912				MackKay Municipal Managers California Opportunities Fund, L.P.	DE	NIA	MackKay Municipal Managers California Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-2401724				MackKay Municipal New York Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			38-4002797				MackKay Municipal New York Opportunities Fund, L.P.	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1842839				MackKay Municipal Opportunity HL Fund LP	DE	NIA	MackKay Municipal New York Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-2575585				MackKay Municipal Capital Trading GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			36-4846547				MackKay Municipal Capital Trading Master Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1836504				MackKay Municipal Capital Trading Fund, L.P.	DE	NIA	MackKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-4932734				MackKay Municipal Managers Strategic Opportunities GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1846456		0001701742		MackKay Municipal Strategic Opportunities Fund LP	DE	NIA	MackKay Municipal Managers Strategic Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-1728099				MackKay Shields US Equity Market Neutral Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			27-4320205				MackKay Cornerstone US Equity Market Neutral Fund LP	DE	NIA	MackKay Shields US Equity Market Neutral Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	2
			82-1715543				MackKay Shields Intermediate Bond Fund GP LLC	DE	NIA	MackKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-1716026				MackKay Shields Intermediate Bond Fund LP	DE	NIA	MackKay Shields Intermediate Bond Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-5582869		0001453415		Cornerstone Capital Management Holdings LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							Cornerstone US Equity Market Neutral Fund, LLC	DE	NIA	Cornerstone Capital Management LLC	Ownership	100.000	New York Life Insurance Company	N	
							Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	DE	NIA	Cornerstone Capital Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001329043		Cornerstone Capital Management Large-Cap Enhanced Index Fund, LP	DE	NIA	Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4091043		0001292892		GoldPoint Partners LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001513540		New York Life Capital Partners, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
			13-4091045		0001513533		New York Life Capital Partners, LP	DE	NIA	New York Life Capital Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	

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**SCHEDULE Y**

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					0001293285		New York Life Capital Partners II, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001293286		New York Life Capital Partners II, LP	DE	NIA	New York Life Capital Partners II, LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners III GenPar, LP	DE	NIA	New York Life Capital Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners III, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners III-A, LP	DE	NIA	New York Life Capital Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners IV GenPar, LP	DE	NIA	New York Life Capital Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners IV, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Capital Partners IV-A, LP	DE	NIA	New York Life Capital Partners IV GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners IV GenPar LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners Co-Investment Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco Preferred A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker A, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker A, LP	DE	NIA	GPP Mezzanine Blocker Holdco A, LP	Ownership	7.500	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco B, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	92.500	New York Life Insurance Company	N	
							GPP Mezzanine Blocker B, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker B, LP	DE	NIA	GPP Mezzanine Blocker Holdco B, LP	Ownership	4.400	New York Life Insurance Company	N	
							GPP Mezzanine Blocker C, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	95.600	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco C, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker C, LP	DE	NIA	GPP Mezzanine Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker Holdco D, LP	DE	NIA	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
							GPP Mezzanine Blocker D, LP	DE	NIA	GPP Mezzanine Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Mezzanine Partners Offshore IV, L.P.	CYM	NIA	GoldPoint Mezzanine Partners IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V GenPar, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment Fund-A, LP	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
					0001562188		GoldPoint Partners Co-Investment V, L.P.	DE	NIA	GoldPoint Partners Co-Investment V GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker A, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker B, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco B, LP	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
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							GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker C, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker D, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker E, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco E, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker F, LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker Holdco G,LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment V ECI Blocker G,LP	DE	NIA	GoldPoint Partners Co-Investment V ECI Blocker Holdco G,LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager III GenPar, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Fund III, L.P.	CYM	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Fund III AIV, L.P.	DE	NIA	GoldPoint Partners Select Manager III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager IV GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager IV GenPar, L.P.	DE	NIA	GoldPoint Partners Select Manager IV GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Fund IV, L.P.	DE	NIA	GoldPoint Partners Select Manager IV GenPar, L.P.	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Canada III GenPar, Inc	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Canada Fund III, L.P.	CAN	NIA	GoldPoint Partners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Canada IV GenPar Inc	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Select Manager Canada Fund IV, L.P.	CAN	NIA	GoldPoint Partners Canada IV GenPar Inc	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment VI GenPar GP LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment VI GenPar, LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Partners Co-Investment VI LP	DE	NIA	GoldPoint Partners Co-Investment VI GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Private Credit GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							GoldPoint Private Credit Fund, LP	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest GenPar L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest L.P.	DE	NIA	NYLCAP 2010 Co-Invest GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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							NYLCAP 2010 Co-Invest ECI Blocker B L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	DE	NIA	NYLCAP 2010 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker E L.P.	DE	NIA	NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker F L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP 2010 Co-Invest ECI Blocker G L.P.	DE	NIA	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Canada GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Canada Fund, LP	CAN	NIA	NYLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Canada II GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Canada Fund II, L.P.	CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
					0001513541		NYLIM Mezzanine GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001513539		NYLIM Mezzanine GenPar, LP	DE	NIA	NYLIM Mezzanine GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Mezzanine Partners, LP	DE	NIA	NYLIM Mezzanine GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001193500		NYLIM Mezzanine Partners Parallel Fund LP	DE	NIA	NYLIM Mezzanine GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001259536		NYLIM Mezzanine Partners II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Offshore Partners II, LP	CYM	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Partners II GenPar, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Mezzanine Partners II, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Mezzanine Partners II Parallel Fund, LP	DE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001387095		NYLIM Mezzanine II Parallel Luxco S.a.r.l.	LUX	NIA	NYLIM Mezzanine Partners II Parallel Fund, LP	Ownership	100.000	New York Life Insurance Company	N	
							Voice Holdco Ltd.	CAN	NIA	NYLIM Mezzanine II Parallel Luxco S.a.r.l.	Ownership	27.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III GenPar, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III-K, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001482545		NYLCAP Mezzanine Partners III, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III Parallel Fund, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
					0001539552		NYLCAP Mezzanine Partners III 2012 Co-Invest LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP	DE	NIA	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP	DE	NIA	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Mezzanine Offshore Partners III, LP	CYM	NIA	NYLCAP Mezzanine Partners III GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001441093		NYLCAP Select Manager GenPar, LP	DE	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Fund, LP	DE	NIA	NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Cayman Fund, LP	CYM	NIA	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001520743		NYLCAP Select Manager II GenPar GP, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP Select Manager Fund II, L.P.	CYM	NIA	NYLCAP Select Manager II GenPar GP, LP	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP India Funding LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-JB Asset Management Co., LLC	MUS	NIA	NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	N	3
					0001356865		New York Life Investment Management India Fund II, LLC	MUS	NIA	NYLIM-JB Asset Management Co., LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management India Fund (FVCI) II, LLC	MUS	NIA	New York Life Investment Management India Fund II, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLCAP India Funding III LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-Jacob Ballas Asset Management Company III, LLC	MUS	NIA	NYLCAP India Funding III LLC	Ownership	24.660	New York Life Insurance Company	N	4
					0001435025		NYLIM Jacob Ballas India Fund III, LLC	MUS	NIA	NYLIM-Jacob Ballas Asset Management Company III, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Jacob Ballas Capital India (FVCI) III, LLC	MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM Jacob Ballas India (FII) III, LLC	MUS	NIA	NYLIM Jacob Ballas India Fund III, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Evolvement Asset Management, Ltd.	IND	NIA	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company	N	
							NYLCAP Holdings (Mauritius) LLC	MUS	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							Jacob Ballas Capital India PVT, Ltd.	MUS	NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	23.300	New York Life Insurance Company	N	
			22-3704242		0001103598		NYLIM Service Company LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYL Workforce GP LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
					52-2206685		New York Life Investment Management LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-GCR Fund I, LLC	DE	NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	N	
							NYLIM Fund II GP, LLC	DE	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	N	
					0001355337		NYLIM Real Estate Mezzanine Fund II, LP	DE	NIA	NYLIM Fund II GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							NYLIM-TND, LLC	DE	NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	100.000	New York Life Insurance Company	N	
							WFGH, GP LLC	DE	NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	N	
					0001406803		Workforce Housing Fund I - 2007, LP	DE	NIA	WFGH, GP LLC	Ownership	50.000	New York Life Insurance Company	N	
							Index IQ Holdings Inc.	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			02-0811744		0001460140		Financial Development LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	74.370	New York Life Insurance Company	N	
			02-0811744		0001460140		Financial Development LLC	DE	NIA	Index IQ Holdings Inc.	Ownership	25.630	New York Life Insurance Company	N	
			56-2581173		0001364028		IndexIQ, Inc.	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	N	
			02-0811751				IndexIQ LLC	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	N	
			02-0811753		0001415996		IndexIQ Advisors LLC	DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	N	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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							New York Life Investment Management Holdings International S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Holdings II International S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management Global Holdings S.á.r.l.	LUX	NIA	New York Life Investment Management Holdings II International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
							CGH Acquisition Company Limited	GBR	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Luxco S.á.r.l.	LUX	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Luxembourg, partnership limited by shares	LUX	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	95.033	New York Life Insurance Company	N	
							Candriam Luxembourg, partnership limited by shares	LUX	NIA	Candriam Luxco S.á.r.l.	Other	0.000	New York Life Insurance Company	N	10
							Candriam Belgium, public limited company	BEL	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	99.990	New York Life Insurance Company	N	
							Candriam Belgium, public limited company	BEL	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	0.010	New York Life Insurance Company	N	
							Candriam France, simplified joint-stock company	FRA	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	100.000	New York Life Insurance Company	N	
							Candriam Monétaire	FRA	NIA	Candriam Belgium, public limited company	Ownership	1.230	New York Life Insurance Company	N	
							Candriam Monétaire	FRA	NIA	Candriam France, simplified joint-stock company	Ownership	0.990	New York Life Insurance Company	N	
							Candriam Switzerland LLC, limited liability company	CHE	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	100.000	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	68.040	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam Belgium public limited company	Ownership	15.980	New York Life Insurance Company	N	
							Cordius CIG	LUX	NIA	Candriam France, simplified joint-stock company	Ownership	15.980	New York Life Insurance Company	N	
							Candriam Bonds Convertible Opportunities	LUX	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	28.760	New York Life Insurance Company	N	
							Candriam Alternative Return Equity Market Neutral	LUX	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	21.050	New York Life Insurance Company	N	
							Candriam L ESG Defensive Asset Allocation	LUX	NIA	Candriam Luxembourg, partnership limited by shares	Ownership	5.720	New York Life Insurance Company	N	
							Ausbil Investment Management Limited	AUS	NIA	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	79.250	New York Life Insurance Company	N	
							Ausbil Australia Pty. Ltd.	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Asset Management Pty. Ltd.	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
							Ausbil Investment Management Limited Employee Share Trust	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
			56-2412827		0000914898		NYLIFE Distributors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
			54-1886751		0001065114		Private Advisors LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	65.850	New York Life Insurance Company	N	
			45-2572635				PACIF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591793				PACIF Carry, LLC	DE	NIA	PACIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			20-4877177		0001368975		Private Advisors Coinvestment Fund, LP	DE	NIA	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF II GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-1662477		0001489910		Private Advisors Coinvestment Fund II, LP	DE	NIA	PACIF II GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591588				PACIF II Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591860				PACIF II Carry, LLC	DE	NIA	PACIF II Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	

