

LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

# **QUARTERLY STATEMENT**

AS OF JUNE 30, 2017

OF THE CONDITION AND AFFAIRS OF THE

# **NYLIFE Insurance Company of Arizona**

States of America  CED BUSINESS DECEMBER 30, 1987  ottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254  Avenue, New York, NY, U.S. 10010
ottsdale Road, Suite 400, Scottsdale, AZ, U.S. 85254  Avenue, New York, NY, U.S. 10010
Avenue, New York, NY, U.S. 10010
FFICERS
THOMAS FRANCIS ENGLISH Senior Vice President and Chief Legal Officer
ARTHUR HARUTYUN SETER Senior Vice President and Chief Investment Officer
PETER DECANDIA  Corporate Vice President and Controller
COLLEEN ANNE MEADE Associate Legal Officer and Secretary
S
ROBERT MICHAEL GARDNER
THOMAS JOHN GIRARD
ERIC LYNN#

state assets and nationales and of the condition and attairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures Manual except to the extent that: (1) state law may differ; or, (2) state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

COLLEGY ANNE MEADE Associate Legal Officer and Secretary

MARY TERESA HALLAHAN
Vice President and Trans

PETER DECANDIA Corporate Vice President and Controller

STEPHEN COSTANZA NOTARY PUBLIC-STATE OF NEW YORK No. 01CO6327901

Qualified in Westchester County My Commission Expires July 20, 2019

Is this an original filing? Yes [X] No []

If no: 1. State the amendment number ....
2. Date filed ....
3. Number of pages attached ....

Subscribed and sworn to before me this

day of August 2017

Wy Commission Expires July 20

# Officers and Directors who did not occupy the indicated position in the previous annual statement

# **ASSETS**

			Current Statement Date	e	4
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	142,344,730		`	148,582,826
2.	Stocks:				
	2.1 Preferred stocks				
3.	Mortgage loans on real estate:				
	3.1 First liens				
4.	Real estate:				
	4.1 Properties occupied by the company (less \$				
	4.2 Properties held for the production of income (less summary) encumbrances)				
	,				
	4.3 Properties held for sale (less \$				
_	encumbrances)				
5.	Cash (\$(1,644,382) ), cash equivalents				
	(\$7,746,806 ) and short-term				
	investments (\$14,074 )				3,818,034
6.	Contract loans (including \$ premium notes)				
7.	Derivatives				
8.	Other invested assets				
9.	Receivables for securities				1,217
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	148,461,228		148,461,228	152,402,077
13.	Title plants less \$ charged off (for Title insurers				
	only)				
14.	Investment income due and accrued	980,953		980,953	1,019,949
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection.	(296.543)		(296,543)	(39,433)
	15.2 Deferred premiums, agents' balances and installments booked but	,		, , , ,	
	deferred and not yet due (including \$				
	, , ,	22 992 988	422 730	22,570,258	22 954 536
	15.3 Accrued retrospective premiums (\$	22,002,000		22,070,200	£2,00+,000
	contracts subject to redetermination (\$				
40					
16.	Reinsurance:	1 600 000		1 600 000	207 500
	16.1 Amounts recoverable from reinsurers				
	16.2 Funds held by or deposited with reinsured companies				
	16.3 Other amounts receivable under reinsurance contracts				83,200
	Amounts receivable relating to uninsured plans				
	Current federal and foreign income tax recoverable and interest thereon			, ,	622,327
18.2	Net deferred tax asset				5,473,781
19.	Guaranty funds receivable or on deposit	21,870		21,870	21,570
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$				
22.	Net adjustment in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	38 , 179		38 , 179	
24.	Health care (\$ ) and other amounts receivable				
25.	Aggregate write-ins for other than invested assets	206,092	206,092		
26.	Total assets excluding Separate Accounts, Segregated Accounts and	101 110 577	000 000	100 707 755	400 005 507
27.	Protected Cell Accounts (Lines 12 to 25)	181,410,577		180,787,755	182,925,507
28.	Accounts	181,416,577	628,822	180,787,755	182,925,507
20.	DETAILS OF WRITE-INS	101,410,017	020,022	100,707,700	102,020,007
1104					
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501.	Miscellaneous	206,092	206,092		
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	206,092	206,092		

# LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts \$	64,576,119	70,334,691
2.	Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	0.007.064	0.000.500
3. 4.	Liability for deposit-type contracts (including \$ Modco Reserve)	2,037,964	2,293,502
4.	4.1 Life	1.635.438	2.901.340
	4.2 Accident and health		
5.	Policyholders' dividends \$ and coupons \$ due and unpaid		
6.	Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
	6.1 Dividends apportioned for payment (including \$		
	6.2 Dividends not yet apportioned (including \$ Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less		
	\$ discount; including \$ accident and health premiums	116,298	96,822
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health  Service Act		
	9.3 Other amounts payable on reinsurance, including \$ assumed and \$		
	ceded		
	9.4 Interest Maintenance Reserve		
10.	Commissions to agents due or accrued-life and annuity contracts \$, accident and health		
	\$ and deposit-type contract funds \$		
11.	Commissions and expense allowances payable on reinsurance assumed		
12. 13.	Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense		
13.	allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes		
	Current federal and foreign income taxes, including \$ on realized capital gains (losses)		
	Net deferred tax liability		
16.	Unearned investment income	2 010	2 010
17. 18.	Amounts held for agents' account, including \$ agents' credit balances		
19.	Remittances and items not allocated	104,029	46,269
20.	Net adjustment in assets and liabilities due to foreign exchange rates		
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money \$ and interest thereon \$		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities: 24.01 Asset valuation reserve	462 477	494 291
	24.02 Reinsurance in unauthorized and certified (\$) companies		
	24.03 Funds held under reinsurance treaties with unauthorized and certified (\$		
	24.04 Payable to parent, subsidiaries and affiliates		
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.08 Derivatives		
	24.09 Payable for securities		
	24.10 Payable for securities lending		
	24.11 Capital notes \$ and interest thereon \$		
25.	Aggregate write-ins for liabilities	90,093	89,013 78,992,583
26. 27.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)		70,992,000
28.	Total liabilities (Lines 26 and 27)	71,355,655	78,992,583
29.	Common capital stock	2,500,000	2,500,000
30.	Preferred capital stock		
31.	Aggregate write-ins for other than special surplus funds		
32.	Surplus notes		98,500,000
33. 34.	Aggregate write-ins for special surplus funds		
35.	Unassigned funds (surplus)		2,932,924
36.	Less treasury stock, at cost:		
	36.1 shares common (value included in Line 29 \$		
07	36.2 shares preferred (value included in Line 30 \$		101 422 024
37. 38.	Surplus (Total Lines 31+32+33+34+35-36) (including \$	106,932,100 109,432,100	101,432,924
36. 39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	180,787,755	182,925,507
	DETAILS OF WRITE-INS	100,707,700	102,020,001
2501.	Liability for interest on claims	90,093	89,013
2502.	,		
2503.			
2598. 2500	Summary of remaining write-ins for Line 25 from overflow page		
2599. 3101	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	90,093	89,013
3101. 3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page		
3199.	Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)		
3401.			
3402.			
3403. 3498.	Summary of remaining write-ins for Line 34 from overflow page		
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)		

# **SUMMARY OF OPERATIONS**

		1 Current Year	2 Prior Year	3 Prior Year Ended
		To Date	To Date	December 31
1. 2.	Premiums and annuity considerations for life and accident and health contracts			18,496,973
3.	Net investment income			5,255,449
4.	Amortization of Interest Maintenance Reserve (IMR)	(14,674)	(4,006)	(24,170)
5.	Separate Accounts net gain from operations excluding unrealized gains or losses	570 211		1,026,846
6. 7.	Commissions and expense allowances on reinsurance ceded	579,311	594,705	1,020,840
8.	Miscellaneous Income:			
	8.1 Income from fees associated with investment management, administration and contract			
	guarantees from Separate Accounts			
	8.3 Aggregate write-ins for miscellaneous income		201	249
9.	Totals (Lines 1 to 8.3)	10,548,050	12,906,389	24,755,347
10.	Death benefits		5,543,776	12,702,056
11.	Matured endowments (excluding guaranteed annual pure endowments)			
12. 13.	Annuity benefits		333 300	357,878
14.	Coupons, guaranteed annual pure endowments and similar benefits			
15.	Surrender benefits and withdrawals for life contracts	2		
16.	Group conversions	1,634,604	2,078,078	4,420,225
17.	Interest and adjustments on contract or deposit-type contract funds	23,252	6,845	19,278
18. 19.	Increase in aggregate reserves for life and accident and health contracts	(5,758,571)	(8,267,267)	(18,573,018)
20.	Totals (Lines 10 to 19)		(305, 169)	(1,073,581)
21.	Commissions on premiums, annuity considerations, and deposit-type contract funds (direct		·	
00	business only)		65,751	36,883
22. 23.	Commissions and expense allowances on reinsurance assumed		1,422,193	2,570,448
23. 24.	Insurance taxes, licenses and fees, excluding federal income taxes	571.689	655,730	1, 181, 137
25.	Increase in loading on deferred and uncollected premiums	(456,049)	332,851	1,542,368
26.	Net transfers to or (from) Separate Accounts net of reinsurance			
27.	Aggregate write-ins for deductions	19	45	2,973
28. 29.	Totals (Lines 20 to 27)	4,972,828	2,171,401	4,260,228
29.	Line 28)	5,575,222	10,734,988	20,495,119
30.	Dividends to policyholders			
31.	Net gain from operations after dividends to policyholders and before federal income taxes (Line 29	E E7E 000	10 704 000	00 405 110
32.	minus Line 30)  Federal and foreign income taxes incurred (excluding tax on capital gains)	5,575,222 (282,679)	10,734,988 4,378,564	20,495,119 8,127,107
33.	Net gain from operations after dividends to policyholders and federal income taxes and before	`	, ,	0, 127, 107
	realized capital gains or (losses) (Line 31 minus Line 32)	5,857,901	6,356,424	12,368,012
34.	Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital			
	gains tax of \$	33,498	7,601	(1,425)
35.	Net income (Line 33 plus Line 34)	5.891.399	6.364.025	12,366,587
	CAPITAL AND SURPLUS ACCOUNT		, , , ,	, , , , ,
36.	Capital and surplus, December 31, prior year	103,932,924	91, 176, 387	91, 176, 387
37.	Net income (Line 35)		6,364,025	12,366,587
38.	Change in net unrealized capital gains (losses) less capital gains tax of \$			
39. 40.	Change in net unrealized foreign exchange capital gain (loss)	(1 856 458)	103 777	163.393
41.	Change in nonadmitted assets	1,432,421	554,023	(217,528)
42.	Change in liability for reinsurance in unauthorized and certified companies			
43.	Change in reserve on account of change in valuation basis, (increase) or decrease			
44. 45.	Change in asset valuation reserve			(10,915)
46.	Surplus (contributed to) withdrawn from Separate Accounts during period			
47.	Other changes in surplus in Separate Accounts Statement			
48.	Change in surplus notes			
49.	Cumulative effect of changes in accounting principles			
50.	Capital changes: 50.1 Paid in			
Ì	50.2 Transferred from surplus (Stock Dividend)			
Ì	50.3 Transferred to surplus			
51.	Surplus adjustment:			
Ì	51.1 Paid in			
	51.2 Transferred to capital (Stock Dividend) 51.3 Transferred from capital			
	51.4 Change in surplus as a result of reinsurance			
52.	Dividends to stockholders			
53.	Aggregate write-ins for gains and losses in surplus			455,000
	Net change in capital and surplus for the year (Lines 37 through 53)	5,499,176	6,997,488	12,756,537
55.	Capital and surplus, as of statement date (Lines 36 + 54)  DETAILS OF WRITE-INS	109,432,100	98,173,875	103,932,924
08.301	Sundries	100	201	249
	- Control of the cont			
	Summary of remaining write-ins for Line 8.3 from overflow page	100	20.4	040
	Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above) Fines, penalties and fees from regulatory authorities	100	201 45	249
	Fines, penalties and lees from regulatory authorities		4ე	۷,۶/۵
2703.				
	Summary of remaining write-ins for Line 27 from overflow page			
	Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	19	45	2,973
	Duian maniad assusation			455.000
5301.	Prior period correction			
5301. 5302.	Prior period correction			
5301. 5302. 5303. 5398.				

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	8,707,262	10,758,287	20,665,982
2.	Net investment income	2,363,633	2,777,006	5,495,177
3.	Miscellaneous income	575,361	597,314	1,036,300
4.	Total (Lines 1 to 3)	11,646,256	14,132,607	27, 197, 459
5.	Benefit and loss related payments	9,915,234	8,159,214	16,953,727
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	3,432,817	2,464,657	4,412,370
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$ tax on capital			
	gains (losses)	1,158,000	1,942,000	13,557,229
10.	Total (Lines 5 through 9)	14,506,051	12,565,871	34,923,326
11.	Net cash from operations (Line 4 minus Line 10)	(2,859,795)	1,566,736	(7,725,867
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	•	12 547 904	15 226 120	42 202 716
		, ,	, ,	, ,
	12.3 Mortgage loans			
		110	2, 181	3,365
	12.7 Miscellaneous proceeds	10 510 010	45,000,075	40,000,455
		13,548,010	15,229,3/5	43,308,155
	Cost of investments acquired (long-term only):	7 054 000	00 070 400	00 400 000
	13.2 Stocks			
	13.3 Mortgage loans			
	13.4 Real estate			
	13.5 Other invested assets			
	13.6 Miscellaneous applications		2,109,626	5,126,944
	13.7 Total investments acquired (Lines 13.1 to 13.6)	7,251,023	24,188,759	44,608,983
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	6,296,987	(8,959,384)	(1,300,828
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(256,711)	(517,303)	(653,276
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	(882,017)	979,965	331,858
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1.138.728)	462,662	(321,418
	pido Lino 10.0/	(1,100,120)	.02,002	(02.)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	2.298.464	(6,929,985)	(9,348,114
	Cash, cash equivalents and short-term investments:	,,		
	19.1 Beginning of year	575,361   56   11,646,256   14,15   11,646,256   14,15   11,646,256   14,15	13.166 148	13 166 148
	19.2 End of period (Line 18 plus Line 19.1)		6,236,163	3,818,034
		2, . 10, 100	5,200,100	3,010,001
	pplemental disclosures of cash flow information for non-cash transactions:			222 555
20.000	1. Capitalized interest	81,120	104,335	209,053

# **EXHIBIT 1**

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	DIRECT PREMIUMS AND DEPOSIT-TYPE	T 1	2	3
		Current Year	∠ Prior Year	Prior Year Ended
		To Date	To Date	December 31
1.	Industrial life			
2.	Ordinary life insurance	16 860 507	10 204 350	36 877 310
۷.	Ordinary life insurance		19,294,009	ان, ۱۱۵, مد
3.	Ordinary individual annuities			
4.	Credit life (group and individual)			
5.	Group life insurance			
6.	Group annuities			
	·			
7.	A & H - group			
	3.27			
8.	A & H - credit (group and individual)			
0.	A & 11 - Great (group and marvida)			
9.	A 9 II other			
9.	A & H - other			
10.	Aggregate of all other lines of business			
11.	Subtotal	16,860,597	19,294,359	36,877,319
12.	Deposit-type contracts			
13.	Total	16,860,597	19,294,359	36,877,319
	DETAILS OF WRITE-INS			
	DETAILED OF WHITE INC			
1001				
1001.				
1002.				
1003.		-		
1098.	Summary of remaining write-ins for Line 10 from overflow page			
1099.	Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)			
		1	I	

#### 1. Summary of Significant Accounting Policies and Going Concern

A. The accompanying financial statements of NYLIFE Insurance Company of Arizona ("the Company") have been prepared using accounting practices prescribed or permitted by the Arizona Insurance Department.

The Arizona Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Arizona for determining and reporting the financial position and results of operations of an insurance company and for determining its solvency under Arizona Insurance Law. The National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures Manual ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Arizona. Prescribed statutory accounting practices include state laws and regulations. Permitted statutory accounting practices encompass accounting practices that are not prescribed; such practices differ from state to state, may differ from company to company within a state, and may change in the future. Arizona has adopted all prescribed accounting practices found in NAIC SAP. The Company has no permitted practices.

	SSAP #	F/S Page	F/S Line #	2017	2016
Net Income					
Net income Arizona state basis (Page 4, Line 35, (1) Columns 1 & 3)	XXX	XXX	XXX	\$ 5,891,399	\$ 12,366,587
State prescribed practices that increase/(decrease) (2) NAIC SAP:				_	_
State permitted practices that increase/(decrease) (3) NAIC SAP:					
(4) Net income, NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 5,891,399	\$ 12,366,587
Capital and Surplus					
Statutory capital and surplus Arizona state basis (5) (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$109,432,100	\$103,932,924
State prescribed practices that increase/(decrease) (6) NAIC SAP:				_	_
(7) State permitted practices that increase/(decrease) NAIC SAP:					
(8) Capital and surplus, NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$109,432,100	\$103,932,924

#### B. No change.

#### C. (1) - (5) No change.

Loan-backed and structured securities, which are included in bonds, are valued at amortized cost using the interest method including current assumptions of projected cash flows. Loan-backed and structured securities in or near default (rated NAIC 6) are stated at the lower of amortized cost or fair value. Amortization of the premium or accretion of discount from the purchase of these securities considers the estimated timing and amount of cash flows of the underlying loans, including prepayment assumptions based on data obtained from external sources or internal estimates. Projected future cash flows are updated monthly, and the amortized cost and effective yield of the securities are adjusted as necessary to reflect historical prepayment experience and changes in estimated future prepayments. For high credit quality loan-backed and structured securities (those rated AA or above at the date of acquisition), the adjustments to amortized cost are recorded as a charge or credit to net investment income in accordance with the retrospective method. For loan-backed and structured securities that are not of high credit quality (those rated below AA at date of acquisition), certain floating rate securities and securities with the potential for a loss of a portion of the original investment due to contractual prepayments (e.g. interest only securities), the effective yield is adjusted prospectively for any changes in estimated cash flows. Refer to Note 20 – Fair Value Measurements, for discussion of valuation methods for bonds.