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**SCHEDULE Y**

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			46-1360141		0001562375		PACIF III GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2548534				Private Advisors Coinvestment Fund III, LP	DE	NIA	PACIF III GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			80-0916710				PACIF III Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PACIF III Carry, LLC	DE	NIA	PACIF III Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4247870		0001646588		PACIF IV GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Coinvestment Fund IV, LP	DE	NIA	PACIF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4489053				PACIF IV Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			03-0532213				PACIF IV Carry, LLC	DE	NIA	PACIF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Hedged Equity Fund, L.P.	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			54-2051483				Private Advisors Hedged Equity Fund (QP), L.P.	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			20-5904617				Private Advisors Hedged Equity Master Fund	DE	NIA	Private Advisors Hedged Equity Fund (QP), L.P.	Other	0.000	New York Life Insurance Company	N	B
			54-2134140		0001278583		Private Advisors Small Company Buyout Fund II, L.P.	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF III GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			20-4838202		0001374891		Private Advisors Small Company Buyout Fund III, LP	DE	NIA	PASCBF III GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF IV GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-1662399		0001442524		Private Advisors Small Company Buyout Fund IV, LP	DE	NIA	PASCBF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2573409				PASCBF IV Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-2591925				PASCBF IV Carry, LLC	DE	NIA	PASCBF IV Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCBF V GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			45-4078336		0001537995		Private Advisors Small Company Buyout Fund V, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-1799496		0001576987		Private Advisors Small Company Buyout V - ERISA Fund, LP	DE	NIA	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-2714292				PASCBF V Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			35-2476750				PASCBF V Carry, LLC	DE	NIA	PASBF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPF VI Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4523581				PASCPF VI Carry, LLC	DE	NIA	PASCPF VI Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPF VI GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-4301623		0001595889		Private Advisors Small Company Private Equity Fund VI, LP	DE	NIA	PASCPF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1223903		0001635254		Private Advisors Small Company Private Equity Fund VI (Cayman), LP	CYM	NIA	PASCPF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPF VII GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5430553				Private Advisors Small Company Private Equity Fund VII, LP	DE	NIA	PASCPF VII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			98-1286549				Private Advisors Small Company Private Equity Fund VII (Cayman)	CYM	NIA	PASCPF VII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPF VII Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5442078				PASCPF VII Carry, LLC	DE	NIA	PASCPF VII Carry Parent LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPF VIII GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2042371				Private Advisors Small Company Private Equity Fund VIII LP	DE	NIA	PASCPF VIII GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2059912				PASCPF VIII Carry Parent LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCPF VIII Carry LLC	DE	NIA	PASCPF VIII Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-0948254	3328161			Cuyahoga Capital Partners I Management Group, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-0948256	3328161			Cuyahoga Capital Partners I, L.P.	DE	NIA	Cuyahoga Capital Partners I Management Group, LLC	Other	0.000	New York Life Insurance Company	N	B

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ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
			71-1014616	3594658			Cuyahoga Capital Partners II Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			71-1014620				Cuyahoga Capital Partners II LP	DE	NIA	Cuyahoga Capital Partners II Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			26-1989297	3729106			Cuyahoga Capital Partners III Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-1989419				Cuyahoga Capital Partners III LP	DE	NIA	Cuyahoga Capital Partners III Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			26-4331000				Cuyahoga Capital Partners IV Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-4331219				Cuyahoga Capital Partners IV LP	DE	NIA	Cuyahoga Capital Partners IV Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			26-3698069	3835342			Cuyahoga Capital Emerging Buyout Partners Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			26-3698209				Cuyahoga Capital Emerging Buyout Partners LP	DE	NIA	Cuyahoga Capital Emerging Buyout Partners Management Group LLC	Other	0.000	New York Life Insurance Company	N	B
			47-4479441				PA Real Assets Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Real Assets Carry, LLC	DE	NIA	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Emerging Manager Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Emerging Manager Carry, LLC	DE	NIA	PA Emerging Manager Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							RIC I GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4146929				Richmond Coinvestment Partners I, LP	DE	NIA	RIC I GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							RIC I Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4511149				RIC I Carry, LLC	DE	NIA	RIC I Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASF V GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4252449		0001646590		Private Advisors Secondary Fund V, LP	DE	NIA	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASF V Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-4498336				PASF V Carry, LLC	DE	NIA	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PARAF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5323045				Private Advisors Real Assets Fund, LP	DE	NIA	PARAF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PARAF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5392508				PARAF Carry, LLC	DE	NIA	PARAF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCCIF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							Private Advisors Small Company Coinvestment Fund, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5230804				Private Advisors Small Company Coinvestment Fund ERISA, LP	DE	NIA	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			81-4614299				PASCCIF Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PASCCIF Carry, LLC	DE	NIA	PASCCIF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
			47-5472308				PA Real Assets Carry Parent II, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
							PA Real Assets Carry II, LLC	DE	NIA	PA Real Assets Carry Parent II, LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-2582122				PARAF II GP LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-3120890				Private Advisors Real Assets Fund II LP	DE	NIA	PARAF II GP LLC	Ownership	100.000	New York Life Insurance Company	N	
			82-3541209				Private Advisors Hedged Equity Fund, Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
							Private Advisors Hedged Equity Fund (QP), Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
			20-1079864				Private Advisors Hedged Equity Master Fund, Ltd.	CYM	NIA	Private Advisors Hedged Equity Fund (QP), Ltd.	Other	0.000	New York Life Insurance Company	N	B
			98-0626772				Private Advisors Stable Value ERISA Fund, Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
			20-1079805				Private Advisors Stable Value Master Fund, Ltd.	CYM	NIA	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	B
							UVF GP, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			46-5163324		0001605509		Undiscovered Value Fund, LP	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			98-1164867 98-1164329		0001605502		Undiscovered Value Fund, Ltd. Undiscovered Value Master Fund SPC	.CYM .CYM	NIA NIA	Private Advisors LLC Undiscovered Value Fund, Ltd. New York Life Investment Management	Other Ownership	0.000 100.000	New York Life Insurance Company New York Life Insurance Company	.N .N	.8
			81-5158468				NYLIM Alternatives LLC CVP Holdings LLC CVP CLO Manager LLC CVP CLO Holdings GP LLC CVP CLO Holdings, LP CVP CLO Advisors, LLC Credit Value Partners, LLC CHIPC Evergreen General LLC CVP High Income Private Credit Master Fund LP	.DE .DE .DE .DE .CYM .DE .DE .DE	NIA NIA NIA NIA NIA NIA NIA NIA NIA	Holdings LLC NYLIM Alternatives LLC CVP Holdings LLC CVP CLO Manager LLC CVP CLO Holdings GP LLC CVP CLO Holdings LP CVP Holdings LLC Credit Value Partners, LLC	Ownership Ownership Ownership Ownership Ownership Ownership Ownership	100.000 60.000 100.000 100.000 100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company	.N .N .N .N .N .N .N .N	
							CHIPC Evergreen General LLC CVP Loan Servicing LLC CHIPC PE General, LLC CHIPC PE Intermediate Fund, LP CVP High Income Private Credit PE Fund (Cayman), LP	.CYM .DE .DE .CYM	NIA NIA NIA NIA	CHIPC Evergreen General LLC Credit Value Partners, LLC Credit Value Partners, LLC CHIPC PE General, LLC	Ownership Ownership Ownership Ownership	100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company	.N .N .N .N	
					0001672457 0001516194		CVP High Income Private Credit PE Fund, LP CVP Distressed Fund, LLC CVF IV General, LLC	.DE .DE .DE	NIA NIA NIA	CHIPC PE General, LLC Credit Value Partners, LLC Credit Value Partners, LLC	Ownership Ownership Ownership	100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company	.N .N .N	
					0001650747		Credit Value Fund IV, LP Credit Value Fund (Cayman) IV, LP Credit Value Intermediate Fund IV, LP Credit Value Master Fund IV-A, LP Credit Value Master Fund IV-B, LP CVF IV General, LLC CVF IV Vert LLC CVF IV-A1 Vert LLC CVP SPV LLC CVP SPVLL Series I CVP SPVLL Series II CVP SPVLL Series III CVP Management Ireland Limited	.DE .CYM .CYM .CYM .CYM .CYM .DE .DE .DE .DE .DE .DE .DE .IRL	NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA NIA	CVF IV General, LLC CVF IV General, LLC CVF IV General, LLC CVF IV General, LLC CVF IV General, LLC CVF IV General, LLC CVF IV General, LLC CVF IV General, LLC Credit Value Partners, LLC Credit Value Partners, LLC Credit Value Partners, LLC Credit Value Partners, LLC Credit Value Partners, LLC	Ownership Ownership Ownership Ownership Ownership Ownership Ownership Ownership Ownership Ownership Ownership Ownership	100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company New York Life Insurance Company	.N .N .N .N .N .N .N .N .N .N .N .N .N .N .N .N	
					3663273		Huntsville NYL LLC CC Acquisitions, LP REEP-IND Cedar Farms TN LLC Cedar Farms JV LLC REEP-IND Continental NC LLC LRC-Patriot, LLC REEP-LRC Industrial LLC REEP-IND Forest Park NJ LLC FP Building 4 LLC FP Building 1-2-3 LLC FP Building 17, LLC FP Building 18, LLC FP Building 19, LLC FP Building 20, LLC FP Mantua Grove LLC FP Lot 1.01 LLC REEP-IND NJ LLC NJIND JV LLC NJIND Hook Road LLC NJIND Raritan Center LLC NJIND Talmadge Road LLC	.DE .DE	NIA NIA	NVLife Real Estate Holdings, LLC NVLife Real Estate Holdings, LLC REEP-IND Cedar Farms TN LLC NVLife Real Estate Holdings, LLC REEP-IND Continental NC LLC LRC-Patriot, LLC NVLife Real Estate Holdings, LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC REEP-IND Forest Park NJ LLC NVLife Real Estate Holdings, LLC REEP-IND NJ LLC NJIND JV LLC NJIND JV LLC NJIND JV LLC NJIND JV LLC	Ownership Ownership	100.000 100.000 100.000 90.000 100.000 93.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 100.000 93.000 100.000 100.000 100.000 100.000	New York Life Insurance Company New York Life Insurance Company	.N .N	