The cost basis of loan-backed and structured securities is adjusted for impairments in value that are deemed to be other than temporary. An other-than-temporary loss is recognized in net income when it is anticipated that the amortized cost will not be recovered. The entire difference between the loan-backed or structured security's amortized cost and its fair value is recognized in net income only when the Company (1) has the intent to sell the security or (2) it does not have the intent and ability to hold the security to recovery. If neither of these two conditions exists, a realized loss is recognized in net income for the difference between the amortized cost basis of the security and the net present value of projected future cash flows expected to be collected. The net present value is calculated by discounting the Company's best estimate of projected future cash flows at the effective interest rate implicit in the loan-backed or structured security prior to impairment.

The new cost basis of an impaired security is not adjusted for subsequent increases in estimated fair value. In periods subsequent to the recognition of an other-than-temporary loss, the impaired loan-backed and structured security is accounted for as if it had been purchased on the measurement date of the impairment. Accordingly, the discount (or reduced premium) based on the new cost basis may be accreted into net investment income in future periods based on prospective changes in cash flow estimates, to reflect adjustments to the effective yield.

(7) -(13) No change.

#### D. Going Concern

The Company does not have any doubt about its ability to continue as a going concern.

#### 2. Accounting Changes and Corrections of Errors

Not applicable.

#### 3. Business Combinations and Goodwill

Not applicable

#### 4. Discontinued Operations

#### 5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable

#### D. Loan-Backed Securities

- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from external sources such as Bloomberg, Intex and Blackrock Solutions.
- (2) The Company does not have any loan-backed and structured securities which are other-than-temporarily impaired where the Company intends to sell, or does not have the intent and ability to hold until recovery as of June 30, 2017.
- (3) As of June 30, 2017, the Company did not have any securities where the present value of cash flows expected to be collected was less than the amortized cost basis during the current year.
- (4) The following table presents the Company's gross unrealized losses and fair values of loan-backed and structured securities, aggregated by the length of time that the individual securities have been in a continuous unrealized loss position at June 30, 2017:

	Less than	onths		12 months	or g	reater	Total			
	Fair Value	Fair Value Losses		F	Fair Value		Losses	Fair Value	Losses	
Total temporarily impaired securities	\$ 13,383,770	\$	216,311	\$	336,770	\$	24,207	\$ 13,720,540	\$	240,518

(5) The Company performs quantitative and qualitative analysis to determine if a decline in fair value was temporary. For those securities where the decline was considered temporary, the Company did not take an impairment when it had the ability and intent to hold until recovery. Factors considered in evaluating whether a decline in value is other than temporary include: (1) whether the decline is substantial; (2) the duration that the fair value has been less than amortized cost; (3) the financial condition and near-term prospects of the issuer; and (4) the Company's ability and intent to retain the investment for the period of time sufficient to allow for an anticipated recovery in value. In addition, for the non-agency residential mortgage backed securities ("RMBS") portfolio, the Company updates cash flow projections quarterly. A projection is performed for each security based upon the evaluation of prepayment, delinquency, and default rates for the pool of mortgages collateralizing each security, and the projected impact on the course of future prepayments, defaults, and loss in the pool of mortgages, but do not include market prices. As a result, forecasts may change from period to period and additional impairments may be recognized over time as a result of deterioration in the fundamentals of a particular security or group of securities and/or a continuation of heightened mortgage defaults for a period longer than the assumptions used for the forecasts. Both qualitative and quantitative factors are used in creating the Company's RMBS cash flow models. As such, any estimate of impairments is subject to the inherent limitation on the Company's ability to predict the aggregate course of future events. It should therefore be expected that actual losses may vary from any estimate and the Company may recognize additional other-than-temporary losses.

## E. Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F. Real Estate

Not applicable.

G. Investment in Low-Income Housing Tax Credits

Not applicable.

H. Restricted Assets

No change.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

Not applicable.

K. Structured Notes

Not applicable.

L. 5\* Securities

#### M. Short Sales

Not applicable.

#### N. Prepayment Penalty and Acceleration Fees

The following represents the Company's securities sold, redeemed or otherwise disposed of as a result of a callable feature (including make whole call provisions) and the aggregate amount of investment income generated as a result of a prepayment penalty and/or acceleration fee as of June 30, 2017:

	General Account
Number of CUSIPs	1
Aggregate Amount of Investment Income	\$ 3,934

6.	Joint Ventures,	<b>Partnerships</b>	and Limited	Liability	Companies

- A. No change.
- B. No change.

#### 7. Investment Income

- A. No change.
- B. No change.

#### 8. Derivative Instruments

Not applicable.

#### 9. Income Taxes

A-G. No change.

#### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A-N. No change.

#### 11. Debt

Not applicable.

# 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A-I. No change.

#### 13. Capital and Surplus, Shareholders' Dividend Restrictions, and Quasi-Reorganizations

No change.

#### 14. Liabilities, Contingencies and Assessments

## A. Contingent Commitments

Not applicable.

#### B. Assessments

No change.

#### C. Gain Contingencies

Not applicable.

#### D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

No change.

#### E. Joint and Several Liabilities

Not applicable.

#### F. All Other Contingencies

No change.

#### 15. Leases

## A. Lessee Leasing Arrangements

No change.

#### B. Significant Leasing Arrangements

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable

- 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
  - A. Transfers of Receivables reported as Sales

Not applicable.

- B. Transfer and Servicing of Financial Assets
  - (1) (7) Not applicable.
- C. Wash Sales
  - (1) In the course of the Company's investment management activities, securities may be sold at a loss and repurchased within 30 days of the sale date to enhance the Company's yield on its investment portfolio.
  - (2) The Company did not have any wash sales where securities with an NAIC rating designation of 3 or below, or unrated, were sold for the three months ended June 30, 2017 and reacquired within 30 days of the sale date.
- 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third-Party Administrators

Not applicable.

- 20. Fair Value Measurements
  - A. The Company's financial assets and liabilities carried at fair value have been classified, for disclosure purposes, based on a hierarchy defined by SSAP No. 100, "Fair Value Measurements". Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This guidance establishes a framework for measuring fair value that includes a hierarchy used to classify the inputs used in measuring fair value. The hierarchy prioritizes the inputs to valuation techniques used to measure fair value into three levels. The level in the fair value hierarchy within which the fair value measurement falls is determined based on the lowest level input that is significant to the fair value measurement.
    - (1) The levels of the fair value hierarchy are based on the inputs to the valuation as follows:
      - **Level 1** Fair value is based on unadjusted quoted prices for identical assets or liabilities in an active market. Active markets are defined as a market in which many transactions occur with sufficient frequency and volume to provide pricing information on an ongoing basis.
      - Level 2 Observable inputs other than level 1 prices, such as quoted prices in active markets for similar assets or liabilities; quoted prices in markets that are not active for identical or similar assets or liabilities, or other model driven inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities. Valuations are generally obtained from third-party pricing services for identical or comparable assets or liabilities or through the use of valuation methodologies using observable market inputs.
      - Level 3 Instruments whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs reflect management's own assumptions in pricing the asset or liability. Pricing may also be based upon broker quotes that do not represent an offer to transact. Prices are determined using valuation methodologies such as option pricing models, discounted cash flow models and other similar techniques. Non-binding broker quotes, which are utilized when pricing service information is not available, are reviewed for reasonableness based on the Company's understanding of the market, and are generally considered Level 3. To the extent the internally developed valuations use significant unobservable inputs, they are classified as Level 3.

The Company did not have any investments held at fair value as of June 30, 2017.

- B. Not applicable.
- C. The following table presents the carrying amounts and estimated fair value of the Company's financial instruments at June 30, 2017:

		Fair Value	Carrying Value	Level 1		Level 2	Level 3	Pra	Not cticable
Assets:									
Bonds	\$	144,563,336	\$ 142,344,730	\$ _	\$	144,563,336	\$ _	\$	_
Cash, cash equivalents and short-term investments		6,116,498	6,116,498	_		6,116,498	_		_
Investment income due and accrued		980,953	980,953	_		980,953	_		_
Total assets	\$	151,660,787	\$ 149,442,181	\$ _	\$	151,660,787	\$ 	\$	
	_				_				

#### Bonds

The fair value of bonds is determined by considering one of four primary sources: (1) security pricing is applied using a hierarchy approach whereby publicly available prices are first sought from nationally recognized third-party pricing services, (2) securities are priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmarks bond indices, (3) securities are priced using an internal pricing model or methodology, and (4) securities are submitted to independent brokers for prices.

The pricing service generally uses a discounted cash-flow model or market approach to determine fair value. Typical inputs used by these pricing services include, but are not limited to; benchmark yields, reported trades, issuer spreads, bids, offers, benchmark securities, estimated cash flows and prepayment speeds.

Independent pricing vendors do not supply prices for private placement bonds. These securities are primarily priced using a matrix-based pricing methodology, which uses spreads derived from third-party benchmark bond indices. Any private securities that cannot be priced using this methodology, are priced using an internally developed model based upon assigned comparable public issues adjusted for liquidity, maturity and rating or are priced based on internal calculations. The Company assigns a credit rating based upon internal analysis.

Prices from pricing services and broker quotes are validated on an ongoing basis to ensure the adequacy and reliability of the fair value measurement. The Company performs both quantitative and qualitative analysis of the prices including initial and ongoing review of third-party pricing methodologies, back testing of recent trades, and a thorough review of pricing trends and statistics.

#### Cash, cash equivalents, short-term investments and investment income due and accrued

Cash on hand is classified as Level 1. Cash overdrafts (i.e. outstanding checks) are classified as Level 2. Due to the short-term maturities of cash equivalents, short-term investments, and investment income due and accrued, carrying value approximates fair value and is classified as Level 2.

D. If it is not practicable for an entity to estimate the fair value of that financial instrument or a class of financial instruments, the following shall be disclosed:

(1) - (2) Not applicable.

#### 21. Other Items

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring: Debtors

Not applicable.

C. Other Disclosures

No change

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-transferable Tax Credits

Not applicable.

F. Subprime and Midprime Mortgage Related Risk Exposure

No change

G. Retained Assets

No change

H. Insurance-Linked Securities ("ILS") Contracts

Not applicable.

#### 22. Events Subsequent

As of August 11, 2017, the date the financial statements were available to be issued, there have been no events occurring subsequent to the close of the Company's books or accounts for the accompanying annual statement that would have a material effect on the financial condition of the Company.

#### 23. Reinsurance

#### A. Ceded Reinsurance Report

Section 1 – General Interrogatories

No change.

Section 2 – Ceded Reinsurance Report – Part A

No change.

Section 3 – Ceded Reinsurance Report – Part B

No change.

#### B. Uncollectible Reinsurance

Not applicable.

#### C. Commutation of Ceded Reinsurance

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not applicable.

**E-G.** Not applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

Not applicable

25. Change in Incurred Losses and Loss Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

Not applicable.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Reserves for Life Contracts and Annuity Contracts

No change.

32. Analysis of Annuity Actuarial Reserves and Deposit-Type Liabilities by Withdrawal Characteristics

No change.

33. Premium and Annuity Considerations Deferred and Uncollected

No change.

34. Separate Accounts

Not applicable.

35. Loss/Claim Adjustment Expenses

# **GENERAL INTERROGATORIES**

# PART 1 - COMMON INTERROGATORIES

## **GENERAL**

1.1	Did the reporting entity experience any material transactions requiring Domicile, as required by the Model Act?						Yes [ ]	] No [ X ]				
1.2	.2 If yes, has the report been filed with the domiciliary state?											
2.1	Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?											
2.2	If yes, date of change:											
3.1	Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  If yes, complete Schedule Y, Parts 1 and 1A.											
3.2	Have there been any substantial changes in the organizational chart	since the prior qu	uarter end?				Yes [ ]	] No [ X ]				
3.3	If the response to 3.2 is yes, provide a brief description of those char	nges.										
4.1	Has the reporting entity been a party to a merger or consolidation du	uring the period o	overed by this statemen	t?			Yes [ ]	] No [ X ]				
4.2	If yes, provide the name of the entity, NAIC Company Code, and state ceased to exist as a result of the merger or consolidation.	te of domicile (us	e two letter state abbrev	iation) for any entity	that has							
	1 Name of Entity		2 NAIC Company Code	3 State of Domicile								
5.	If the reporting entity is subject to a management agreement, including in-fact, or similar agreement, have there been any significant change of the significant changes of the signifi					Yes [	] No [	] N/A [ X ]				
6.1	State as of what date the latest financial examination of the reporting	g entity was made	e or is being made			····-	12/3	1/2014				
6.2	State the as of date that the latest financial examination report becar date should be the date of the examined balance sheet and not the						12/3	1/2014				
6.3	State as of what date the latest financial examination report became the reporting entity. This is the release date or completion date of the date).	ne examination re	eport and not the date of	the examination (ba	lance sh	neet	06/2	1/2016				
6.4	By what department or departments?											
6.5	Arizona Department of Insurance Have all financial statement adjustments within the latest financial ex statement filed with Departments?	kamination report	been accounted for in a	subsequent financia	al	Yes [	] No [	] N/A [ X ]				
6.6	Have all of the recommendations within the latest financial examination	ion report been c	omplied with?			Yes [	] No [	] N/A [ X ]				
7.1	Has this reporting entity had any Certificates of Authority, licenses or revoked by any governmental entity during the reporting period?						Yes [ ]	] No [ X ]				
7.2	If yes, give full information:											
8.1	Is the company a subsidiary of a bank holding company regulated by	the Federal Res	erve Board?				Yes [	] No [ X ]				
8.2	If response to 8.1 is yes, please identify the name of the bank holding	g company.										
0.0		50					v r v 1					
8.3	Is the company affiliated with one or more banks, thrifts or securities						Yes [ X ]	] No [ ]				
8.4	If response to 8.3 is yes, please provide below the names and location regulatory services agency [i.e. the Federal Reserve Board (FRB), the Insurance Corporation (FDIC) and the Securities Exchange Commission (FDIC) (FDI	the Office of the	Comptroller of the Curre	ncy (OCC), the Fede	ral Depo	leral osit						
	1		2	3	4	5	6					
	Affiliate Name		ocation (City, State)		OCC	FDIC	SEC					
	NYLIFE Securities LLC		J				YES					
	Eagle Strategies LLC		J				YES					
	New York Life Investment Management LLC						YES					
	MacKay Shields LLC						YES					
	madray differed LLO	INCH TOTA, INT					1∟0					

NYLIFE Securities LLC	New York, NY		YES
NYLIFE Distributors LLC	Jersey City, NJ		YES
Eagle Strategies LLC	New York, NY		YES
New York Life Investment Management LLC	New York, NY		
MacKay Shields LLC	New York, NY		YES
GoldPoint Partners LLC			
Cornerstone Capital Management Holdings LLC	New York, NY		YES
NYLIM Service Company LLC	Jersey City, NJ		YES
Private Advisors, L.L.C.			
Credit Value Partners, LLC	Greenwich, CT		YES
NYL Investors LLC			
IndexIQ Advisors LLC	Rye Brook, NY		YES
Madison Capital Funding LLC			
		L L	

# **GENERAL INTERROGATORIES**

9.1	Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controlle similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?	rsonal and professional	Yes [ X ] No [ ]
9.11	If the response to 9.1 is No, please explain:		
9.2 9.21	Has the code of ethics for senior managers been amended?		Yes [ ] No [ X ]
9.3 9.31	Have any provisions of the code of ethics been waived for any of the specified officers?		Yes [ ] No [ X ]
	FINANCIAL		
10.1 10.2	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement lf yes, indicate any amounts receivable from parent included in the Page 2 amount:		
	INVESTMENT		
11.1 11.2	Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or o use by another person? (Exclude securities under securities lending agreements.)		Yes [ ] No [ X ]
12.	Amount of real estate and mortgages held in other invested assets in Schedule BA:	\$_	
13.	Amount of real estate and mortgages held in short-term investments:		
14.1	Does the reporting entity have any investments in parent, subsidiaries and affiliates?		Yes [ ] No [ X ]
17.2	if yes, please complete the following.	1	2
		Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
	Bonds		
	Preferred Stock		\$
	Common Stock Short Torre Investments		\$
	Short-Term Investments		\$ \$
	All Other		\$
	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		\$
	Total Investment in Parent included in Lines 14.21 to 14.26 above		\$
15.1 15.2	Has the reporting entity entered into any hedging transactions reported on Schedule DB?		