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ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
							NJIND Bay Avenue LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Melrich Road LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							NJIND Corbin Street LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-IND Valwood TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Cumberland TN LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Cumberland Apartments, LLC	TN	NIA	REEP-MF Cumberland TN LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Enclave TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Enclave CAF LLC	DE	NIA	REEP-MF Enclave TX LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Marina Landing WA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-SP Marina Landing LLC	DE	NIA	REEP-MF Marina Landing WA LLC	Ownership	98.000	New York Life Insurance Company	N	
							REEP-MF Mira Loma II TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Mira Loma II, LLC	DE	NIA	REEP-MF Mira Loma II TX LLC	Ownership	50.000	New York Life Insurance Company	N	
							REEP-MF Summitt Ridge CO LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Summitt Ridge Apartments, LLC	DE	NIA	REEP-MF Summitt Ridge CO LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Woodridge IL LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OF Centerpointe VA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC 575 Lex NY LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC 575 Lex NY GP LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-RTL SASI GA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-RTL Bradford PA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC Royal Centre GA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							Royal Centre, LLC	DE	NIA	REEP-OFC Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	N	
							REEP-RTL CTC NY LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC 5005 LBJ Freeway TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company	N	
							5005 LBJ Tower LLC	DE	NIA	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company	N	
							REEP-MF SPENCER NV LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-HZ SPENCER JV LLC	DE	NIA	REEP-MF SPENCER NV LLC	Ownership	92.700	New York Life Insurance Company	N	
							REEP-HZ SPENCER LLC	DE	NIA	REEP-HZ SPENCER JV LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC/RTL MARKET ROSS TX LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
			37-1842612				MARKET ROSS TX JV LLC	DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	New York Life Insurance Company	N	
			61-1808552				MARKET ROSS TX GARAGE OWNER LC	DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	New York Life Insurance Company	N	
			36-4852864				MARKET ROSS TX OFFICE OWNER LLC	DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	New York Life Insurance Company	N	
			32-0511592				MARKET ROSS TX RETAIL OWNER LLC	DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC Mallory TN LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							3665 Mallory JV LLC	DE	NIA	REEP-OFC Mallory TN LLC	Ownership	90.900	New York Life Insurance Company	N	
							REEP-OFC Water Ridge NC LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-OFC Viridian AZ LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-Hines Viridian JV LLC	DE	NIA	REEP-OFC Viridian AZ LLC	Ownership	60.118	New York Life Insurance Company	N	
							REEP-OFC 2300 EMPIRE LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
							REEP-MF Wynnewood PA LLC	DE	NIA	NVLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
			30-1018932				Wynnewood JV LLC	DE	NIA	REEP-MF Wynnewood PA LLC	Ownership	92.060	New York Life Insurance Company	N	

Asterisk	Explanation
1	Contractual Client - Madison Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
2	Control of this entity is pursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
3	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLAP India Funding LLC owns 36% of non-voting carry shares.
4	GoldPoint Partners LLC owns 24.66% of the voting management shares. NYLAP India Funding III LLC owns 31.36% of non-voting carry shares.
5	Investment Pool - Investment pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
6	Reliance Relationship - Entire proceeds of the entity are invested in a funding agreement of the Company.
7	Energy Contracts and Aircraft Loans Investments - with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

Asterisk	Explanation
8 .....	Control of this entity is pursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests. ....
9 .....	Investment Pool - Investment pool of mixed assets managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company. ....
10 .....	One share held by Candriam Luxco S.á.r.l. ....
11 .....	Control of this entity is pursuant to a management contract with NYL Investors LLC. ....

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE Y**

**PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES**

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
66915	13-5582869	New York Life Insurance Company (Parent)	431,984,111	(36,254,862)			972,670,498	5,000,000		(2,305,683,117)	(932,283,370)	(4,027,264,715)
91596	13-3044743	New York Life Insurance and Annuity Corporation	(211,954,360)				(968,374,184)	(5,000,000)		(1,874,776,048)	(3,060,104,592)	4,027,264,715
	13-4199614	New York Life Enterprises LLC		26,254,862							26,254,862	
81353	52-1530175	NYLIFE Insurance Company of Arizona					(4,296,314)				(4,296,314)	
	52-2206685	New York Life Investment Management Holdings LLC	(10,029,751)							660,040,011	650,010,260	
	13-4081725	NYLIFE LLC		10,000,000						(496,476,848)	(486,476,848)	
	46-4293486	NYL Investors LLC	(70,000,000)							35,144,325	(34,855,675)	
	36-4715120	Madison Capital Funding LLC	(140,000,000)							3,981,751,677	3,841,751,677	
9999999	Control Totals								XXX			