# **GENERAL INTERROGATORIES**

10.										
		value of reinvested collateral assets								
	16.2 Total boo	ok adjusted/carrying value of reinves	sted collatera	al assets reported on S	chedule DL, Parts	1 and 2	\$			
	16.3 Total pay	yable for securities lending reported	on the liabili	ty page			\$			
17. 7.1	offices, vaults or safety depos custodial agreement with a q Outsourcing of Critical Functi	- Part 3 - Special Deposits, real est sit boxes, were all stocks, bonds and ualified bank or trust company in ac ons, Custodial or Safekeeping Agre y with the requirements of the NAIC	d other secur ecordance with ements of the	rities, owned throughou th Section 1, III - Gene e NAIC Financial Cond	It the current year ral Examination Cultion Examiners F	held pursuant to a onsiderations, F. landbook?		s [ X	] No	[ ]
		1			2					
	Nam	ne of Custodian(s)			Custodian Addre					
						, NY 11201				
	The Northern Trust Company .		50 S	S. LaSalle Street, 2n cago, IL 60603	d Floor, 					
7.2	For all agreements that do not location and a complete explain	comply with the requirements of the anation:	■ NAIC Finar	ncial Condition Examin	ers Handbook, pro	ovide the name,				
	1	2			3					
	Name(s)	Location(s)			Complete Explan	ation(s)				
	Have there been any changes If yes, give full information rela	, including name changes, in the cus	stodian(s) ide	entified in 17.1 during t	he current quarter	?	Yes	[ ]	No	[ X ]
	, , <u>, , , , , , , , , , , , , , , , , </u>	9								
	1	2		3 Date of Change		4 Reason				
	1 Old Custodian	2 New Custodian		Date of Change		Reason				
7.5	Old Custodian  Investment management – Ide make investment decisions of such. ["that have access to Nar	2	ment manage assets that a dle securities	Date of Change ers, broker/dealers, incre managed internally "] 2 Affiliation		Reason that have the authority				
	Old Custodian  Investment management – Ide make investment decisions of such. ["that have access to Nar NYL Investors LLC	New Custodiar  Pentify all investment advisors, investre on behalf of the reporting entity. For a content to the investment accounts"; "hand the investment accounts account	ment manage assets that a dle securities 17.5, do any oorting entity's	Date of Change ers, broker/dealers, inc re managed internally "]  2 Affiliation A	by employees of the	Reason  that have the authority ne reporting entity, note	as	s [	] No	[ X
	Investment management – Ide make investment decisions of such. ["that have access to Nar NYL Investors LLC	New Custodiar  entify all investment advisors, investre on behalf of the reporting entity. For a content investment accounts"; "hand the of Firm or Individual duals listed in the table for Question	ment manage assets that a dle securities 17.5, do any porting entity's	Date of Change ers, broker/dealers, inc re managed internally "]  2 Affiliation A	filiated with the repute	Reason  that have the authority ne reporting entity, note	as Yes	s [		
	Investment management – Ide make investment decisions of such. ["that have access to Nar NYL Investors LLC	New Custodiar  Pentify all investment advisors, investre on behalf of the reporting entity. For a control that the investment accounts"; "hand the of Firm or Individual  duals listed in the table for Question ) manage more than 10% of the repurposition and the investment of the	ment manage assets that a dle securities 17.5, do any porting entity's (i.e. designate 50% of the re	Date of Change ers, broker/dealers, incre managed internally "]  Affiliation A  / firms/individuals unafs assets?  ed with a "U") listed in apporting entity's assets	filiated with the repute the table for Ques ?	that have the authority he reporting entity, note porting entity (i.e.	as Yes			
7.5	Investment management – Ide make investment decisions of such. ["that have access to the make investment decisions of such. ["that have access to the make investment decisions of such. ["that have access to the make investment of the make inve	New Custodiar  Pentify all investment advisors, investre on behalf of the reporting entity. For a content to the investment accounts"; "hand the investment accounts	ment manage assets that a dle securities 117.5, do any porting entity's (i.e. designate 50% of the re-	Date of Change ers, broker/dealers, incre managed internally "]  Affiliation A	filiated with the report the table for Ques?	that have the authority ne reporting entity, note coorting entity (i.e. tion 17.5, does the vide the information for	as Yes	Inv		[ X
7.5	Investment management – Ide make investment decisions of such. ["that have access to the such of th	New Custodiar  Pentify all investment advisors, investre on behalf of the reporting entity. For a or the investment accounts"; "hand the of Firm or Individual  duals listed in the table for Question ) manage more than 10% of the reporting entity (in an agement aggregate to more than 5 isted in the table for 17.5 with an affiliated with the table for 17.5 with an affiliated in the table for 17.5 with an affiliated with the table for 17.5 with an aff	ment manage assets that a dle securities 17.5, do any porting entity's (i.e. designate 50% of the re-	Date of Change ers, broker/dealers, incre managed internally "]  2 Affiliation A / firms/individuals unafs assets?	filiated with the repute the table for Ques? ' (unaffiliated), pro	that have the authority he reporting entity, note corting entity (i.e. tion 17.5, does the wide the information for 4	as Yes Yes	Inv Mar Aç (IN	5 vestm nager greem MA) Fi	ent ment nent iled
7.5	Investment management – Ide make investment decisions of such. ["that have access to the such of th	New Custodiar  Pentify all investment advisors, investre on behalf of the reporting entity. For a content to the investment accounts"; "hand the investment accounts	ment manage assets that a dle securities 17.5, do any porting entity's (i.e. designate 50% of the re-	Date of Change ers, broker/dealers, incre managed internally "]  2 Affiliation A / firms/individuals unafs assets?	filiated with the repute the table for Ques? ' (unaffiliated), pro	that have the authority ne reporting entity, note coorting entity (i.e. tion 17.5, does the vide the information for	as Yes Yes	Inv Mar Aç (IN	] No 5 vestm	ent ment nent iled

# **GENERAL INTERROGATORIES**

## PART 2 - LIFE & HEALTH

١.	report the statement value of mongage loans at the end of this reporting period for the following categories.	Amount
	1.1 Long-Term Mortgages In Good Standing	
	1.11 Farm Mortgages	<b>S</b>
	1.12 Residential Mortgages	S
	1.13 Commercial Mortgages	S
	1.14 Total Mortgages in Good Standing	3
	1.2 Long-Term Mortgages In Good Standing with Restructured Terms	
	1.21 Total Mortgages in Good Standing with Restructured Terms	5
	1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months	
	1.31 Farm Mortgages	S
	1.32 Residential Mortgages	<b>3</b>
	1.33 Commercial Mortgages	S
	1.34 Total Mortgages with Interest Overdue more than Three Months	3
	1.4 Long-Term Mortgage Loans in Process of Foreclosure	
	1.41 Farm Mortgages	S
	1.42 Residential Mortgages	
	1.43 Commercial Mortgages	
	1.44 Total Mortgages in Process of Foreclosure	
	1.44 Total Wortgages III Trocess of Forestosure	,
.5	Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	3
.6	Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter	
	1.61 Farm Mortgages	S
	1.62 Residential Mortgages	S
	1.63 Commercial Mortgages	3
	1.64 Total Mortgages Foreclosed and Transferred to Real Estate	S
2.	Operating Percentages:	
	2.1 A&H loss percent	%
	2.2 A&H cost containment percent	%
	2.3 A&H expense percent excluding cost containment expenses	<u></u> %
.1	Do you act as a custodian for health savings accounts?	
.2	If yes, please provide the amount of custodial funds held as of the reporting date	S
.3	Do you act as an administrator for health savings accounts?	

3.4 If yes, please provide the balance of the funds administered as of the reporting date .......\$......

# SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurar	nce Treaties	- Current Yea	ar to Date
	5	6	7

4	2	2	Snowing All New Reinsuran 4	F		0	0
1	2	3	4	5	6 7	8	9
							Effective
						Certified	Date of
NAIC					Type of	Reinsurer	Certified
Company	ID	Effective		Domiciliary	Reinsurance	Rating	Reinsurer
Code	ID Number		Name of Dainquier	Luriadiation	Coded Type of Deineurer	/1 through 6	
Code	Number	Date	Name of Reinsurer	Jurisaiction	Type of Reinsurance Ceded Type of Reinsurer	(1 through 6	) Rating
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# SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Date - Allocated	

			10 2010 7 111001	aled by States a	Direct Bus	ness Only		
		1	Life Co	ontracts	4	5	6	7
			2	3	Accident and	-		•
					Health Insurance			
					Premiums,		Total	
		Active	Life Insurance	Annuity	Including Policy, Membership	Other	Columns	Deposit-Type
	States, Etc.	Status	Premiums	Considerations	and Other Fees	Considerations	2 Through 5	Contracts
1.	AlabamaAL	L	401,395				401,395	
2.	Alaska AK	L	336,418				336,418	
3.	Arizona AZ	L	438 , 458				438,458	
4.	Arkansas AR	LL	99,693				99,693	
5.	California CA	LL	3,400,643				3,400,643	
6.	ColoradoCO	L	287,624				287,624	
7.	Connecticut CT	L	158,793				158,793	
8.	DelawareDE	L	92,118				92, 118	
9.	District of Columbia DC	Ī	28,876				28,876	
10.	FloridaFL	1	1,088,954				1,088,954	
11.	GeorgiaGA	1	667,668				667,668	
12.	Hawaii HI	I	28,478				28,478	
13.	IdahoID	I	68,509				68,509	
14.	IllinoisIL	L	583,439				583,439	
15.							,	
		<u>-</u>	,				86,906	
16.	lowaIA	L	224,746				224,746	
17.	Kansas KS	ļ	181, 190				181, 190	
18.	Kentucky KY	ļ	194 , 155				194 , 155	
19.	Louisiana LA	ļ	642,902				642,902	
20.	Maine ME	N	3,538				3,538	
21.	Maryland MD	ļ	316,852				316,852	
22.	Massachusetts MA	ļL	266,630				266,630	
23.	Michigan MI	ļL	337,628				337,628	
24.	Minnesota MN	ļL.	123,568				123,568	
25.	Mississippi MS	L	210,032				210,032	
26.	Missouri MO	L	408,668				408,668	
27.	Montana MT	L	126 , 191				126, 191	
28.	Nebraska NE	L	54,933				54,933	
29.	Nevada NV	L	212,237				212,237	
30.	New Hampshire NH	L	26, 195				26 , 195	
31.	New Jersey NJ	L	494,217				494,217	
32.	New Mexico NM	I	227,855				227,855	
33.	New York	N	68,659				68,659	
34.	North Carolina	1	267,927				267,927	
35.	North Dakota	I	42,893				42,893	
36.	Ohio OH	I	349,636				349,636	
37.	Oklahoma OK	L	192,364				192,364	
	Oregon OR		207,688					
38.	Pennsylvania PA	L					207,688	
39.	,	LL	451,425				451,425	
40.	Rhode Island		23,509				23,509	
41.	South Carolina	ļ	308,807				308,807	
42.	South Dakota	<u>L</u>	268,064				268,064	
43.	Tennessee TN	L	163,953				163,953	
44.	TexasTX	L	1,652,536				1,652,536	
45.	Utah UT	L	185,469				185,469	
46.	Vermont VT	L	42,235				42,235	
47.	VirginiaVA	L	507,909				507,909	
48.	Washington WA	L	793,071				793,071	
49.	West Virginia WV	L	32,840				32,840	
50.	Wisconsin WI	LL	227,377				227,377	
51.	WyomingWY	L	66,389				66,389	
52.	American Samoa AS	N	, , , , , , , , , , , , , , , , , , , ,				, , , , , , , , , , , , , , , , , , , ,	
53.	Guam GU	N						
54.	Puerto Rico PR	N						
55.	U.S. Virgin Islands	N						
56.	Northern Mariana IslandsMP	NN.						
57.	Canada CAN		2,614				2,614	
57. 58.	Aggregate Other Aliens OT	XXX	33,625					
56. 59.	Subtotal	(a)49	17,708,499				17,708,499	
90.	Reporting entity contributions for employee benefit		17 , 100 , 499				17,700,499	
90.	plans	xxx						
91.	Dividends or refunds applied to purchase paid-up							
J 1.	additions and annuities	xxx						
92.	Dividends or refunds applied to shorten endowmer							
32.	or premium paying period							
93.	Premium or annuity considerations waived under							
33.	disability or other contract provisions	XXX	448,613				448,613	
94.	Aggregate or other amounts not allocable by State							
95.	Totals (Direct Business)	XXX	18 , 157 , 112				18 , 157 , 112	
96.	Plus Reinsurance Assumed	XXX	117,382				117,382	
96.	Totals (All Business)	XXX	18,274,494				18,274,494	
98.	Less Reinsurance Ceded	XXX	9,567,232				9,567,232	
99.		XXX	8,707,262					
99.	Totals (All Business) less Reinsurance Ceded	^^^	0,101,202				8,707,262	
F000	DETAILS OF WRITE-INS ZZZ Other Alien	3/2/2/	20 22-				00 000	
			33,625				33,625	
		XXX					<b></b>	
1		XXX						
58998.	Summary of remaining write-ins for Line 58 from	1001						
	overflow page	XXX						
58999.	Totals (Lines 58001 through 58003 plus	1001	***					
<b>_</b>	58998)(Line 58 above)	XXX	33,625				33,625	
		XXX						
		XXX						
1		XXX						
9498.	Summary of remaining write-ins for Line 94 from							
	overflow page	XXX						
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line							
1	94 above)	XXX					]	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting (L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsure Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Show each state from which the company has received premiums and considerations, with the amounts received from all lines in each state shown as a single total for that state.

Direct Ordinary life premiums are allocated by state on the basis of the address to which the premium notice is sent.

\*Premium or annuity considerations waived under disability or other contract provisions are shown in one sum on Line 93, Columns 2, 3, 4, 5, 6, and 7.

\*\*All U.S. business must be allocated by state regardless of license status.

NOTE: Schedule T should not be used as the basis for state guaranty association assessments.

The following entities are directly controlled by **New York Life Insurance Company (Parent)** (entities that are indented are directly controlled by the preceding entity).

```
New York Life Insurance and Annuity Corporation (See page 12.2 for entity's org chart)(13-3044743) (91596)(DE)
                                                                                                                 SCP 2005-C21-067 LLC (DE)
NYLIFE Insurance Company of Arizona (52-1530175)(81353)(AZ)
                                                                                                                 SCP 2005-C21-069 LLC (DE)
New York Life Enterprises LLC (See page 12.2 for entity's org chart)(13-4199614)(DE)
                                                                                                                 SCP 2005-C21-070 LLC (DE)
NYLIFE LLC (See page 12.2 for entity's org chart)(13-4081725)(DE)
                                                                                                                 Madison Capital Funding LLC (DE)
New York Life Investment Management Holdings LLC (See page 12.4 for entity's org chart)(52-2206685)(DE)
                                                                                                                     Madison Avenue Loan Fund GP LLC (DE)
NYLife Real Estate Holdings LLC (See page 12.8 for entity's org chart)(DE)
                                                                                                                          Madison Avenue Loan Fund LP (80-0920962)(DE)
NYL Real Assets LLC (DE)
                                                                                                                     Ironshore Investment BL I Ltd. (BMU)
NYL Emerging Manager LLC (DE)
                                                                                                                     LMF WF Portfolio III, LLC (DE)
NYL Investors LLC (See page 12.3 for entity's org chart) (46-4293486)(DE)
                                                                                                                     MCF CLO I LLC (DE)
NYL Wind Investments LLC (DE)
                                                                                                                     MCF CLO III LLC (DE)
PTC Acquisitions, LLC (DE)
                                                                                                                     MCF CLO IV LLC (DE)
NYMH-Farmingdale, NY LLC (DE)
                                                                                                                     MCF CLO V LLC (DE)
NYLMDC-King of Prussia GP, LLC (DE)
                                                                                                                     MCF CLO VI LLC (DÉ)
     NYLMDC-King of Prussia Realty, LP (DE)
                                                                                                                     MCF Mezzanine Carry I LLC (DE)
                                                                                                                     MCF Mezzanine Fund I LLC (DE)
NYMH-Attleboro MA, LLC (DE)
NYMH-Ennis GP, LLC (DE)
                                                                                                                     Montpelier Carry Parent, LLC (DE)
     NYMH-Ennis, L.P. (TX)
                                                                                                                          Montpelier Carry, LLC (DE)
NYMH-Freeport GP, LLC (DE)
                                                                                                                     Montpelier GP, LLC (DE)
     NYMH-Freeport, L.P. (TX)
                                                                                                                          Montpelier Fund, L.P. (90-0938480) (DE)
NYMH-Houston GP, LLC (DE)
                                                                                                                     Young America Holdings, LLC (DE)
     NYMH-Houston, L.P. (TX)
                                                                                                                          YAC.ECOM Incorporated (MN)
NYMH-Plano GP, LLC (DE)
                                                                                                                          Young America, LLC (MN)
     NYMH-Plano, L.P. (TX)
                                                                                                                               Global Fulfillment Services, Inc. (AZ)
NYMH-San Antonio GP, LLC (DE)
                                                                                                                               SourceOne Worldwide, Inc. (MN)
                                                                                                                               YA Canada Corporation (CAN)
     NYMH-San Antonio, L.P. (TX)
NYMH-Stephenville GP, LLC (DE)
                                                                                                                     Zenith Products Holdings, Inc (DE)
     NYMH-Stephenville, L.P. (TX)
                                                                                                                          ZPC Holding Corp. (DE)
NYMH-Taylor GP, LLC (DE)
                                                                                                                               Zenith Products Corporation (DE)
     NYMH-Taylor, L.P. (TX)
                                                                                                                     MCF Co-Investment GP. LLC (DE)
SCP 2005-C21-002 LLC (DE)
                                                                                                                          MCF Co-Investment GP. LP (DE)
SCP 2005-C21-003 LLC (DE)
                                                                                                                               Madison Capital Funding Co-Investment Fund, LP (DE)
SCP 2005-C21-006 LLC (DE)
                                                                                                                     MCF Fund I LLC (DE)
                                                                                                                     Warwick McAlester Holdings, LLC (DE)
SCP 2005-C21-007 LLC (DE)
                                                                                                                          Meeco Sullivan, LLC (DE)
SCP 2005-C21-008 LLC (DE)
SCP 2005-C21-009 LLC (DE)
                                                                                                                         Electric Avenue, LLC (DE)
SCP 2005-C21-017 LLC (DE)
                                                                                                                 Silver Spring, LLC (DE)
SCP 2005-C21-018 LLC (DE)
                                                                                                                     Silver Spring Associates, L.P. (PA)
SCP 2005-C21-021 LLC (DE)
                                                                                                                 NYLIM Flatiron CLO 2004-1 Ltd. (CYM)
                                                                                                                     NYLIM Flatiron CLO 2004-1 Equity Holdings LLC, Series A (DE)
SCP 2005-C21-025 LLC (DE)
                                                                                                                 NYLIM Flatiron CLO 2006-1 Ltd. (CYM)
SCP 2005-C21-031 LLC (DE)
SCP 2005-C21-036 LLC (DE)
                                                                                                                     NYLIM Flatiron CLO 2006-1 Equity Holdings LLC, Series A (DE)
                                                                                                                 Flatiron CLO 2007-1 Ltd. (CYM)
SCP 2005-C21-041 LLC (DE)
SCP 2005-C21-043 LLC (DE)
                                                                                                                     NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A (CYM)
SCP 2005-C21-044 LLC (DE)
                                                                                                                 Flatiron CLO 2011-1 Ltd. (CYM)
SCP 2005-C21-048 LLC (DE)
                                                                                                                 Flatiron CLO 2012-1 Ltd. (CYM)
SCP 2005-C21-061 LLC (DE)
                                                                                                                 Flatiron CLO 2013-1 Ltd. (CYM)
                                                                                                                 Flatiron CLO 2014-1 Ltd. (CYM)
SCP 2005-C21-063 LLC (DE)
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#### New York Life Insurance Company (Parent) (continued)

Flatiron CLO 2015-1 Ltd. (CYM) Flatiron CLO 17 Ltd. (CYM) Stratford CDO 2001-1 Ltd. (CYM) Silverado CLO 2006-II Limited (CYM) Silverado 2006-II Equity Holdings LLC, Series A (CYM) New York Life Funding (CYM) New York Life Global Funding (DE) Martingale Road LLC (DE) UFI-NOR Federal Receivables (NY) Government Energy Savings Trust 2003-A (NY) NYL Equipment Issuance Trust (DE) NYL Equipment Issuance Trust 2014-2 (DE) Cortlandt Town Center LLC (DE) REEP-IND FREEDOM MA LLC (DE) REEP-IND AEGEAN MA LLC (DE) REEP-IND LYMAN MA LLC (DE) REEP-OFC Drakes Landing CA LLC (DE) REEP-OFC Bellevue WA LLC (DE) REEP-IND Kent LLC (DE) REEP-IND RTG NC LLC (DE) REEP-MF 960 East Paces Ferry GA LLC (DE) REEP-MF 960 EPF Ferry GA LLC (DE) REEP-IND Fridley MN LLC (MN) REEP-IND Green Oaks IL LLC (DE) REEP-MF Issaguah WA LLC (DE) REEP-MF Chandler AZ LLC REEP-MF Verde NC LLC (DE) REEP-MF Mount Vernon GA LLC (DE) REEP-MF Wallingford WA LLC (DE) REEP-IND CHINO CA LLC (DE) REEP-OFC CORPORATE POINTE CA LLC (DE) REEP-OFC VON KARMAN CA LLC (DE) 2015 DIL PORTFOLIO HOLDINGS LLC (DE) CT 611 W. JOHNSON AVE LLC (DE) CT 550 RESEARCH PKWY LLC (DE) CT 160 CORPORATE COURT LLC (DE) NJ 663 E. CRESCENT AVE LLC (DE) NJ 1881 ROUTE 46 LLC (DE) PA 180 KOST RD LLC (DE) JREP Fund Holdings I, L.P. (CYM) Jaguar Real Estate Partners L.P. (CYM) NYLIFE Office Holdings Member LLC (DE) NYLIFE Office Holdings LLC (DE) NYLIFE Office Holdings REIT LLC (DE) NYLIFE Office Holdings Acquisitions REIT LLC (DE) NYLIC HKP Member LLC (DE)

REEP-OFC One Water Ridge NC LLC (DE) REEP-OFC Two Water Ridge NC LLC (DE) REEP-OFC Four Water Ridge NC LLC (DE) REEP-OFC Five Water Ridge NC LLC (DE) REEP-OFC Six Water Ridge NC LLC (DE) REEP-OFC Seven Water Ridge NC LLC (DE) REEP-OFC Eight Water Ridge NC LLC (DE) REEP-OFC Nine Water Ridge NC LLC (DE) REEP-OFC Ten Water Ridge NC LLC (DE) REEP-OFC Eleven Water Ridge NC LLC (DE) REEP-OFC Water Ridge NC Holdco LLC (DE) REEP-MF Fountain Place MN LLC(DE) REEP-MF FOUNTAIN PLACE LLC REEP-OFC ONE BOWDOIN SQUARE MA LLC (DE) REPP-OFC 2300 Empire CA LLC (DE) REPP-OFC 2300 Empire LLC (DE) REEP-IND 10 WEST II AZ LLC (DE) REEP-RTL Flemington NJ LLC (DE)

REEP-IND 10 WEST AZ LLC(DE)

# 12.

# SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 - ORGANIZATIONAL CHART

#### **New York Life Insurance and Annuity Corporation**

NYLIC HKP Member LLC (DE)
Ausbil IT - Ausbil Microcap Fund (AUS)
Ausbil IT - Candriam Sustainable Global Equity Fund (AUS)
MacKay Shields Unconstrained Bond Fund (DE)

#### **New York Life Enterprises LLC**

New York Life International Holdings Limited (MUS)
NYL Cayman Holdings Ltd. (CYM)
NYL Worldwide Capital Investments LLC (DE)
Seguros Monterrey New York Life, S.A. de C.V. (MEX)
Inmobiliaria SMNYL, S.A. de C.V. (MEX)
Administradora de Conductos SMNYL, S.A. de C.V. (MEX)
Agencias de Distribucion SMNYL, S.A. de C.V. (MEX)
SEAF Sichuan SME Investment Fund LLC (DE)

#### **NYLIFE LLC**

Eagle Strategies LLC (DE)
New York Life Capital Corporation (DE)
New York Life Trust Company (13-3808042) (NY)
NYL Executive Benefits LLC (DE)
NYLIFE Securities LLC (13-2649692) (DE)
NYLINK Insurance Agency Incorporated (DE)
NYLUK I Company (GBR)
NYLUK II Company (GBR)
Gresham Mortgage (GBR)
W Construction Company (GBR)
WIM (AIM) (GBR)
WUT (GBR)

#### **NYL Investors LLC**

New York Life Investment Management (U.K.) Ltd. (GBR) NYLIM Holdings NCVAD, GP, LLC (DE) McMorgan Northern California Value Add/Development Fund I, L.P. (DE) MNCVAD-IND Greenwood CA LLC (DE) MNCVAD-IND Norris Canyon CA LLC (DE) MNCVAD-CP Norris Canyon LLC (DE) MNCVAD-OFC 2665 North First CA LLC (DE) MNCVAD-SEAGATE 2665 North First LLC (DE) MNCVAD-IND Petaluma CA LLC (DE) MNCVAD-OFC Bridgepointe CA LLC (DE) MNCVAD-OFC Ridder Park CA LLC (DE) MNCVAD GRAYMARK Ridder Park LLC (DE) MNCVAD-OFC ONE BAY CA LLC(DE) MNCVAD-HARVEST ONE BAY LLC(DE) MNCVAD-IND RICHMOND CA LLC (DE) NYL Investors NCVAD II GP. LLC (DE) McMorgan Northern California Value Add/Development Fund II, LP (DE) Madison Core Property Fund LLC (DE) MIREF 1500 Quail, LLC (DE) MIREF Mill Creek, LLC (DE) MIREF Gateway, LLC (DE) MIREF Delta Court, LLC (DE) MIREF Fremont Distribution Center, LLC (DE) MIREF Century, LLC (DE) MIREF York Road, LLC (DE) York Road EW LLC (DE) York Road Retail West, LLC (DE) 2001 EW LLC (DE) 2122 EW LLC (DE) MIREF Saddle River LLC (DE) MIREF DC Corp. (DE) MIREF L Street, LLC (DE) 1901 L Street Corp. (DE) 1901 L Street LLC (District of Columbia) MIREF Newpoint Commons, LLC (DE) MIREF Northsight, LLC (DE) MIREF Riverside, LLC (DE) MIREF Corporate Woods, LLC (DE) MIREF Bedminster, LLC (DE) MIREF Barton's Creek, LLC (DE) Barton's Lodge Apartments, LLC (DE) MIREF Marketpointe, LLC (DE) MIREF 101 East Crossroads, LLC (DE) 101 East Crossroads, LLC (DE) MIREF Chain Bridge, LLC (DE) 1991 Chain Bridge Road, LLC (DE) MIREF Aptakisic, LLC (DE) Aptakisic Creek Corporate Park, LLC (DE) MIREF Hawthorne, LLC (DE)

MIREF Auburn 277, LLC (DE) MIREF Sumner North, LLC (DE) MIREF Wellington, LLC (DE) MIREF Warner Center, LLC (DE) MADISON-IND Valley Business Park CA LLC (DE) MADISON-MF Duluth GA LLC (DE) MADISON-MF Casa Santa Fe AZ LLC (DE) MADISON-MF Cabrillo AZ LLC (DE) MADISON-OFC Centerstone I CA LLC (DE) MADISON-OFC Centerstone III CA LLC DE) MADISON-MOB Centerstone IV CA LLC (DE) MADISON-OFC Centerpoint Plaza CA LLC (DE) MADISON-IND Logistics NC LLC (DE) MCPF-LRC Logistics LLC (DE) MADISON-MF Desert Mirage AZ LLC (DE) MADISON-OFC One Main Place OR LLC (DE) MADISON-IND Fenton MO LLC (DE) MADISON-IND Hitzert Roadway MO LLC (DE) MADISON-MF Hoyt OR LLC (DE) MADISON-RTL Clifton Heights PA LLC (DE) MADISON-IND Locust CA LLC (DE) MADISON-OFC Weston Pointe FL LLC (DE) MADISON-MF Henderson NV LLC (DE) MCPF-SP Henderson LLC (DE) MADISON-SP Henderson LLC (DE) MADISON-IND VISTA LOGISTICS OR LLC (DE) MADISON-SPECHT VISTA LOGISTICS LLC (DE) MADISON-MF MCCADDEN CA LLC (DE)

#### New York Life Investment Management Holdings LLC