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<u>Responses</u>
<b>MARCH FILING</b>	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1? .....	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1? .....	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? .....	YES
4. Will an actuarial opinion be filed by March 1? .....	YES
<b>APRIL FILING</b>	
5. Will Management's Discussion and Analysis be filed by April 1? .....	YES
6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? .....	YES
7. Will the Adjustment Form (if required) be filed with the state of domicile and the NAIC by April 1? .....	YES
8. Will the Supplemental Investment Risks Interrogatories be filed by April 1? .....	YES
<b>JUNE FILING</b>	
9. Will an audited financial report be filed by June 1? .....	YES
10. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? .....	YES
<b>AUGUST FILING</b>	
11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? .....	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<u>Responses</u>
<b>MARCH FILING</b>	
12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? .....	NO
13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1? .....	NO
14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1? .....	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1? .....	YES
18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1? .....	NO
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? .....	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1? .....	YES
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1? .....	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? .....	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1? .....	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	SEE EXPLANATION
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1? .....	NO
<b>APRIL FILING</b>		
41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1? .....	YES
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1? .....	NO
43.	Will the Interest-Sensitive Life Insurance Products Report Forms be filed with the state of domicile and the NAIC by April 1? .....	NO
44.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? .....	NO
45.	Will the Accident and Health Policy Experience Exhibit be filed by April 1? .....	NO
46.	Will the Analysis of Annuity Operations by Lines of Business be filed with the state of domicile and the NAIC by April 1? .....	NO
47.	Will the Analysis of Increase in Annuity Reserves During the Year be filed with the state of domicile and the NAIC by April 1? .....	NO
48.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1? .....	NO
49.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1? .....	NO
50.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30? .....	NO
51.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1? .....	YES
52.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1? .....	NO

**AUGUST FILING**

53.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? .....	NO
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- Explanations:  
 12.  
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39. Exempt - total written and assumed premium is below stated threshold.

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Bar Codes:

12. SIS Stockholder Information Supplement [Document Identifier 420]



13. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]



14. Trusteed Surplus Statement [Document Identifier 490]



15. Participating Opinion for Exhibit 5 [Document Identifier 371]



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

18. Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]	 8 1 3 5 3 2 0 1 7 4 4 3 0 0 0 0 0
19. Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	 8 1 3 5 3 2 0 1 7 4 4 4 0 0 0 0 0
20. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 8 1 3 5 3 2 0 1 7 4 4 5 0 0 0 0 0
21. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 8 1 3 5 3 2 0 1 7 4 4 6 0 0 0 0 0
22. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 8 1 3 5 3 2 0 1 7 4 4 7 0 0 0 0 0
23. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 8 1 3 5 3 2 0 1 7 4 4 8 0 0 0 0 0
24. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 8 1 3 5 3 2 0 1 7 4 4 9 0 0 0 0 0
25. C-3 RBC Certifications Required Under C-3 Phase I [Document Identifier 450]	 8 1 3 5 3 2 0 1 7 4 5 0 0 0 0 0 0
26. C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 8 1 3 5 3 2 0 1 7 4 5 1 0 0 0 0 0
27. Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 8 1 3 5 3 2 0 1 7 4 5 2 0 0 0 0 0
28. Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 8 1 3 5 3 2 0 1 7 4 5 3 0 0 0 0 0
29. Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII [Document Identifier 436]	 8 1 3 5 3 2 0 1 7 4 3 6 0 0 0 0 0
30. Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII [Document Identifier 437]	 8 1 3 5 3 2 0 1 7 4 3 7 0 0 0 0 0
31. Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII [Document Identifier 438]	 8 1 3 5 3 2 0 1 7 4 3 8 0 0 0 0 0
32. Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII [Document Identifier 439]	 8 1 3 5 3 2 0 1 7 4 3 9 0 0 0 0 0
33. Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 8 1 3 5 3 2 0 1 7 4 5 4 0 0 0 0 0
34. Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 8 1 3 5 3 2 0 1 7 4 8 5 0 0 0 0 0
36. Medicare Part D Coverage Supplement [Document Identifier 365]	 8 1 3 5 3 2 0 1 7 3 6 5 0 0 0 0 0
37. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 8 1 3 5 3 2 0 1 7 2 2 4 0 0 0 0 0
38. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 8 1 3 5 3 2 0 1 7 2 2 5 0 0 0 0 0
40. VM-20 Reserves Supplement [Document Identifier 456]	 8 1 3 5 3 2 0 1 7 4 5 6 0 0 0 0 0
42. Long-Term Care Experience Reporting Forms [Document Identifier 306]	 8 1 3 5 3 2 0 1 7 3 0 6 0 0 0 0 0
43. Interest-Sensitive Life Insurance Products Report Forms [Document Identifier 280]	 8 1 3 5 3 2 0 1 7 2 8 0 0 0 0 0 0
44. Credit Insurance Experience Exhibit [Document Identifier 230]	 8 1 3 5 3 2 0 1 7 2 3 0 0 0 0 0 0
45. Accident and Health Policy Experience Exhibit [Document Identifier 210]	 8 1 3 5 3 2 0 1 7 2 1 0 0 0 0 0 0
46. Analysis of Annuity Operations by Lines of Business [Document Identifier 510]	 8 1 3 5 3 2 0 1 7 5 1 0 0 0 0 0 0
47. Analysis of Increase in Annuity Reserves During the Year [Document Identifier 515]	 8 1 3 5 3 2 0 1 7 5 1 5 0 0 0 0 0
48. Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 8 1 3 5 3 2 0 1 7 2 1 6 0 0 0 0 0

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

49. Supplemental Health Care Exhibit's Expense Allocation Report  
[Document Identifier 217]



50. Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D  
[Document Identifier 435]



52. Variable Annuities Supplement [Document Identifier 286]



53. Management's Report of Internal Control Over Financial Reporting  
[Document Identifier 223]





**OVERFLOW PAGE FOR WRITE-INS**

## SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities .....	3,854,640	2.577	3,854,640		3,854,640	2.577
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies .....	62,894	0.042	62,894		62,894	0.042
1.22 Issued by U.S. government sponsored agencies .....		0.000				0.000
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities) .....	1,623,177	1.085	1,623,177		1,623,177	1.085
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations .....		0.000				0.000
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations .....		0.000				0.000
1.43 Revenue and assessment obligations .....		0.000				0.000
1.44 Industrial development and similar obligations .....		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA .....	9,627,010	6.435	9,627,010		9,627,010	6.435
1.512 Issued or guaranteed by FNMA and FHLMC .....	1,147,855	0.767	1,147,855		1,147,855	0.767
1.513 All other .....		0.000				0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA .....	14,775,214	9.877	14,775,214		14,775,214	9.877
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521 .....		0.000				0.000
1.523 All other .....	4,714,693	3.152	4,714,693		4,714,693	3.152
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities) .....	88,568,174	59.204	88,568,174		88,568,174	59.204
2.2 Unaffiliated non-U.S. securities (including Canada) .....	22,029,346	14.726	22,029,346		22,029,346	14.726
2.3 Affiliated securities .....		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds .....		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated .....		0.000				0.000
3.22 Unaffiliated .....		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated .....		0.000				0.000
3.32 Unaffiliated .....		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated .....		0.000				0.000
3.42 Unaffiliated .....		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated .....		0.000				0.000
3.52 Unaffiliated .....		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development .....		0.000				0.000
4.2 Agricultural .....		0.000				0.000
4.3 Single family residential properties .....		0.000				0.000
4.4 Multifamily residential properties .....		0.000				0.000
4.5 Commercial loans .....		0.000				0.000
4.6 Mezzanine real estate loans .....		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company .....		0.000				0.000
5.2 Property held for production of income (including \$ ..... of property acquired in satisfaction of debt) .....		0.000				0.000
5.3 Property held for sale (including \$ ..... property acquired in satisfaction of debt) .....		0.000				0.000
6. Contract loans .....		0.000				0.000
7. Derivatives .....		0.000				0.000
8. Receivables for securities .....	5,833	0.004	5,833		5,833	0.004
9. Securities Lending (Line 10, Asset Page reinvested collateral) .....		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments .....	3,189,135	2.132	3,189,135		3,189,135	2.132
11. Other invested assets .....		0.000				0.000
12. Total invested assets	149,597,971	100.000	149,597,971		149,597,971	100.000