```
Institutional Capital LLC (03-0598064)(DE)
NYLIFE Distributors LLC (13-3741759)(DE)
NYLIM Service Company LLC (DE)
MacKay Shields LLC (13-4080466)(DE)
    MacKay Shields Core Plus Opportunities Fund GP LLC (DE)
          MacKay Shields Core Plus / Opportunities Fund LP (DE)
    MacKay Shields Credit Strategy Fund Ltd (CYM)
    MacKay Shields Defensive Bond Arbitrage Fund Ltd. (BMU)
    MacKay Shields High Yield Active Core Fund GP LLC (DE)
          MacKay Shields High Yield Active Core Fund LP (DE)
    MacKay Shields Core Fixed Income Fund GP LLC (DE)
          MacKay Shields Core Fixed Income Fund LP (45-2733007)(DE)
          MacKay Shields Select High Yield Bond Fund LP
          MacKay Shields High Yield Crossover Fund LP
    MacKay Municipal Managers Opportunities GP LLC (DE)
          MacKay Municipal Opportunities Master Fund, L.P. (DE)
          MacKay Municipal Opportunities Fund, L.P. (DE)
    MacKay Municipal Managers Credit Opportunities GP LLC (DE)
          MacKay Municipal Credit Opportunities Master Fund, L.P. (DE)
          MacKay Municipal Credit Opportunities Fund, L.P. (DE)
          MacKay Municipal Credit Opportunities HL Fund, L.P
    MacKay Municipal Short Term Opportunities Fund GP LLC (DE)
          MacKay Municipal Short Term Opportunities Fund LP (45-3041041)(DE)
    Plainview Funds plc (IRL)
          Plainview Funds plc – MacKay Shields - Emerging Markets Credit Portfolio (IRL)
          Plainview Funds plc – MacKay Shields - Flexible Bond Portfolio (IRL)
         Plainview Funds plc – MacKay Shields - Unconstrained Bond Portfolio (IRL)
         Plainview Funds plc – MacKay Shields - Floating Rate High Yield Portfolio (IRL)
          Plainview Funds plc – MacKay Shields Core Plus Opportunities Portfolio (IRL)
          MacKay Shields Statutory Trust – High Yield Bond Series (CT)
    MacKay Shields (International) Ltd. (GBR)
   MacKay Shields (Services) Ltd. (GBR)
         MacKay Shields UK LLP (GBR)
   MacKay Shields Global Derivatives LLC (DE)
   MacKay Municipal Managers Puerto Rico Opportunities GP LLC (DE)
         MacKay Puerto Rico Opportunities Fund, L.P. (DE)
        MacKay Puerto Rico Opportunities Feeder Fund, L.P. (DE)
   MacKay Municipal Managers California Opportunities GP LLC (DE)
         MacKay Municipal California Opportunities Fund, L.P. (DE)
   MacKay Municipal Capital Trading GP LLC
         MacKay Municipal Capital Trading Master Fund, L.P.
         MacKay Municipal Capital Trading Fund, L.P.
   MacKay Municipal Managers Strategic Opportunities GP LLC (DE)
         MacKay Municipal Managers Strategic Opportunities Fund. L.P. (DE)
   MacKay Municipal New York Opportunities GP LLC (DE)
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MacKay Municipal New York Opportunities Fund, L.P.(DE)
            MacKay Municipal Opportunities HL Fund, L.P.
Cornerstone Capital Management Holdings LLC (13-5582869)(DE)
      Cornerstone Capital Management LLC (41-1763532)(DE)
            Cornerstone US Equity Market Neutral Fund, LLC (DE)
      Cornerstone Capital Management Large-Cap Enhanced Index Fund GP, LLC (DE)
            Cornerstone Capital Management Large-Cap Enhanced Index Fund L.P. (DE)
NYL Workforce GP LLC (DE)
New York Life Investment Management Holdings International S.á.r.l. (LUX)
       New York Life Investment Management Holdings II International S.á.r.l. (LUX)
       New York Life Investment Management Global Holdings S.á.r.l. (LUX)
            Candriam Luxco S.á.r.l. (LUX)
            Ausbil Investment Management Limited (LUX)
                   Ausbil Australia Pty. Ltd. (AUS)
                   Ausbil Asset Management Ptv. Ltd. (AUS)
                   Ausbil Investment Management Ltd Employee Share Trust(AUS)
            Candriam Luxembourg, partnership limited by shares (LUX)
                    Candriam Luxembourg Italy Branch
                    Candriam Luxembourg UK Establishment
                    Candriam Luxembourg Germany Branch
                    Candriam Luxembourg US Branch
                   Candriam Luxembourg Spain Branch
                    Candriam Luxembourg Netherland Branch
                    Candriam Luxembourg MENA Branch (Dubai, UAE)
            Candriam France, simplified joint-stock company (FRA)
                   Candriam Monétaire (FRA)
            Candriam Switzerland LLC, limited liability company (CHE)
            Candriam Belgium, public limited company (BEL)
            Candriam Bonds Convertible Opportunities (LUX)
            Candriam Alternative Return Equity Market Neutral (LUX)
            Candriam L ESG Defensive Asset Allocation (LUX)
            Cordius CIG (LUX)
New York Life Investment Management LLC (DE)
       NYLIM-GCR Fund I, LLC (DE)
       NYLIM Fund II GP, LLC (DE)
            NYLIM Real Estate Mezzanine Fund II, LP (DE)
                   NYLIM-TND, LLC (DE)
       WFHG GP. LLC (DE)
            Workforce Housing Fund I-2007 LP (DE)
       Evolvence Asset Management, Ltd. (IND)
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#### New York Life Investment Management Holdings LLC (continued)

```
GoldPoint Partners LLC (13-4091043) (DE)
      GoldPoint Mezzanine Partners IV GenPar GP, LLC (DE)
              GoldPoint Mezzanine Partners Offshore IV. L.P. (CYM)
              GoldPoint Mezzanine Partners IV GenPar LP (DE)
                       GoldPoint Mezzanine Partners IV. LP (DE)
                               GPP Mezzanine Blocker Holdco A. LP (DE
                               GPP Mezzanine Blocker Holdco Preferred A. LP(DE)
                                          GPP Mezzanine Blocker A. LP (DE)
                                GPP Mezzanine Blocker Holdco B. LP (DE)
                                          GPP Mezzanine Blocker B, LP (DE)
                                GPP Mezzanine Blocker Holdco C, LP (DE)
                                          GPP Mezzanine Blocker C, LP (DE)
                                GPP Mezzanine Blocker Holdco D, LP (DE)
                                           GPP Mezzanine Blocker D, LP (DE)
                       GoldPoint Mezzanine Partners Co-Investment Fund A. LP (DE)
      NYLCAP 2010 Co-Invest GenPar GP, LLC (DE)
              NYLCAP 2010 Co-Invest GenPar L.P. (DE)
                       NYLCAP 2010 Co-Invest L.P. (DE)
      GoldPoint Partners Co-Investment V GenPar GP LLC (DE)
              GoldPoint Partners Co-Investment V GenPar, L.P. (DE)
                       NYLCAP 2010 Co-Invest ECI Blocker Holdco B L.P. (DE)
                                NYLCAP 2010 Co-Invest ECI Blocker B L.P. (DE)
                       NYLCAP 2010 Co-Invest ECI Blocker Holdco E L.P. (DE)
                               NYLCAP 2010 Co-Invest ECI Blocker E L.P. (DE)
                                NYLCAP 2010 Co-Invest ECI Blocker F L.P. (DE)
                       NYLCAP 2010 Co-Invest ECI Blocker Holdco G L.P. (DE)
                                NYLCAP 2010 Co-Invest ECI Blocker G L.P. (DE)
      New York Life Capital Partners, LLC (DE)
              New York Life Capital Partners, LP (13-4091045) (DE)
      New York Life Capital Partners II. LLC (DE)
              New York Life Capital Partners II, L.P. (DE)
      New York Life Capital Partners III GenPar GP, LLC (DE)
              New York Life Capital Partners III GenPar. LP (DE)
                       New York Life Capital Partners III, LP (DE)
                       New York Life Capital Partners III-A. LP (DE)
      New York Life Capital Partners IV GenPar GP. LLC (DE)
              New York Life Capital Partners IV GenPar. LP (DE)
                       New York Life Capital Partners IV. LP (DE)
      New York Life Capital Partners IV-A, LP (DE)
      GoldPoint Partners Co-Investment V. LP (DE)
              GoldPoint Partners Co-Investment V ECI Blocker Holdco A, LP (DE)
                       GoldPoint Partners Co-Investment V ECI Blocker A, LP (DE)
      GoldPoint Partners Co-Investment V ECI Blocker Holdco B. LP (DE)
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GoldPoint Partners Co-Investment V ECI Blocker B. LP (DE)
     GoldPoint Partners Co-Investment V ECI Blocker Holdco C. LP (DE)
          GoldPoint Partners Co-Investment V ECI Blocker C. LP (DE)
     GoldPoint Partners Co-Investment V ECI Blocker Holdco D. LP (DE)
          GoldPoint Partners Co-Investment V ECI Blocker D, LP (DE)
     GoldPoint Partners Co-Investment V ECI Blocker Holdco E. LP (DE)
          GoldPoint Partners Co-Investment V ECI Blocker E. LP (DE)
     GoldPoint Partners Co-Investment V ECI Blocker Holdco F. LP
          GoldPoint Partners Co-Investment V ECI Blocker F. LP
     GoldPoint Partners Co-Investment V ECI Blocker Holdco G. LP (DE)
          GoldPoint Partners Co-Investment V ECI Blocker G, LP (DE)
      GoldPoint Partners Co-Investment Fund-A, LP
NYLCAP India Funding LLC (DE)
     NYLIM-JB Asset Management Co. (Mauritius) LLC (MUS)
         New York Life Investment Management India Fund II, LLC (Mauritius) (MUS)
              New York Life Investment Management India Fund (FVCI) II, LLC (Mauritius) (MUS)
NYLCAP India Funding III LLC (DE)
     NYLIM-Jacob Ballas Asset Management Company III (Mauritius) LLC (MUS)
         NYLIM Jacob Ballas India Fund III (Mauritius) LLC (MUS)
              NYLIM Jacob Ballas Capital India (FVCI) III (Mauritius) LLC (MUS)
              NYLIM Jacob Ballas India (FII) III (Mauritius) LLC (MUS)
NYLIM Jacob Ballas India Holdings (Mauritius) IV
NYLCAP Holdings (Mauritius) LLC (MUS)
    Jacob Ballas Capital India PVT, LTD (MUS)
NYLIM Mezzanine GenPar GP, LLC (DE)
     NYLIM Mezzanine GenPar. LP (DE)
          New York Life Investment Management Mezzanine Partners, LP (DE)
          NYLIM Mezzanine Partners Parallel Fund, LP (DE)
NYLIM Mezzanine Partners II GenPar GP, LLC (DE)
     NYLIM Mezzanine Offshore Partners II. LP (CYM)
     NYLIM Mezzanine Partners II GenPar. LP (DE)
          New York Life Investment Management Mezzanine Partners II, LP (DE)
         NYLIM Mezzanine Partners II Parallel Fund, LP (DE)
              NYLIM Mezzanine II Parallel Luxco S.a.r.I. (LUX)
                    Voice Holdco Ltd. (CAN)
NYLCAP Mezzanine Partners III GenPar GP, LLC (DE)
     NYLCAP Mezzanine Partners III GenPar. LP (DE)
          NYLCAP Mezzanine Partners III-K. LP (DE)
         NYLCAP Mezzanine Partners III. LP (DE)
          NYLCAP Mezzanine Partners III Parallel Fund, LP (DE)
          NYLCAP Mezzanine Partners III 2012 Co-Invest, LP (DE)
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#### New York Life Investment Management Holdings LLC (continued)

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NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker A, LP (DE)
                   NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco B, LP (DE)
                          NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker B, LP (DE)
                   NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco C, LP (DE)
                          NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker C. LP (DE)
                   NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco D, LP (DE)
                          NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker D, LP (DE)
                   NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco E, LP (DE)
                   NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker Holdco F,LP
                         NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F. LP
                   NYLCAP Mezzanine Offshore Partners III, L.P. (DE)
          NYLCAP Select Manager GenPar, LP (DE)
                   NYLCAP Select Manager GenPar GP, LLC (DE)
                          NYLCAP Select Manager Fund, LP (DE)
                   NYLCAP Select Manager Cayman Fund, LP (CYM)
           NYLCAP Select Manager II GenPar GP, LLC (DE)
                   NYLCAP Select Manager II GenPar GP L.P. (CYM)
                          NYLCAP Select Manager Fund II. L.P. (CYM)
          NYLCAP Canada GenPar Inc. (CAN)
                   NYLCAP Select Manager Canada Fund, LP (CAN)
          NYLCAP Canada II GenPar Inc. (CAN)
                   NYLCAP Select Manager Canada Fund II, L.P. (CAN)
          GoldPoint Partners Select Manager III GenPar GP, LLC (DE)
                   GoldPoint Partners Select Manager III GenPar, L.P. (CYM)
                          GoldPoint Partners Select Manager Fund III, L.P. (CYM)
                          GoldPoint Partners Select Manager Fund III AIV, L.P.
          GoldPoint Partners Canada III GenPar, Inc
                   GoldPoint Partners Select Manager Canada Fund III, L.P.
          GoldPoint Partners Co-Investment VI GenPar GP LLC (DE)
                    GoldPoint Partners Co-Investment VI GenPar, LP (DE)
                          GoldPoint Partners Co-Investment VI, LP
          GoldPoint Private Credit GenPar GP, LLC (DE)
                    GoldPoint Private Credit Fund, LP (DE)
Private Advisors LLC (54-1886751)(DE)
          PACIF GP. LLC (DE)
                    Private Advisors Coinvestment Fund, LP (DE)
          PACIF Carry Parent, LLC (DE)
                    PACIF Carry, LLC (DE)
          PACIF II Carry Parent, LLC (DE)
                    PACIF II Carry, LLC (DE)
          PACIF II GP. LLC (DE)
                    Private Advisors Coinvestment Fund II, LP (DE)
          PACIF III Carry Parent, LLC (DE)
                    PACIF III Carry, LLC (DE)
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PACIF III GP, LLC (DE)

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Private Advisors Coinvestment Fund III, LP (46-1360141) (DE)
PACIF IV GP LLC (DE)
        Private Advisors Coinvestment Fund IV LP (DE)
PACIF IV Carry Parent, LLC (DE)
        PACIF IV Carry, LLC (DE)
PASCCIF GP, LLC (DE)
        Private Advisors Small Company Coinvestment Fund, LP (DE)
        Private Advisors Small Company Coinvestment Fund-ERISA, LP (DE)
PASCCIF Carry Parent, LLC (DE)
        PASCCIF Carry, LLC (DE)
Private Advisors Small Company Buyout Fund II. L.P. (DE)
PASCBF III GP. LLC (DE)
        Private Advisors Small Company Buyout Fund III, LP (DE)
PASCBF IV Carry Parent, LLC (DE)
        PASCBF IV Carry, LLC (DE)
PASCBF IV GP, LLC (DE)
        Private Advisors Small Company Buyout Fund IV, LP (DE)
PASCBF V Carry Parent, LLC (DE)
        PASCBF V Carry, LLC (DE)
PASCBF V GP. LLC (DE)
        Private Advisors Small Company Buyout Fund V, LP (DE)
        Private Advisors Small Company Buyout V, ERISA Fund, LP (DE)
PASCPEF VI Carry Parent, LLC (DE)
        PASPEF VI Carry, LLC (DE)
PASCPEF VI GP, LLC (DE)
        Private Advisors Small Company Private Equity Fund VI, LP (DE)
        Private Advisors Small Company Private Equity Fund VI (Cayman), LP (CYM)
PASCPEF VII GP, LLC (DE)
        Private Advisors Small Company Private Equity Fund VII, LP (DE)
PASCPEF VII Carry Parent, LLC (DE)
        PASCPEF VII Carry, LLC (DE)
PA Real Assets Carry Parent, LLC (DE)
        PA Real Assets Carry, LLC (DE)
PA Emerging Manager Carry Parent, LLC (DE)
        PA Emerging Manager Carry, LLC (DE)
RIC I GP, LLC (DE)
        Richmond Coinvestment Partners I, LP (DE)
RIC I Carry Parent, LLC (DE)
        RIC I Carry, LLC (DE)
PARAF GP, LLC (DE)
        Private Advisors Real Assets Fund, LP (DE)
PARAF Carry Parent, LLC (DE)
PARAF Carry, LLC (DE)
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New York Life Investment Management Holdings LLC (continued)

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Cuyahoga Capital Partners I Management Group, LLC (DE)
     Cuyahoga Capital Partners II Management Group LLC (DE)
     Cuyahoga Capital Partners III Management Group LLC (DE)
     Cuyahoga Capital Partners IV Management Group LLC (DE)
     PASF V GP, LLC
          Private Advisors Secondary Fund V, LP
     PASF V Carry Parent, LLC
          PASF V Carry, LLC
     Cuyahoga Capital Emerging Buyout Partners Management Group LLC (DE)
     UVF GP. LLC (DE)
     Undiscovered Value Fund, LP (DE)
     Undiscovered Value Fund, Ltd. (CYM)
          Undiscovered Value Master Fund SPC (CYM)
     Private Advisors Stable Value Fund, Ltd. (DE)
Index IQ Holdings Inc. (DE)
Financial Development LLC (DE)
     IndexIQ, Inc. (DE)
     IndexIQ LLC (DE)
          IndexIQ Advisors LLC (DE)
New York Life Investment Management Asia Limited (CYM)
NYLIM Alternatives LLC (DE)
     CVP Holdings, LLC (DE)
          CVP CLO Manager, LLC (DE)
                 CVP CLO Holdings GP LLC (DE)
                          CVP CLO Holdings, LP (KY)
                                 CVP CLO Advisors, LLC (DE)
          Credit Value Partners, LLC (DE)
                 CHIPC Evergreen General, LLC (DE)
                         CVP High Income Private Credit Master Fund, LP (CYM)
                 CVP Loan Servicing LLC (DE)
                 CHIPC PE General, LLC (DE)
                         CHIPC PE Intermediate Fund, LP (CYM)
                         CVP High Income Private Equity PE Fund (Cayman), LP (CYM)
                         CVP High Income Private Credit PE Fund, LP (DE)
                 CVP Distressed Fund, LLC (DE)
                 CVF IV General, LLC (DE)
                          Credit Value Fund IV, LP (DE)
                                 Credit Value Fund (Cayman) IV, LP (CYM)
                                 Credit Value Intermediate Fund IV, LP (CYM)
                                 Credit Value Master Fund IV-A, LP (CYM)
                                 Credit Value Master Fund IV-B. LP (CYM)
                                 CVF IV Vert LLC (DE)
                                 CVF IV-A1 Vert LLC (DE)
                                 CVP SPV LLC (DE)
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#### NYLife Real Estate Holdings LLC

REEP-MF Cumberland TN LLC (DE) Cumberland Apartments, LLC (TN) REEP-RTL Bradford PA LLC (DE) REEP-IND Forest Park NJ LLC (DE) FP Building 1-2-3 LLC (DE) FP Building 4 LLC (DE) FP Building 17, LLC (DE) FP Building 18, LLC (DE) FP Building 19, LLC (DE) FP Building 20, LLC (DE) FP Mantua Grove LLC (DE) Lot 1.01 LLC (DE) FP REEP-IND NJ LLC (DE) NJIND JV LLC (DE) NJIND Hook Road LLC (DE) NJIND Raritan Center LLC (DE) NJIND Talmadge Road LLC (DE) NJIND Bay Avenue LLC (DE) NJIND Melrich Road LLC (DE) NJIND Corbin Street LLC (DE) REEP-MF Marina Landing WA LLC (DE) REEP-SP Marina Landing LLC (DE) REEP-IND Valwood TX LLC (DE) REEP-MF Enclave TX LLC (DE) REEP-MF Mira Loma II TX LLC (DE) REEP-MF Summitt Ridge CO LLC (DE) REEP-OF Centerpointe VA LLC (DE) REEP-OFC 575 Lex NY LLC (DE) REEP-OFC 575 Lex NY GP LLC (DE) REEP-OFC Westory DC LLC (DE) REEP-RTL SASI GA LLC (DE) REEP-MF Woodridge IL LLC (DE) REEP-IND Continental NC LLC (DE) LRC-Patriot, LLC (DE) REEP-LRC Industrial LLC (DE) REEP-OFC 525 N Tryon NC LLC (DE) 525 Charlotte Office LLC (DE) REEP-OFC Royal Centre GA LLC (DE) Royal Centre, LLC (DE) REEP-RTL CTC NY LLC (DE) REEP-OFC 5005 LBJ Freeway TX LLC (DE) 5005 LBJ Tower LLC (DE) REEP-MF SPENCER NV LLC (DE) REEP-HZ SPENCER JV LLC (DE) REEP-HZ SPENCER LLC (DE) REEP-OFC/RTL MARKET ROSS TX LLC (DE)

CC Acquisitions, LP (DE) Huntsville NYL LLC (DE)

MARKET ROSS TX JV LLC (DE)
MARKET ROSS TX GARAGE OWNER LLC (DE)
MARKET ROSS TX OFFICE OWNER LLC (DE)
MARKET ROSS TX RETAIL OWNER LLC (DE)
REEP-OFC Mallory TN LLC (DE)
3665 Mallory JV LLC (DE)
REEP-OFC WATER RIDGE NC LLC (DE)

# **SCHEDULE Y**

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											Type	If			
											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						New York Life Insurance				(**************************************	1	90		(1111)	
0826	New York Life Group	66915	13-5582869	1583827	0000071633	Company	New York Life Insurance Company	NY	UDP						
						New York Life Insurance	New York Life Insurance and Annuity								
0826	New York Life Group	91596	13-3044743	. 3683691	0000727136	and Annuity Corporation	Corporation	DE	IA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						Ausbil IT - Ausbil				New York Life Insurance and Annuity					
	00000	00000				Microcap Fund	Ausbil IT - Ausbil Microcap Fund	AUS	NI A	Corporation	Ownership	15.390	. New York Life Insurance Company	N	
						Ausbil IT Candriam									
	00000	00000		1			Ausbil IT Candriam Sustainable Global	4110	NI A	New York Life Insurance and Annuity	0	00.400	New Years Life Learning Com		
ļ	00000	00000	+			Fund New York Life Investment	Equity Fund	AUS	NIA	Corporation	Ownership	32.400	New York Life Insurance Company	N	·
1	00000	00000				Management LLC	New York Life Investment Management LLC	DE	NIA	New York Life Investment Management Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		00000	†			MacKay Shields	THOW TOTA LITE HIVESTHEIL WARRANGEMENT LLC	UE	NIV	New York Life Insurance and Annuity	omici sitip	100.000	Inch fork Life insulance company		1
	00000	00000				Unconstrained Bond Fund	MacKay Shields Unconstrained Bond Fund	DE	NIA	Corporation	Ownership	99.240	New York Life Insurance Company	N	
			1			NYLIFE Insurance Company	mas.a., omerae enconcretine bone rund			00. po. actor					1
0826	New York Life Group	81353	52-1530175			of Arizona	NYLIFE Insurance Company of Arizona	AZ	<u> </u>	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Enterprises					,				
	00000	00000	13-4199614	.		LLC	New York Life Enterprises LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						New York Life									
						International Holdings	New York Life International Holdings Limited								
	00000	00000				Limited		MUS	NI A	New York Life Enterprises LLC	Ownership	84.380	. New York Life Insurance Company	N	
						New York Life	N V 1 1 7 1 4 4 1 1 1 1 1 1 1 1 1 1 1 1 1 1								
	00000	00000				International Holdings	New York Life International Holdings Limited	MID	NII A	NM Common Holdings Ltd	0	45 000	New Years 1 if a leasure of Community	N.	
	00000	00000				NYL Cayman Holdings Ltd.		MUS	NI A	NYL Cayman Holdings Ltd.	Ownership	15.620	New York Life Insurance Company	NL	
	00000	00000				INIL Cayman norungs Ltu.	NYL Cayman Holdings Ltd	CYM	NIA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NYL Worldwide Capital	NIE Gayman norumgs Etu.	VIWL		New Tork Life Lifterprises LLC	owner strip		INEW TOTK LITE HISUTATICE Company		
	00000	00000				Investments, LLC	NYL Worldwide Capital Investments, LLC	DE	NIA	NYL Cayman Holdings Ltd	Ownership	100.000	New York Life Insurance Company	N	
							Seguros Monterrey New York Life, S.A. de C.V.								
	00000	00000				Life, S.A. de C.V		MEX	IA	New York Life Enterprises LLC	Ownership	100.000	New York Life Insurance Company	N	
						Administradora de									
						Conductos SMNYL, S.A. de	Administradora de Conductos SMNYL, S.A. de			Seguros Monterrey New York Life, S.A. de					
	00000	00000				C.V	C.V	MEX	NI A	C.V	Ownership	99.000	New York Life Insurance Company	N	
						Agencias de Distribucion	Agencias de Distribucion SMNYL, S.A. de C.V.			Seguros Monterrey New York Life, S.A. de					
	00000	00000	+			SMNYL, S.A. de C.V Inmobiliaria SMNYL, S.A.		MEX	NIA	Common Mantanan New Years Life C.A. I	Ownership	99.000	New York Life Insurance Company	N	
1	00000	00000				Inmobiliaria SMNYL, S.A. Ide C.V.	Inmobiliaria SMNYL, S.A. de C.V.	MEX	NIA	Seguros Monterrey New York Life, S.A. de	Ownership	99.000	New York Life Insurance Company	N	
	00000		+			Inmobiliaria SMNYL, S.A.	ITHHOUTITAITA SMIVIL, S.A. UE U.V.	IME√	NI A	Agencias de Distribucion SMNYL, S.A. de	Owner outh	000	INCH TOTA LITE HISUTATICE COMPANY		
	00000	00000				de C.V.	Inmobiliaria SMNYL, S.A. de C.V.	MEX	NI A	C.V.	Ownership	1.000	New York Life Insurance Company	N	
	******		1			SEAF Sichuan SME	Things It office, o.m. to o.r.	278m/1		****			line is a line induitance company		1
	00000	00000				Investment Fund LLC	SEAF Sichuan SME Investment Fund LLC	DE	NI A	New York Life Enterprises LLC	Ownership	39.980	. New York Life Insurance Company	N	<u> </u>
	00000	00000				NYL Real Assets LLC	NYL Real Assets LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				1		NYL Emerging Manager LLC									
	00000	00000					NYL Emerging Manager LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NYL Investors LLC	NYL Investors LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	·{
	00000	00000				NYL Investors (UK)	ADVI. Laurandama (LIIV.) Limita L		NI A	NN/L Investors III O	0	100 000	New Years Life Laws		
	00000	00000	+			Limited	NYL Investors (UK) Limited		NI A	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	·{
1	00000	00000				NYLIM Holdings NCVAD GP	NYLIM Holdings NCVAD GP LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	M	
	00000		+			McMorgan Northern	NILIW HOTUINGS NOVAD OF LLC	UE	NI A	INIL INVESTORS LLC	Owner Strip	100.000	INEW TOTA LITE INSURANCE COMPANY		1
1						California Value			1						
				1		Add/Development Fund I,	McMorgan Northern California Value								
	00000	00000	l			L.P.	Add/Development Fund I, L.P.	DE	NI A	NYLIM Holdings NCVAD GP LLC	Ownership	50.000	. New York Life Insurance Company	N	<u>                                     </u>
						MNCVAD-IND Greenwood CA	,			McMorgan Northern California Value	,				
	00000	00000	1	1		LLC	MNCVAD-IND Greenwood CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	

# **SCHEDULE Y**

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1	2	3	4	5	6	7	8	9	10	11	12 Type	13 If	14	15	16
											of Control (Ownership,	Control		ls an	
						Name of Constition			Deletion			Owner-		SCA	
						Name of Securities		D:	Relation-		Board,	ship			
		NAIG				Exchange	NI f	Domi-	ship		Management,			Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to	5: " 6 . "	Attorney-in-Fact,	Provide		Re-	
Group		Company	ID.	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						MNCVAD-IND Norris Canvon				McMorgan Northern California Value					
	00000	00000				CA LLC	MNCVAD-IND Norris Canvon CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MNVVAD-CP Norris Canvon	MANAGE OF ALL CO. LLO	DE	NΙΔ	MNCVAD-IND Norris Canvon CA LLC	Ownership	04.000	N V 1 1:6 1		
	00000	00000				MNCVAD-OFC 2665 North	MNVVAD-CP Norris Canvon LLC	UE	NI A	McMorgan Northern California Value		94.000	New York Life Insurance Company	N	
	00000	00000				First CA LLC	MNCVAD-OFC 2665 North First CA LLC	DE	NIA	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				MNCVAD-SEAGATE 2665 North	MINOVAD-OFC 2003 NOTH FITSE CA LLC	UE	NIA	Add/Development Fund 1, L.F.	Ownership				
	00000	00000				First LLC	MNCVAD-SEAGATE 2665 North First LLC	DE	NI A	MNCVAD-OFC 2665 North First CA LLC	Ownership	90.000	New York Life Insurance Company	N	
	. 00000					MNCVAD-IND Petaluma CA	WHOVAD GEAGATE 2000 NOT THE FIRST EED			McMorgan Northern California Value	Owner strip		Their fork Life mourance company		
	00000	00000				LLC	MNCVAD-IND Petaluma CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
						MNCVAD-OFC Bridgepointe				McMorgan Northern California Value					
	00000	00000				CA LLC	MNCVAD-OFC Bridgepointe CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
						MNCVAD-OFC RIDDER PARK CA				McMorgan Northern California Value					
	00000	00000				LLC	MNCVAD-OFC RIDDER PARK CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
						MNCVAD-GRAYMARK Ridder								ļ	
	00000	00000				Park LLC	MNCVAD-GRAYMARK Ridder Park LLC	DE	NI A	MNCVAD-OFC RIDDER PARK CA LLC	Ownership	97.500	. New York Life Insurance Company	N	
						MNCVAD-OFC ONEBAY CA LLC				McMorgan Northern California Value				ļ	
	00000	00000					MNCVAD-OFC ONEBAY CA LLC	DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
						MNCVAD-HARVEST ONE BAY								ļ	
	. 00000	00000				LLC	MNCVAD-HARVEST ONE BAY LLC	DE	NI A	MNCVAD-OFC ONEBAY CA LLC	Ownership	95.000	. New York Life Insurance Company	N	
						MNCVAD-IND RICHMOND CA	INVOLVED THE BLOCKING OF THE	DE		McMorgan Northern California Value		400.000			
	00000	00000				LLC	MNCVAD-IND RICHMOND CA LLC	.  DE	NI A	Add/Development Fund I, L.P.	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NYL Investors NCVAD II GP. LLC	NYL Investors NCVAD II GP. LLC	DE	NIA	NYL Investors LLC	Ownership	100.000	New Years 1 if a leasure of Community	N	
	. 00000	00000				McMorgan Northern	NTL INVESTORS NOVAD IT GP, LLC	VE	NIA	INTL INVESTORS LLC	.   Owner Ship		New York Life Insurance Company		
						California Value									
						Add/Development Fund II.	McMorgan Northern California Value							ļ ļ	
	00000	00000				IP	Add/Development Fund II, LP	DE	NIA	NYL Investors NCVAD II GP, LLC	Ownership	50.000	New York Life Insurance Company	N	
	00000	00000	13-4081725	2928649	0001270096	NYLIFE LLC	NYLIFE LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		2020010		Eagle Strategies LLC	Eagle Strategies LLC	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital									1
	00000	00000				Corporation	New York Life Capital Corporation	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYL Executive Benefits					·			ļ ļ	
	00000	00000				LLC	NYL Executive Benefits LLC	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	. 13-2649692		0000071637	NYLIFE Securities LLC	NYLIFE Securities LLC	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLINK Insurance Agency								- 1 '	
	. 00000	00000				Incorporated	NYLINK Insurance Agency Incorporated	DE	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		.	-	NYLUK I Company	NYLUK I Company	GBR	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				NYLUK II Company	NYLUK II Company	GBR	NI A	NYLUK I Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	+	-	-	Gresham Mortgage	Gresham Mortgage	GBR	NI A	NYLUK II Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				W Construction Company	W Construction Company			NYLUK II Company	Ownership		New York Life Insurance Company		
	00000	00000	+	-		WIM (AIM)	WIM (AIM)	GBR	NI A	NYLUK II CompanyNYLUK II Company	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	NN	1
	. 00000					JREP Fund Holdings I,	IIUI	ubn	NI A	INILOR II COMPANY	owner strip	100.000	INEW TOTA LITE INSURANCE COMPANY	IN	
	00000	00000				L.P.	JREP Fund Holdings I, L.P.	CYM	NIA	New York Life Insurance Company	Ownership	12.500	New York Life Insurance Company	N	
			1			Jaguar Real Estate	one runu norumgo i, E.I.	VIWL		Tion Tork Erro mourance company	omoranip	12.000	The Tork Life insulance company		
	00000	00000				Partners L.P.	Jaguar Real Estate Partners L.P.	CYM	NIA	New York Life Insurance Company	Ownership	30.300	New York Life Insurance Company	N	
			1			NYL Wind Investments LLC				The state of the s					1
	00000	00000				LEO	NYL Wind Investments LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				PTC Acquisitions, LLC	PTC Acquisitions, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						NYLife Real Estate	, ,				,				
	00000	00000		.		Holdings, LLC	NYLife Real Estate Holdings, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CC Acquisitions, LP	CC Acquisitions, LP	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
	00000	00000		3663273		Huntsville NYL LLC	Huntsville NYL LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	

# SCHEDULE Y

				PA	KI 14	4 - DE I AI	L OF INSURAN	CEI	10LL	ING COMPANY	2121EM				
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Type	lf			
											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filina	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
0000	00000	00000	Hamber	ROOD	Oliv	REEP-IND FREEDOM MA LLC	REEP-IND FREEDOM MA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N N	
	00000	00000				REEP-IND AEGEAN MA LLC	REEP-IND AEGEAN MA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				REEP-IND LYMAN MA LLC	REEP-IND LYMAN MA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				REEP-IND Kent LLC	REEP-IND Kent LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-MF Cumberland TN LLC									
	00000	00000					REEP-MF Cumberland TN LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						Cumberland Apartments,									
	00000	00000				LLC	Cumberland Apartments, LLC	TN	NI A	REEP-MF Cumberland TN LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-RTL Bradford PA LLC					1				
	00000	00000				DEED IND E	REEP-RTL Bradford PA LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	.  N	
	00000	00000				REEP-IND Forest Park NJ	DEED IND E . A D . AULUO	DE		M	l	400.000	N V 1 1 1 2	1	
	00000	00000				LLC	REEP-IND Forest Park NJ LLC	DE	NI A NI A	NYLife Real Estate Holdings, LLC REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	.  N	
	00000	00000				FP Building 1-2-3 LLC FP Building 4 LLC	FP Building 1-2-3 LLCFP Building 4 LLC	DE	NI A NI A	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	.  N N	
	00000	00000				FP Building 17, LLC	FP Building 17, LLC	DE	NI A	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N N	
	00000	00000				FP Building 18, LLC	FP Ruilding 18 LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				FP Building 19, LLC	FP Building 10, LLC	DE	NI A	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N N	
	00000	00000				FP Building 20, LLC	FP Building 18, LLC FP Building 19, LLC FP Building 20, LLC	DE	NI A	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				FP Mantua Grove LLC	FP Mantua Grove LLC	DE	NIA	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				FP Lot 1.01 LLC	FP Lot 1.01 LLC	DE	NI A	REEP-IND Forest Park NJ LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-IND Continental NC									
	00000	00000				LLC	REEP-IND Continental NC LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				LRC-Patriot, LLC	LRC-Patriot, LLC	DE	NI A	REEP-IND Continental NC LLC	Ownership	93.000	New York Life Insurance Company	N	
	00000	00000				REEP-LRC Industrial LLC	REEP-LRC Industrial LLC	DE	NI A	LRC-Patriot, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC 525 N Tryon NC								l l	
	00000	00000				LLC	REEP-OFC 525 N Tryon NC LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				525 Charlotte Office LLC	505 01 1 11 011 110	DE	NIA	DEED OF OF N. T. NO. I. O.	Ownership	95.000	N V I I I O	.,	
	00000	00000				REEP-IND RTG NC LLC	525 Charlotte Office LLC	DE	NIA NIA	REEP-OFC 525 N Tryon NC LLC New York Life Insurance Company	Ownership		New York Life Insurance Company New York Life Insurance Company	.  N N	
	. 00000	00000				REEP-MF 960 East Paces	REEP-IND RIG NO LLC	JVE	NI A	New fork Life insurance company	Owner Strip	100.000	New fork Life insurance company	IV	
	00000	00000				Ferry GA	REEP-MF 960 East Paces Ferry GA	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000					REEP-MF 960 EPF Ferry GA	TILLE III 300 Last Faces Ferry on			New York Erre mourance company	Omici Sirip		Tork Erre mourance company		
	00000	00000				THEEL MI GOO ET TOTTY ON	REEP-MF 960 EPF Ferry GA	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Royal Centre GA									
	00000	00000				LLC	REEP-OFC Royal Centre GA LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				Royal Centre, LLC	Royal Centre, LLC		NI A	REEP-OFC Royal Centre GA LLC	Ownership	90.000	New York Life Insurance Company	N	
	00000	00000				REEP-RTL CTC NY LLC	REEP-RTL CTC NY LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
		1 .				REEP-OFC 5005 LBJ Freeway					1.			]	
	00000	00000				TX LLC	REEP-OFC 5005 LBJ Freeway TX LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	97.000	New York Life Insurance Company	N	
	00000	00000	+			5005 LBJ Tower LLC	5005 LBJ Tower LLC	DE	NI A	REEP-OFC 5005 LBJ Freeway TX LLC	Ownership	97.000	New York Life Insurance Company	.  N	
	00000	00000				REEP-MF SPENCER NV LLC	REEP-MF SPENCER NV LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	+			REEP-HZ SPENCER JV LLC REEP-HZ SPENCER LLC	REEP-HZ SPENCER JV LLC	DE DE	NI A NI A	REEP-MF SPENCER NV LLC	Ownership	92.700	New York Life Insurance Company New York Life Insurance Company	N	
	. 00000	00000				REEP-RTL Brookhaven PA	NECT-NZ OFEWOEN LLU		NI A	NEEF-TIZ OFENDEN JV LLU	ownership	100.000	New FORK LITE ITISURANCE COMPANY	INL	
	00000	00000				LLC	REEP-RTL Brookhaven PA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	l N	
	00000	00000	1			REEP-OFC Mallory TN LLC	REEP-OFC Mallory TN LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N N	
	00000	00000	1			3665 Mallory JV LLC	3665 Mallory JV LLC	DE	NIA	REEP-OFC Mallory TN LLC	Ownership	90.900	New York Life Insurance Company	N	
			1			REEP-OPFC Water Ridge NC							Sin Erro moa anoo oompany		
	00000	00000				LLC	REEP-OPFC Water Ridge NC LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Water Ridge NC	-			, , , , , , , , , , , , , , , , , , ,	,				
	00000	00000				LLC	REEP-OFC Water Ridge NC LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
1		1				REEP-OFC/RTL MARKET ROSS	_			-	1			]	
	00000	00000				TX LLC	REEP-OFC/RTL MARKET ROSS TX LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership		New York Life Insurance Company	N	
	00000	00000				MARKET ROSS TX JV LLC	MARKET ROSS TX JV LLC	DE	NI A	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	97.800	New York Life Insurance Company	N	

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# SCHEDULE Y

						A - DL I AI	L OF INSURANC	'L I	IOLL	TING COMPAINT	SISILIVI				
1	2	3	4	5	6	7	8	9	10	11	12 Type of Control	13 If Control	14	15	16
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						MARKET ROSS TX OFFICE									
	. 00000	00000				OWNER LLC	MARKET ROSS TX OFFICE OWNER LLC	DE	NI A	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	New York Life Insurance Company	N	
						MARKET ROSS TX RETAIL									
	. 00000	00000				OWNER LLC	MARKET ROSS TX RETAIL OWNER LLC	DE	NI A	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MARKET ROSS TX GARAGE OWNER LC	MARKET ROSS TX GARAGE OWNER LC	DE	NIA	REEP-OFC/RTL MARKET ROSS TX LLC	Ownership	100.000	. New York Life Insurance Company	M	
	00000	00000				REEP-IND CHINO CA LLC	REEP-IND CHINO CA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N N	
						REEP-OFC CORPORATE POINTE				THE TOTAL LITE THOUTAINE COMPANY	owner strip		Their fork Life modification company		
	00000	00000	1			CA LLC	REEP-OFC CORPORATE POINTE CA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
						REEP-OFC VON KARMAN CA									
	00000	00000				LLC	REEP-OFC VON KARMAN CA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						Cortlandt Town Center LLC									
	. 00000	00000	-l				Cortlandt Town Center LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						NYLIFE Office Holdings									
	. 00000	00000	+			Member LLC	NYLIFE Office Holdings Member LLC	DE	NI A	New York Life Insurance Company	Ownership	51.000	New York Life Insurance Company	N	
	00000	00000				NYLIFE Office Holdings	ANN IFF Office Heldings II O	DE	ALLA	ANN IFF Office Heldings Monkey II O	0	F4 000	New Years Life Learning Commence	N.	
	. 00000	00000				NYLIFE Office Holdings	NYLIFE Office Holdings LLC	UE	NI A	NYLIFE Office Holdings Member LLC	Ownership	51.000	New York Life Insurance Company	NL	
	00000	00000				REIT LLC	NYLIFE Office Holdings REIT LLC	DE	NI A	NYLIFE Office Holdings LLC	Ownership	51.000	New York Life Insurance Company	N	
		00000				REEP-MF 960 EPF Opco GA	NILITE OFFICE HOTUINGS HETT LEG			Willie office flordings also	Owner strip				
	00000	00000				II C	REEP-MF 960 EPF Opco GA LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						NYLIFE Office Holdings				l l l l l l l l l l l l l l l l l l l			Ton Ton Erro modranos company		
	00000	00000				Acquisition REIT LLC	NYLIFE Office Holdings Acquisition REIT LLC .	DE	NI A	NYLIFE Office Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				REEP-IND Fridley MN LLC	REEP-IND Fridley MN LLC	MN	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-IND Green Oaks IL									
	. 00000	00000				LLC	REEP-IND Green Oaks IL LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				REEP-OFC Bellevue WA LLC	DEED OFO D. I.I. WALLO	DE	NIA	N. V. I. I. C. I.		100.000	N V I I I O	.,	
	00000	00000				REEP-IND NJ LLC	REEP-OFC Bellevue WA LLC	DE	NIA NIA	New York Life Insurance Company NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company New York Life Insurance Company	N N	
	00000	00000				NJIND JV LLC	NJIND JV LLC	DE	NIA	REEP-IND NJ LLC	Ownership	100.000	New York Life Insurance Company	N N	
	00000	00000				NJIND Hook Road LLC	NJIND Hook Road LLC	DE	NIA	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
						NJIND Raritan Center LLC	NOTE HOOK HOUGE ELO			THO TO LEG	omoromp		Tork Erro modranos company		
	00000	00000					NJIND Raritan Center LLC	DE	NI A	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NJIND Talmadge Road LLC	NJIND Talmadge Road LLC	DE	NI A	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				NJIND Bay Avenue LLC	NJIND Bay Avenue LLC	DE	NI A	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
	_ 00000	00000	4			NJIND Melrich Road LLC	NJIND Meirich Road LLC	DE	NI A	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				NJIND Corbin Street LLC	NJIND Corbin Street LLC	DE	NI A	NJIND JV LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000	+			REEP-Enclave TX LLC	REEP-Enclave TX LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				REEP-MF Issaquah WA LLC REEP-MF Mira Loma II TX	REEP-MF Issaquah WA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				HEEP-MF MIRA LOMA II IX	REEP-MF Mira Loma II TX LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	1			REEP-MF Verde NC LLC	REEP-MF Verde NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
			1			REEP-MF Mount Vernon GA	10100110 110								1
	00000	00000				LLC	REEP-MF Mount Vernon GA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	. New York Life Insurance Company	N	
						REEP-MF Summitt Ridge CO									
	. 00000	00000	4			LLC	REEP-MF Summitt Ridge CO LLC	DE		NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OF Centerpointe VA					[				
	. 00000	00000				LLC	REEP-OF Centerpointe VA LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				REEP-RTL SASI GA LLC	REEP-RTL SASI GA LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				REEP-MF Chandler AZ LLC REEP-MF Woodridge IL LLC	REEP-MF Chandler AZ LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				neer-wr woodriage it tto	REEP-MF Woodridge IL LLC	DE	NIA	NYLife Real Estate Holdings. LLC	Ownership	100.000	New York Life Insurance Company	M	
	. 00000	00000	-†			REEP-MF Wallingford WA	nccr-wr woodinge it tto	VE	NI A	NILITE HEAT ESTATE HOTOTHISS, LLC	ownerstilb	100.000			
	00000	00000				IIC	REEP-MF Wallingford WA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	_ 00000	00000	-+			LLV	TILL III HATTINGTOLU IIA LLO	DL	+tur/	THOSE TOTAL ETTO THOUTAING COMPANY	. Omiorodity		- Inon Tork Life Hourande Company		4

# SCHEDULE Y

						. – –	L OF INSURAN	<u> </u>	. •						
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Type	lf			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
								Domi	ship					Filing	
		NIAIO				Exchange	N1	Domi-			Management,	ship			
_		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
00000	)	00000				REEP-IND Valwood TX LLC	REEP-IND Valwood TX LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-MF Marina Landing WA				-	•				
00000	)	00000				LLC	REEP-MF Marina Landing WA LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-SP Marina Landing	-			-	•				
00000	)	00000				LLC	REEP-SP Marina Landing LLC	DE	NI A	REEP-MF Marina Landing WA LLC	Ownership	98.000	New York Life Insurance Company	N	
00000	)	00000				REEP-OFC 575 Lex NY LLC	REEP-OFC 575 Lex NY LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC 575 Lex NY GP				•	·		, ,		
00000	)	00000				LLC	REEP-OFC 575 Lex NY GP LLC	DE	NI A	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Drakes Landing				•	·		, ,		
00000	)	00000	l			CA LLC	REEP-OFC Drakes Landing CA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000	1			REEP-OFC Westory DC LLC	REEP-OFC Westory DC LLC	DE	NIA	NYLife Real Estate Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						CT 160 CORPORATE COURT						T			1
00000	)	00000				LLC	CT 160 CORPORATE COURT LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	1
30000	·		1			NYMH Farmingdale, NY, LLC	o. 100 com office coom ELC			20.0 D.E. OIIII OETO HOLDHOO EEO			Total Control Hourando Company		1
00000	1	00000				agau.ro, m, LLO	NYMH Farmingdale, NY, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
00000	,					NYLMDC King of Prussia	William Farmingdare, W., LLO			Their fork Life mourance company	- Owner Simp		Their fork Life modification company		
00000	1	00000				GP, LLC	NYLMDC King of Prussia GP, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000	<i>,</i>	00000				NYLMDC King of Prussia	INTEMPORTING OF FRUSSIA OF, EEC	J DL	NI //	New Tork Life Hisurance company	Owner Sirip	100.000	INEW TOTK LITE HISUTATIOE COMPANY		1
00000	1	00000				Realty, LP	NYLMDC King of Prussia Realty, LP	DE	NIA	NYLMDC King of Prussia GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYMH Attleboro MA, LLC	NYMH Attleboro MA, LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	IV	
00000		00000				NYMH-Ennis GP. LLC	NYMH-Ennis GP. LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	IV	
00000		00000					NYMH-Ennis, L.P.	TX	NIA	NYMH-Ennis GP, LLC	Ownership	100.000		.  N	
00000		00000				NYMH-Ennis, L.P.	NYMH-Freeport GP. LLC	DE	NIA			100.000	New York Life Insurance Company	N	
						NYMH-Freeport GP, LLC				New York Life Insurance Company	Ownership		New York Life Insurance Company	IV	
00000		00000				NYMH-Freeport, L.P.	NYMH-Freeport, L.P.	TX	NIA	NYMH-Freeport GP, LLC	Ownership	100.000	New York Life Insurance Company	-  N	
00000		00000				NYMH-Houston GP, LLC	NYMH-Houston GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYMH-Houston, L.P.	NYMH-Houston, L.P.	TX	NI A	NYMH-Houston GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYMH-Plano GP, LLC	NYMH-Plano GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000	)	00000				NYMH-Plano, L.P.	NYMH-Plano, L.P.	TX	NI A	NYMH-Plano GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYMH-San Antonio GP, LLC						400.000			
00000		00000					NYMH-San Antonio GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000	)	00000				NYMH-San Antonio, L.P	NYMH-San Antonio, L.P.	TX	NI A	NYMH-San Antonio GP, LLC	Ownership	100.000	New York Life Insurance Company	.  N	
						NYMH-Stephenville GP, LLC									
00000		00000					NYMH-Stephenville GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYMH-Stephenville, L.P	NYMH-Stephenville, L.P.	TX	NI A	NYMH-Stephenville GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYMH-Taylor GP, LLC	NYMH-Taylor GP, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYMH-Taylor, L.P	NYMH-Taylor, L.P.	TX	NI A	NYMH-Taylor GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-002 LLC	SCP 2005-C21-002 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-003 LLC	SCP 2005-C21-003 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-006 LLC	SCP 2005-C21-006 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-007-LLC	SCP 2005-C21-007-LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-008 LLC	SCP 2005-C21-008 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-009 LLC	SCP 2005-C21-009 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-017 LLC	SCP 2005-C21-017 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000	1			SCP 2005-C21-018 LLC	SCP 2005-C21-018 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-021 LLC	SCP 2005-C21-021 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000	)	00000	l			SCP 2005-C21-025 LLC	SCP 2005-C21-025 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-031 LLC	SCP 2005-C21-031 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000	)	00000	l			SCP 2005-C21-036 LLC	SCP 2005-C21-036 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000	1			SCP 2005-C21-041 LLC	SCP 2005-C21-041 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				SCP 2005-C21-043 LLC	SCP 2005-C21-043 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
00000		00000	1			SCP 2005-C21-044 LLC	SCP 2005-C21-044 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
00000		00000	1			SCP 2005-C21-048 LLC	SCP 2005-C21-048 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	1
		00000	I			SCP 2005-C21-061 LLC	SCP 2005-C21-061 LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N N	1
00000	)	(10,00,00)													

# **SCHEDULE Y**

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	_	_	-	_	_	-					Type	If			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
0			ın	Fadanal			Parent, Subsidiaries			Discretty Construction of her			Lillian ata Cantasilia a		
Group		Company	ID.	Federal		(U.S. or		Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	00000	00000				SCP 2005-C21-067 LLC	SCP 2005-C21-067 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				SCP 2005-C21-069 LLC	SCP 2005-C21-069 LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				SCP 2005-C21-070 LLC	SCP 2005-C21-070 LLC	. DE	NIA	New York Life Insurance Company	Ownership	100,000	New York Life Insurance Company	N	
	00000	00000				Silver Spring, LLC	Silver Spring, LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						Silver Spring Associates,									
	00000	00000				L.P.	Silver Spring Associates, L.P.	PA	NI A	Silver Spring, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000					2015 DIL PORTFOLIO	OTTYCE OPTING ASSOCIATES, E.F.	/		orrver opring, LLO	Owner Strip		. New York Erre modrance company		
	00000	00000				HOLDINGS LLC	2015 DIL DODTEOLIO HOLDINGS LLC	DE	NIA	Nam Varie Life Insurance Commons	Ownership	62.308	New York Life Incurence Company	N.	
	00000	00000					2015 DIL PORTFOLIO HOLDINGS LLC	UE	NIA	New York Life Insurance Company	Owner Strip	02.300	New York Life Insurance Company	N	
	00000	00000			1	2015 DIL PORTFOLIO	ANAL DIL DODTEN LO LIN SUNOS LLO		N11 4	New York Life Insurance and Annuity		07.000	N V 1 1 1 2		
	00000	00000		-		HOLDINGS LLC	2015 DIL PORTFOLIO HOLDINGS LLC	. DE	NI A	Corporation	Ownership	37.692	New York Life Insurance Company	N	
				İ	I	CT 611 W. JOHNSON AVE LLC					1		1		
	00000	00000					CT 611 W. JOHNSON AVE LLC	DE	NI A	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	
						CT 550 RESEARCH PKWY LLC									
	00000	00000					CT 550 RESEARCH PKWY LLC	DE	NI A	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	
						NJ 663 E. CRESCENT AVE					·		. ,		
	00000	00000				LLC	NJ 663 E. CRESCENT AVE LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NJ 1881 ROUTE 46 LLC	NJ 1881 ROUTE 46 LLC	DE	NIA	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N N	
	00000	00000				PA 180 KOST RD LLC	PA 180 KOST RD LLC	DE	NI A	2015 DIL PORTFOLIO HOLDINGS LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	90000					I A 100 ROSI IID LEG	UL		2010 DIE 1 0111 0E10 10EDINGS EEC	Owner Sirip		. New Tork Life Hisurance company		
	00000	00000				REEP-OFC One Water Ridge	DEED OFO One Weden Didon NO LLO	DE	NII A	New Years Life Lawrence Community	0	400,000	New Yearle Life Lawrence Commence	N.	
	00000	00000				NC LLC	REEP-OFC One Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Two Water Ridge									
	00000	00000				NC LLC	REEP-OFC Two Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Four Water Ridge									
	00000	00000				NC LLC	REEP-OFC Four Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Five Water Ridge									
	00000	00000				NC LLC	REEP-OFC Five Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-OFC Six Water Ridge	-				•				
	00000	00000				NC LLC	REEP-OFC Six Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100,000	New York Life Insurance Company	N	
						REEP-OFC Seven Water									
	00000	00000				Ridge NC LLC	REEP-OFC Seven Water Ridge NC LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		90000				REEP-OFC Eight Water	TILLE OF O GOVERN WATER THOUGH NO LEG	UL		New York Erre modrance company	Owner strip		New York Erre modrance company		
	00000	00000					DEED OFC Fight Water Didge NC LLC	DE	NIA	Nam Varie Life Insurance Commons	Ownership	100.000	New York Life Incurence Company	NI NI	
	00000	00000				Ridge NC LLC	REEP-OFC Eight Water Ridge NC LLC	UE	NIA	New York Life Insurance Company	Owner Strip		New York Life Insurance Company	N	
						REEP-OFC Nine Water Ridge	DEED OF ALL MALLO	25				400.000			
	00000	00000		-		NC LLC	REEP-OFC Nine Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				İ	I	REEP-OFC Ten Water Ridge				L	1		L		
	00000	00000		-		NC LLC	REEP-OFC Ten Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				İ	I	REEP-OFC Eleven Water					1		1		
	00000	00000		.		Ridge NC LLC	REEP-OFC Eleven Water Ridge NC LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				I	1	REEP-OFC Water Ridge NC	, and the second			1	1		1		
	00000	00000	l	. 1	1	Holdco LLC	REEP-OFC Water Ridge NC Holdco LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	l
						REEP-MF Fountain Place MN						70.000	l company		
	00000	00000			1	LLC	REEP-MF Fountain Place MN LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						REEP-MF FOUNTAIN PLACE	I CUITCHIII I I ACC MIN LEC			The Total Erro modifiance company	Omioi 6iii p		Their fork Life indufance company		
	00000	00000			1	LLC	REEP-MF FOUNTAIN PLACE LLC	DE	NI A	REEP-MF Fountain Place MN LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000		-			DEEF-MF FOUNTAIN PLACE LLC	DE	INI A	neer-wr rountain flace MN LLC	Owner Sill p		. INEW TOTA LITE ITISUTATICE COMPANY	IVL	
	00000	00000		İ	I	REEP-OFC ONE BOWDOIN	DEED OF ONE DOMDOIN COURSE HAVE A	P	NII A	No. Vod. 1:4- Income	0	400 000	New Years 1 if a least		
	00000	00000	ł	-		SQUARE MA LLC	REEP-OFC ONE BOWDOIN SQUARE MA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
					1	REEP-OFC 2300 EMPIRE CA				L	1				
	00000	00000		-		LLC	REEP-OFC 2300 EMPIRE CA LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				İ	I	REEP-OFC 2300 EMPIRE LLC					1		1		
	00000	00000		.			REEP-OFC 2300 EMPIRE LLC	DE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
				1		REEP-RTL Flemington NJ					1				
	00000	00000			1	ITC	REEP-RTL Flemington NJ LLC	DE	NIA	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				REEP-IND 10 WEST AZ LLC	REEP-IND 10 WEST AZ LLC	DE	NI A.	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		-	t	.	1	110 10 1101 /12 110	THE THE TO THE LEV			non rota Erro modranos company	1 a 6				

# SCHEDULE Y

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-	_	_	-	_				-			Type	lf			
											of Control	Control			
											(Ownership,	is		Is an	
						No			D. L. C.			-			
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						New York Life Investment	New York Life Investment Management Holdings				·				
	00000	00000	52-2206685		0001513831	Management Holdings LLC	LLC	DE	DS	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
						1				New York Life Investment Management					
	00000	00000	81-5158468			NYLIM Alternatives LLC	NYLIM Alternatives LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CVP Holdings LLC	CVP Holdings LLC	DE	NI A	NYLIM Alernatives LLC	Ownership	60.000	New York Life Insurance Company	N	
	00000	00000				CVP CLO Manager LLC	CVP CLO Manager LLC	DE	NI A	CVP Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CVP CLO Holdings GP LLC	CVP CLO Holdings GP LLC	DE	NI A	CVP CLO Manager LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CVP CLO Holdings, LP	CVP CLO Holdings, LP	KY	NI A	CVP CLO Holdings GP LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		.		CVP CLO Advisors, LLC	CVP CLO Advisors, LLC	DE	NI A	CVP CLO Holdings LP	Ownership	100.000	New York Life Insurance Company	N	ļ
						Credit Value Partners LLC									
	00000	00000		.			Credit Value Partners LLC	DE	NI A	CVP Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	ļ
						CHIPC Evergreen General									
	00000	00000				LLC	CHIPC Evergreen General LLC	DE	NI A	Credit Value Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						CVP High Income Private	CVP High Income Private Credit Master Fund LP								
	00000	00000				Credit Master Fund LP		DE	NI A	CHIPC Evergreen General LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CVP Loan Servicing LLC	CVP Loan Servicing LLC	DE	NI A	Credit Value Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CHIPC PE General LLC	CHIPC PE General LLC	DE	NI A	Credit Value Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						CHIPC CE Intermidiate									