Schedule A - Verification - Real Estate

**N O N E**

Schedule B - Verification - Mortgage Loans

**N O N E**

**SCHEDULE BA - VERIFICATION BETWEEN YEARS**

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year .....	
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8) .....	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....	
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16 .....	
3.2	Totals, Part 3, Column 12 .....	
4.	Accrual of discount .....	
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13 .....	
5.2	Totals, Part 3, Column 9 .....	
6.	Total gain (loss) on disposals, Part 3, Column 19 .....	
7.	Deduct amounts received on disposals, Part 3, Column 16 .....	
8.	Deduct amortization of premium and depreciation .....	
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17 .....	
9.2	Totals, Part 3, Column 14 .....	
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15 .....	
10.2	Totals, Part 3, Column 11 .....	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	

**NONE**

**SCHEDULE D - VERIFICATION BETWEEN YEARS**

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year .....	148,582,826
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....	21,160,002
3.	Accrual of discount .....	92,715
4.	Unrealized valuation increase (decrease):	
4.1	Part 1, Column 12 .....	
4.2	Part 2, Section 1, Column 15 .....	
4.3	Part 2, Section 2, Column 13 .....	
4.4	Part 4, Column 11 .....	
5.	Total gain (loss) on disposals, Part 4, Column 19 .....	57,333
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	23,230,404
7.	Deduct amortization of premium .....	259,469
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1	Part 1, Column 15 .....	
8.2	Part 2, Section 1, Column 19 .....	
8.3	Part 2, Section 2, Column 16 .....	
8.4	Part 4, Column 15 .....	
9.	Deduct current year's other than temporary impairment recognized:	
9.1	Part 1, Column 14 .....	
9.2	Part 2, Section 1, Column 17 .....	
9.3	Part 2, Section 2, Column 14 .....	
9.4	Part 4, Column 13 .....	
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	146,403,003
11.	Deduct total nonadmitted amounts .....	
12.	Statement value at end of current period (Line 10 minus Line 11) .....	146,403,003

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
<b>BONDS</b>					
Governments (Including all obligations guaranteed by governments)	1. United States .....	13,544,545	13,416,968	13,910,651	13,525,633
	2. Canada .....	1,623,176	1,641,684	1,621,195	1,625,000
	3. Other Countries				
	4. Totals	15,167,721	15,058,652	15,531,846	15,150,633
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals				
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals				
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	15,923,070	16,123,383	15,913,277	15,852,323
Industrial and Miscellaneous, SVO Identified Funds and Hybrid Securities (unaffiliated)	8. United States .....	93,282,866	94,127,655	93,604,824	92,750,745
	9. Canada .....	5,832,074	5,852,399	5,826,741	5,850,000
	10. Other Countries	16,197,272	16,497,077	16,161,936	16,175,000
	11. Totals	115,312,212	116,477,131	115,593,501	114,775,745
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	146,403,003	147,659,166	147,038,624	145,778,701
<b>PREFERRED STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
<b>COMMON STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	146,403,003	147,659,166	147,038,624	

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 1**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	7,578,622	3,372,715	4,508,484	2,777,123	224,021	XXX	18,460,965	12.2	22,110,209	14.5	18,460,965	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals	7,578,622	3,372,715	4,508,484	2,777,123	224,021	XXX	18,460,965	12.2	22,110,209	14.5	18,460,965	
2. All Other Governments												
2.1 NAIC 1		874,840	748,336			XXX	1,623,176	1.1	2,622,743	1.7	1,623,176	
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals		874,840	748,336			XXX	1,623,176	1.1	2,622,743	1.7	1,623,176	
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1						XXX						
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals						XXX						
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 NAIC 1						XXX						
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals						XXX						
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	591,385	2,076,608	4,516,851	8,019,497	718,729	XXX	15,923,070	10.5	16,674,488	10.9	15,923,070	
5.2 NAIC 2						XXX						
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	591,385	2,076,608	4,516,851	8,019,497	718,729	XXX	15,923,070	10.5	16,674,488	10.9	15,923,070	

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ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	9,333,921	51,842,261	43,308,615	160,906		XXX	104,645,703	69.2	100,805,854	65.9	92,385,272	12,260,431
6.2 NAIC 2	1,622,522	2,654,846	5,889,165			XXX	10,166,533	6.7	9,291,867	6.1	9,666,622	499,911
6.3 NAIC 3	499,976					XXX	499,976	0.3	1,481,038	1.0	499,976	
6.4 NAIC 4						XXX						
6.5 NAIC 5						XXX						
6.6 NAIC 6						XXX						
6.7 Totals	11,456,419	54,497,107	49,197,780	160,906		XXX	115,312,212	76.2	111,578,759	72.9	102,551,870	12,760,342
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							

ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
<b>10. Total Bonds Current Year</b>												
10.1 NAIC 1	(d) 17,503,928	58,166,424	53,082,286	10,957,526	942,750		140,652,914	93.0	XXX	XXX	128,392,483	12,260,431
10.2 NAIC 2	(d) 1,622,522	2,654,846	5,889,165				10,166,533	6.7	XXX	XXX	9,666,622	499,911
10.3 NAIC 3	(d) 499,976						499,976	0.3	XXX	XXX	499,976	
10.4 NAIC 4	(d)								XXX	XXX		
10.5 NAIC 5	(d)								XXX	XXX		
10.6 NAIC 6	(d)						(c)		XXX	XXX		
10.7 Totals	19,626,426	60,821,270	58,971,451	10,957,526	942,750		(b) 151,319,423	100.0	XXX	XXX	138,559,081	12,760,342
10.8 Line 10.7 as a % of Col. 7	13.0	40.2	39.0	7.2	0.6		100.0	XXX	XXX	XXX	91.6	8.4
<b>11. Total Bonds Prior Year</b>												
11.1 NAIC 1	18,168,075	44,204,987	64,978,821	13,455,835	1,405,576		XXX	XXX	142,213,294	93.0	129,331,242	12,882,052
11.2 NAIC 2	100,566	3,295,386	5,895,915				XXX	XXX	9,291,867	6.1	8,792,219	499,648
11.3 NAIC 3		1,481,038					XXX	XXX	1,481,038	1.0	1,481,038	
11.4 NAIC 4							XXX	XXX				
11.5 NAIC 5							XXX	XXX				
11.6 NAIC 6							XXX	XXX	(c)	(c)		
11.7 Totals	18,268,641	48,981,411	70,874,736	13,455,835	1,405,576		XXX	XXX	(b) 152,986,199	100.0	139,604,499	13,381,700
11.8 Line 11.7 as a % of Col. 9	11.9	32.0	46.3	8.8	0.9		XXX	XXX	100.0	XXX	91.3	8.7
<b>12. Total Publicly Traded Bonds</b>												
12.1 NAIC 1	16,379,057	52,465,248	47,739,939	10,865,489	942,749		128,392,482	84.8	129,331,242	84.5	128,392,482	XXX
12.2 NAIC 2	1,122,612	2,654,846	5,889,165				9,666,623	6.4	8,792,219	5.7	9,666,623	XXX
12.3 NAIC 3	499,976						499,976	0.3	1,481,038	1.0	499,976	XXX
12.4 NAIC 4												XXX
12.5 NAIC 5												XXX
12.6 NAIC 6												XXX
12.7 Totals	18,001,645	55,120,094	53,629,104	10,865,489	942,749		138,559,081	91.6	139,604,499	91.3	138,559,081	XXX
12.8 Line 12.7 as a % of Col. 7	13.0	39.8	38.7	7.8	0.7		100.0	XXX	XXX	XXX	100.0	XXX
12.9 Line 12.7 as a % of Line 10.7, Col. 7, Section 10	11.9	36.4	35.4	7.2	0.6		91.6	XXX	XXX	XXX	91.6	XXX
<b>13. Total Privately Placed Bonds</b>												
13.1 NAIC 1	1,124,871	5,701,176	5,342,347	92,037	1		12,260,432	8.1	12,882,052	8.4	XXX	12,260,432
13.2 NAIC 2	499,910						499,910	0.3	499,648	0.3	XXX	499,910
13.3 NAIC 3											XXX	
13.4 NAIC 4											XXX	
13.5 NAIC 5											XXX	
13.6 NAIC 6											XXX	
13.7 Totals	1,624,781	5,701,176	5,342,347	92,037	1		12,760,342	8.4	13,381,700	8.7	XXX	12,760,342
13.8 Line 13.7 as a % of Col. 7	12.7	44.7	41.9	0.7	0.0		100.0	XXX	XXX	XXX	XXX	100.0
13.9 Line 13.7 as a % of Line 10.7, Col. 7, Section 10	1.1	3.8	3.5	0.1	0.0		8.4	XXX	XXX	XXX	XXX	8.4

(a) Includes \$ 10,346,892 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 500,000 current year, \$ prior year of bonds with Z designations and \$ , current year \$ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ current year, \$ prior year of bonds with 5\* designations and \$ , current year \$ prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 4,916,420 ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$



ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 2**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
<b>1. U.S. Governments</b>												
1.1 Issuer Obligations .....	7,523,205	1,247,856				XXX	8,771,061	5.8	12,380,122	8.1	8,771,061	
1.2 Residential Mortgage-Backed Securities .....	7,904	50,142	26,903			XXX	84,949	0.1	101,157	0.1	84,949	
1.3 Commercial Mortgage-Backed Securities .....	37,608	2,021,728	4,481,581	2,777,123	224,021	XXX	9,542,061	6.3	9,558,093	6.2	9,542,061	
1.4 Other Loan-Backed and Structured Securities .....	9,905	52,989				XXX	62,894	0.0	70,837	0.0	62,894	
1.5 Totals	7,578,622	3,372,715	4,508,484	2,777,123	224,021	XXX	18,460,965	12.2	22,110,209	14.5	18,460,965	
<b>2. All Other Governments</b>												
2.1 Issuer Obligations .....		874,840	748,336			XXX	1,623,176	1.1	2,622,743	1.7	1,623,176	
2.2 Residential Mortgage-Backed Securities .....						XXX						
2.3 Commercial Mortgage-Backed Securities .....						XXX						
2.4 Other Loan-Backed and Structured Securities .....						XXX						
2.5 Totals		874,840	748,336			XXX	1,623,176	1.1	2,622,743	1.7	1,623,176	
<b>3. U.S. States, Territories and Possessions, Guaranteed</b>												
3.1 Issuer Obligations .....						XXX						
3.2 Residential Mortgage-Backed Securities .....						XXX						
3.3 Commercial Mortgage-Backed Securities .....						XXX						
3.4 Other Loan-Backed and Structured Securities .....						XXX						
3.5 Totals						XXX						
<b>4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed</b>												
4.1 Issuer Obligations .....						XXX						
4.2 Residential Mortgage-Backed Securities .....						XXX						
4.3 Commercial Mortgage-Backed Securities .....						XXX						
4.4 Other Loan-Backed and Structured Securities .....						XXX						
4.5 Totals						XXX						
<b>5. U.S. Special Revenue &amp; Special Assessment Obligations etc., Non-Guaranteed</b>												
5.1 Issuer Obligations .....						XXX						
5.2 Residential Mortgage-Backed Securities .....	572,914	1,990,917	4,121,003	7,562,238	528,143	XXX	14,775,215	9.8	15,510,833	10.1	14,775,215	
5.3 Commercial Mortgage-Backed Securities .....	18,471	85,691	395,848	457,259	190,586	XXX	1,147,855	0.8	1,163,655	0.8	1,147,855	
5.4 Other Loan-Backed and Structured Securities .....						XXX						
5.5 Totals	591,385	2,076,608	4,516,851	8,019,497	718,729	XXX	15,923,070	10.5	16,674,488	10.9	15,923,070	
<b>6. Industrial and Miscellaneous</b>												
6.1 Issuer Obligations .....	10,179,305	50,171,733	49,159,872	92,037		XXX	109,602,947	72.4	105,046,624	68.7	98,543,695	11,059,252
6.2 Residential Mortgage-Backed Securities .....	15,241	44,170	37,908	68,869		XXX	166,188	0.1	191,238	0.1	166,188	
6.3 Commercial Mortgage-Backed Securities .....	267,301	4,281,203				XXX	4,548,504	3.0	5,358,844	3.5	3,841,988	706,516
6.4 Other Loan-Backed and Structured Securities .....	994,573					XXX	994,573	0.7	982,053	0.6	994,573	
6.5 Totals	11,456,420	54,497,106	49,197,780	160,906		XXX	115,312,212	76.2	111,578,759	72.9	102,551,871	12,760,341
<b>7. Hybrid Securities</b>												
7.1 Issuer Obligations .....						XXX						
7.2 Residential Mortgage-Backed Securities .....						XXX						
7.3 Commercial Mortgage-Backed Securities .....						XXX						
7.4 Other Loan-Backed and Structured Securities .....						XXX						
7.5 Totals						XXX						
<b>8. Parent, Subsidiaries and Affiliates</b>												
8.1 Issuer Obligations .....						XXX						
8.2 Residential Mortgage-Backed Securities .....						XXX						
8.3 Commercial Mortgage-Backed Securities .....						XXX						
8.4 Other Loan-Backed and Structured Securities .....						XXX						
8.5 Totals						XXX						