	00000	00000				Fund LP	CHIPC CE Intermidiate Fund LP	DE	NI A	CHIPC PE General LLC	Ownership	100.000	New York Life Insurance Company	N	
						CVP High Income Private									
						Credit PE Fund (Cayman)	CVP High Income Private Credit PE Fund								
	00000	00000				LP	(Cayman) LP	DE	NI A	CHIPC PE General LLC	Ownership	100.000	New York Life Insurance Company	N	
						CVP High Income Private									
	00000	00000			0001672457	Credit PE Fund LP	CVP High Income Private Credit PE Fund LP	DE	NI A	CHIPC PE General LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000			0001516194	CVP Distressed Fund LLC	CVP Distressed Fund LLC	DE	NI A	Credit Value Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CVF IV General LLC	CVF IV General LLC	DE	NI A	Credit Value Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000			0001650747	Credit Value Fund IV LP	Credit Value Fund IV LP	DE	NI A	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	
						Credit Value Fund									
	. 00000	00000				(Cayman) IV LP	Credit Value Fund (Cayman) IV LP	DE	NI A	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	
						Credit Value Intermidiate									
	00000	00000				Fund IV LP	Credit Value Intermidiate Fund IV LP	DE	NI A	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	
						Credit Value Master Fund									
	00000	00000				IV-A LP	Credit Value Master Fund IV-A LP	DE	NI A	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	
1						Credit Value Master Fund				I	I			I I	
	. 00000	00000				IV-B LP	Credit Value Master Fund IV-B LP	DE	NI A	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	·····
	00000	00000				CVF IV Vert LLC	CVF IV Vert LLC	DE	NIA	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	·····
	00000	00000				CVF IV-A1 Vert LLC	CVF IV-A1 Vert LLC	DE	NI A	CVF IV General LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				CVP SPV LLC	CVP SPV LLC	DE	NI A	Credit Value Partners LLC	Ownership	100.000	New York Life Insurance Company	N	{
	00000					New York Life Investment	New York Life Investment Management Asia	0)77	NI -	New York Life Investment Management		400 000	N V 1 1 1 7 1		
	00000	00000				Management Asia Limited	Limited	CYM	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	·····
	00000	00000				Ladar IO Hall'	Ladau IO Haldiana Inc	סר	ALL A	New York Life Investment Management	0	400 000	New Years Life Leave 2		
	00000	00000				Index IQ Holdings Inc Financial Development LLC	Index IQ Holdings Inc.	DE	NI A	Holdings LLC New York Life Investment Management	Ownership	100.000	New York Life Insurance Company	N	·····
	00000	00000			0001460140	rinanciai Development LLC		DE	NIA		O-manahi -	74 070	New York Life Incomes Comme	A1	
	. 00000	00000			0001460140	Financial Development LLC	Financial Development LLC	UE	NI A	Holdings LLC	Ownership	74.370	New York Life Insurance Company	N	·····
1	00000	00000			0001460140	rmanciai Development LLC	Financial Development LLC	DE	NI A	Index IQ Holdings Inc.	Ownership	25.630	New York Life Incomess Com-	N.	
	00000	00000			0001460140	IndexIQ. Inc.	IndexIQ, Inc.	DE	NIA NIA	Financial Development LLC	Ownership	25.630	New York Life Insurance Company New York Life Insurance Company	NN	·
	00000	00000			000 1304020	IndexIQ, Inc.	IndexIQ LLC	DE	NIA	Financial Development LLC	Ownership	100.000	New York Life Insurance Company	NN	
	00000	00000			0001415996	IndexIQ LLC	IndexIQ Advisors LLC	DE	NIA	IndexIQ LLC	Ownership	100.000	New York Life Insurance Company	NNN.	·····
	. 00000	00000			000 14 10990	Institutional Capital LLC		∪⊏	NI A	New York Life Investment Management	- owner gurb				
1	00000	00000	03-0598064		0000050672	motitutional Capital LLC	Institutional Capital LLC	DE	NIA	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000	4000004		0000000012		motitutional capital LLC	∪⊏	NI A	New York Life Investment Management	owner gurb				
	00000	00000	13-3741759		0000914898	NYLIFE Distributors LLC	NVI IEE Distributors II C	DE	NI A	Holdings LLC	Ownership	100,000	New York Life Insurance Company	N	
	00000	טטטטע	10-0141109		14030	IMITE DISTIDUTORS FEC "	MILIIL DISTITUUTOIS LLO	UE	NIW	norungo LLO	Owner stub		Inch for the mountaine company		

# **SCHEDULE Y**

				PA	KI 1	A - DE I AI	L OF INSURANC		JOLL	ING COMPANT	SISIEM				
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								-			Type	If			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Constition			Dalatian			-			
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						NYLIM Service Company LLC				New York Life Investment Management					
	00000	00000			0001103598		NYLIM Service Company LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Investment Management					
	00000	00000	13-4080466		0000061227	MacKay Shields LLC	MacKay Shields LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Shields Core Plus				-					
						Opportunities Fund GP LLC	MacKay Shields Core Plus Opportunities Fund								
	00000	00000					GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Shields Core Plus	MacKay Shields Core Plus / Opportunities			MacKay Shields Core Plus Opportunities					
	00000	00000			0001502131	/ Opportunities Fund LP	Fund LP	DE	NIA	Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	<u> </u>
				1	1	MacKay Shields Credit			1		·		. ,		
	00000	00000		l		Strategy Fund LTD	MacKay Shields Credit Strategy Fund LTD	CYM	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	<u> </u>
		1		1	1	MacKay Shields Defensive			1		·		. ,		
		I		I	1	Bond Arbitrage Fund Ltd.	MacKay Shields Defensive Bond Arbitrage Fund		1						
	00000	00000			0001502133		Ltd.	BMU	NI A	MacKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
						MacKay Shields Defensive				,					
						Bond Arbitrage Fund Ltd.	MacKay Shields Defensive Bond Arbitrage Fund								
	00000	00000			0001502133		Ltd.	BMU	NI A	New York Life Insurance Company	Ownership	14.210	New York Life Insurance Company	N	J
					1	Mackay Shields Defensive									
						Bond Arbitrage Fund Ltd.	Mackay Shields Defensive Bond Arbitrage Fund								
	00000	00000			0001502133		Ltd.	BMU	NI A	MacKay Shields LLC	Ownership	0.770	New York Life Insurance Company	N	
						MacKay Shields High Yield	MacKay Shields High Yield Active Core Fund GP			., .,			]		
	00000	00000				Active Core Fund GP LLC		DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Shields High Yield Active Core Fund LP			MacKay Shields High Yield Active Core Fund					
	00000	00000			0001502130	Active Core Fund LP		DE	NI A	GP LLC	Ownership	100.000	New York Life Insurance Company	N	
					-		MacKay Shields Core Fixed Income Fund GP LLC								
	00000	00000				Income Fund GP LLC		DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Shields Core Fixed				MacKay Shields Core Fixed Income Fund GP					
	00000	00000	45-2733007		0001529525	Income Fund LP	MacKay Shields Core Fixed Income Fund LP	DE	NI A	ILC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Shields Select	MacKay Shields Select High Yield Bond Fund LP			MacKay Shields Core Fixed Income Fund GP					
	00000	00000				High Yield Bond Fund LP		DE	NIA	ILC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Shields High Yield				MacKay Shields Core Fixed Income Fund GP					
	00000	00000				Crossover Fund LP	MacKay Shields High Yield Crossover Fund LP	DE	NIA	ILC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal Managers									
	00000	00000				Opportunities GP LLC	LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal				,	,	7			
1		I		I	1	Opportunities Master	MacKay Municipal Opportunities Master Fund,		1	MacKay Municipal Managers Opportunities GP					
	00000	00000			0001432467	Fund, L.P.	L.P.	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
					1	MacKay Municipal					,	7			
1		I		I	1	Opportunities Fund, L.P.			1	MacKay Municipal Managers Opportunities GP					
	00000	00000	1		0001432468		MacKay Municipal Opportunities Fund, L.P	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	<u>                                     </u>
						MacKay Municipal	,			MacKay Municipal Managers Opportunities GP					
	00000	00000	1			Opportunity HL Fund LP	MacKay Municipal Opportunity HL Fund LP	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal Managers	,								
		1		1	1	Credit Opportunities GP,	MacKay Municipal Managers Credit		1						
	00000	00000		I	I	LLC	Opportunities GP, LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	<u> </u>
						MacKay Municipal Credit				,					
		1		1	1	Opportunities Master	MacKay Municipal Credit Opportunities Master		1	MacKay Municipal Managers Credit					
l	00000	00000	1	1	0001460030	Fund, L.P.	Fund, L.P.	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	]
						MacKay Municipal Credit									
		I		I	1	Opportunities Fund, L.P.	MacKay Municipal Credit Opportunities Fund,		1	MacKay Municipal Managers Credit					
	00000	00000		1	0001460023	-11.	L.P.	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	]
					1	MacKay Municipal Credit				.,	,	7			
1		I		I	1	Opportunities HL Fund,	MacKay Municipal Credit Opportunities HL		1	MacKay Municipal Credit Opportunities HL					
	00000	00000	1	1		L.P.	Fund, L.P.	DE	NI A	Fund LP	Ownership	100.000	New York Life Insurance Company	N	]

## SCHEDULE Y

				PP	NKI 1.	A - DE I AI	L OF INSURANCE	ノロコ	JULL	ING CUMPAN I	SISIEIVI				
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
											Type	If			
											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
								Domi	ship			ship		Filing	
						Exchange		Domi-	- 1		Management,				
_		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						MacKay Municipal Short									
						Term Opportunities Fund	MacKay Municipal Short Term Opportunities								
	00000	00000				GP LLC	Fund GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal Short									
						Term Opportunities Fund	MacKay Municipal Short Term Opportunities			MacKay Municipal Short Term Opportunities					
	00000	00000	45-3041041		0001532022	LP	Fund LP	DE	NI A	Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
							MacKay Municipal New York Opportunities GP								
	00000	00000				Opportunities GP LLC	LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal New York									
		1				Opportunities Fund, L.P.	MacKay Municipal New York Opportunities			MacKay Municipal New York Opportunities GP				]	
	00000	00000					Fund, L.P.	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
		1				MacKay Municipal Capital								]	
	00000	00000				Trading GP LLC	MacKay Municipal Capital Trading GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal Capital									
						Trading Master Fund, L.P	MacKay Municipal Capital Trading Master								
	00000	00000					Fund, L.P	DE	NI A	MacKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal Capital	MacKay Municipal Capital Trading Fund, L.P.								
	00000	00000				Trading Fund, L.P.		DE	NI A	MacKay Municipal Capital Trading GP LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				Plainview Funds plc	Plainview Funds plc	IRL	NI A	MacKay Shields LLC	Ownership	50.000	New York Life Insurance Company	N	
	00000	00000				Plainview Funds plc	Plainview Funds plc	IRL	NI A	MacKay Shields LLC	Board of Directors	0.000	New York Life Insurance Company	N	
						Plainview Funds plc -	·								
						MacKay Shields - Emerging	Plainview Funds plc - MacKay Shields -			New York Life Insurance and Annuity					
	00000	00000				Markets Credit Portfolio .	Emerging Markets Credit Portfolio	IRL	NI A	Corporation	Ownership	99.980	New York Life Insurance Company	N	
						Plainview Funds plc -				l .	·				
						MacKay Shields - Flexible	Plainview Funds plc - MacKay Shields -								
	00000	00000				Bond Portfolio	Flexible Bond Portfolio	IRL	NI A	Plainview Funds Plc	Management	0.000	New York Life Insurance Company	N	2
						Plainview Funds plc -									
						MacKay Shields -									
						Unconstrained Bond	Plainview Funds plc - MacKay Shields -								
	00000	00000				Portfolio	Unconstrained Bond Portfolio	IRL	NI A	New York Life Insurance Company	Ownership	9.540	New York Life Insurance Company	N	2
						Plainview Funds plc -									
						MacKay Shields -									
						Unconstrained Bond	Plainview Funds plc - MacKay Shields -								
	00000	00000				Portfolio	Unconstrained Bond Portfolio	IRL	NI A	MacKay Shields LLC	Ownership	1.480	New York Life Insurance Company	N	
		1				Plainview Funds plc -								]	
		- 1				MacKay Shields - Floating								1 '	
		1				Rate High Yield Portfolio	Plainview Funds plc - MacKay Shields -							]	
	00000	00000			.		Floating Rate High Yield Portfolio	IRL	NI A	New York Life Insurance Company	Ownership	92.580	New York Life Insurance Company	N	ļ
		1				Plainview Funds plc -				1				]	
		1				MacKay Shields - Floating								1 '	
		1				Rate High Yield Portfolio	Plainview Funds plc - MacKay Shields -							]	
	00000	00000			.		Floating Rate High Yield Portfolio	IRL	NI A	MacKay Shields LLC	Ownership	7.410	New York Life Insurance Company	N	
		1				Plainview Funds plc -	_						. ,	]	
		1				MacKay Shields Core Plus	Plainview Funds plc - MacKay Shields Core							]	
	00000	00000				Opportunities Portfolio	Plus Opportunities Portfolio	IRL	NI A	MacKay Shields LLC	Ownership	0.000	New York Life Insurance Company	N	
		1				MacKay Shields Statutory								]	
		1				Trust High Yield Bond	MacKay Shields Statutory Trust High Yield			MacKay Municipal Short Term Opportunities				1 '	
	00000	00000				Series	Bond Series	CT	NI A	Fund GP LLC	Management	0.000	New York Life Insurance Company	N	2
		1				MacKay Shields								]	
	00000	00000				(International) Ltd	MacKay Shields (International) Ltd	GBR	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
		1				MacKay Shields (Services)	-							]	
	00000	00000				Ltd	MacKay Shields (Services) Ltd	GBR	NI A	MacKay Shields LLC	Ownership		New York Life Insurance Company	N	
	00000	00000				MacKay Shields UK LLP	MacKay Shields UK LLP	GBR	NI A	MacKay Shields (International) Ltd	Ownership		New York Life Insurance Company	N	
	00000	00000				. MacKay Shields UK LLP	MacKay Shields UK LLP	GBR	NI A	MacKay Shields (Services) Ltd.	Ownership		New York Life Insurance Company	N	

## SCHEDULE Y

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									5		(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
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Group		Company	ID	Federal		(U.Š. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	0.00p .ta0			11002		MacKay Shields Global	017 IIIII (100			(riame er zmityri ereen)	0	i.a.go		(1711)	
	00000	00000				Derivatives LLC	MacKay Shields Global Derivatives LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	. New York Life Insurance Company	N	
	. 00000	00000				MacKay Municipal Managers		UL	NI /	mackay offerus LLC	Owner Sirip		Tork Life insurance company		
						Strategic Opportunities	MacKay Municipal Managers Strategic								
	00000	00000				GP LLC	Opportunities GP LLC	DE	NIA	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				MacKay Municipal	opportunities or LLC	UE	NI A	Mackay Sillerus LLC	Owner Sirip	100.000	New fork Life insurance company		
							MasKau Muniainal Stratagia Consulumitiaa			MacKay Municipal Managana Ctratagia					
	00000	00000			0004704740	Strategic Opportunities Fund LP	MacKay Municipal Strategic Opportunities Fund LP	DE	NIA	MacKay Municipal Managers Strategic	0	100 000	New Years Life Incomes Comment	M	
	00000	00000			0001701742		rund LP	UE	NI A	Opportunities GP LLC	Ownership		New York Life Insurance Company	N	
						MacKay Municipal Managers	Markey Market at Markey D. A. D.								
	00000	00000				Puerto Rico Opportunities		DE		W K 91: 11 119		400.000	N V 1 1:7 1		
	00000	00000	+			GP LLC	Opportunities GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	·
		1				MacKay Puerto Rico									
						Opportunities Funds, L.P.	MacKay Puerto Rico Opportunities Funds, L.P.			MacKay Municipal Managers Puerto Rico	I		L		
	00000	00000						DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Puerto Rico									
						Opportunities Feeder	MacKay Puerto Rico Opportunities Feeder Fund,			MacKay Municipal Managers Puerto Rico					
	00000	00000			. 0001639564	Fund, L.P	L.P	DE	NI A	Opportunities GP LLC	Ownership	100.000	. New York Life Insurance Company	N	
						MacKay Municipal Managers									
						California Opportunities	MacKay Municipal Managers California								
	00000	00000				GP LLC	Opportunities GP LLC	DE	NI A	MacKay Shields LLC	Ownership	100.000	New York Life Insurance Company	N	
						MacKay Municipal Managers									
						California Opportunities	MacKay Municipal Managers California			MacKay Municipal Managers California					
	00000	00000				Fund, L.P	Opportunities Fund, L.P.	DE	NI A	Opportunities GP LLC	Ownership	100.000	New York Life Insurance Company	N	
						Madison Capital Funding				1					
	00000	00000				LLC	Madison Capital Funding LLC	DE	NI A	New York Life Insurance Company	Ownership	55.000	New York Life Insurance Company	N	J
						Madison Capital Funding				New York Life Insurance and Annuity					
	00000	00000				LLC	Madison Capital Funding LLC	DE	NI A	Corporation	Ownership	45.000	New York Life Insurance Company	N	
						Madison Avenue Loan Fund									
	00000	00000				GP LLC	Madison Avenue Loan Fund GP LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
						Madison Avenue Loan Fund	madroon Avoido Edan Fana di EEO			madroon capital randing LEC	Carrier Girip		Tork Erro modrano company		
	00000	00000	80-0920962		0001577927	I P	Madison Avenue Loan Fund LP	DE	NI A	Madison Avenue Loan Fund GP LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000			0001077327	MCF CLO V LLC	MCF CLO V LLC	DE	NIA	New York Life Insurance Company	Ownership	5.000	New York Life Insurance Company	N	
	00000	00000				MCF CLO VI LLC	MCF CLO VI LLC	DE	NIA	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
		00000				MCF Co-Investment GP LLC	MOI OLO TI LLO	UL		maurour odpitar runumy LLO	· · · · · · · · · · · · · · · · · · ·	000.ע	Their fork Life Hisurance company		
	00000	00000				moi oo-iiivestiiiciit dr LLC	MCF Co-Investment GP LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	M	
	00000	00000			0001538585	MCF Co-Investment GP LP	MCF Co-Investment GP LP	DE	NIA	MCF Co-Investment GP LLC	Ownership	100.000	New York Life Insurance Company	NN.	
	. 00000		+			Madison Capital Funding		UE	NI //	INO OUTINGSTINGLE OF FEC.	- Owner all h				
	00000	00000			0001538584	Co-Investment Fund LP	Madison Capital Funding Co-Investment Fund LP	DE	NI A	MCE Co. Investment CD LD	Ownership	100.000	New York Life Incurence Com-	N	
	00000		+		4808001 000		MCF Fund   LLC	DE		MCF Co-Investment GP