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ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE D - PART 1A - SECTION 2 (Continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 10.6	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
<b>9. SVO Identified Funds</b>												
9.1 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.2 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.3 Totals	XXX	XXX	XXX	XXX	XXX							
<b>10. Total Bonds Current Year</b>												
10.1 Issuer Obligations	17,702,510	52,294,429	49,908,208	92,037		XXX	119,997,184	79.3	XXX	XXX	108,937,932	11,059,252
10.2 Residential Mortgage-Backed Securities	596,059	2,085,229	4,185,814	7,631,107	528,143	XXX	15,026,352	9.9	XXX	XXX	15,026,352	
10.3 Commercial Mortgage-Backed Securities	323,380	6,388,622	4,877,429	3,234,382	414,607	XXX	15,238,420	10.1	XXX	XXX	14,531,904	706,516
10.4 Other Loan-Backed and Structured Securities	1,004,478	52,989				XXX	1,057,467	0.7	XXX	XXX	62,894	994,573
10.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
10.6 Totals	19,626,427	60,821,269	58,971,451	10,957,526	942,750		151,319,423	100.0	XXX	XXX	138,559,082	12,760,341
10.7 Line 10.6 as a % of Col. 7	13.0	40.2	39.0	7.2	0.6		100.0	XXX	XXX	XXX	91.6	8.4
<b>11. Total Bonds Prior Year</b>												
11.1 Issuer Obligations	16,860,697	42,870,873	60,167,363	150,556		XXX	XXX	XXX	120,049,489	78.5	108,357,825	11,691,664
11.2 Residential Mortgage-Backed Securities	657,745	1,945,909	3,092,188	9,205,199	902,187	XXX	XXX	XXX	15,803,228	10.3	15,803,228	
11.3 Commercial Mortgage-Backed Securities	741,422	3,151,302	7,584,398	4,100,081	503,389	XXX	XXX	XXX	16,080,592	10.5	15,372,608	707,984
11.4 Other Loan-Backed and Structured Securities	8,777	1,013,326	30,787			XXX	XXX	XXX	1,052,890	0.7	70,837	982,053
11.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
11.6 Totals	18,268,641	48,981,410	70,874,736	13,455,836	1,405,576		XXX	XXX	152,986,199	100.0	139,604,498	13,381,701
11.7 Line 11.6 as a % of Col. 9	11.9	32.0	46.3	8.8	0.9		XXX	XXX	100.0	XXX	91.3	8.7
<b>12. Total Publicly Traded Bonds</b>												
12.1 Issuer Obligations	17,072,301	47,299,770	44,565,861			XXX	108,937,932	72.0	108,357,825	70.8	108,937,932	XXX
12.2 Residential Mortgage-Backed Securities	596,059	2,085,229	4,185,816	7,631,107	528,142	XXX	15,026,353	9.9	15,803,228	10.3	15,026,353	XXX
12.3 Commercial Mortgage-Backed Securities	323,380	5,682,106	4,877,429	3,234,382	414,607	XXX	14,531,904	9.6	15,372,608	10.0	14,531,904	XXX
12.4 Other Loan-Backed and Structured Securities	9,905	52,989				XXX	62,894	0.0	70,837	0.0	62,894	XXX
12.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							XXX
12.6 Totals	18,001,645	55,120,094	53,629,106	10,865,489	942,749		138,559,083	91.6	139,604,498	91.3	138,559,083	XXX
12.7 Line 12.6 as a % of Col. 7	13.0	39.8	38.7	7.8	0.7		100.0	XXX	XXX	XXX	100.0	XXX
12.8 Line 12.6 as a % of Line 10.6, Col. 7, Section 10	11.9	36.4	35.4	7.2	0.6		91.6	XXX	XXX	XXX	91.6	XXX
<b>13. Total Privately Placed Bonds</b>												
13.1 Issuer Obligations	630,209	4,994,659	5,342,347	92,037		XXX	11,059,252	7.3	11,691,664	7.6	XXX	11,059,252
13.2 Residential Mortgage-Backed Securities			(2)		1	XXX	(1)	0.0			XXX	(1)
13.3 Commercial Mortgage-Backed Securities		706,516				XXX	706,516	0.5	707,984	0.5	XXX	706,516
13.4 Other Loan-Backed and Structured Securities	994,573					XXX	994,573	0.7	982,053	0.6	XXX	994,573
13.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX						XXX	
13.6 Totals	1,624,782	5,701,175	5,342,345	92,037	1		12,760,340	8.4	13,381,701	8.7	XXX	12,760,340
13.7 Line 13.6 as a % of Col. 7	12.7	44.7	41.9	0.7	0.0		100.0	XXX	XXX	XXX	XXX	100.0
13.8 Line 13.6 as a % of Line 10.6, Col. 7, Section 10	1.1	3.8	3.5	0.1	0.0		8.4	XXX	XXX	XXX	XXX	8.4

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ANNUAL STATEMENT FOR THE YEAR 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....	393,997	393,997			
2. Cost of short-term investments acquired .....	31,207,524	31,207,524			
3. Accrual of discount .....					
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....					
6. Deduct consideration received on disposals .....	31,601,521	31,601,521			
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....					
11. Deduct total nonadmitted amounts .....					
12. Statement value at end of current period (Line 10 minus Line 11)					

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	4,009,376	4,009,376		
2. Cost of cash equivalents acquired .....	141,641,762	131,004,379	10,637,383	
3. Accrual of discount .....	58,110	58,110		
4. Unrealized valuation increase (decrease) .....				
5. Total gain (loss) on disposals .....	319	319		
6. Deduct consideration received on disposals .....	140,770,762	130,155,764	10,614,998	
7. Deduct amortization of premium .....				
8. Total foreign exchange change in book/adjusted carrying value .....				
9. Deduct current year's other than temporary impairment recognized .....				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5- 7+8-9) .....	4,938,805	4,916,420	22,385	
11. Deduct total nonadmitted amounts .....				
12. Statement value at end of current period (Line 10 minus Line 11)	4,938,805	4,916,420	22,385	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment: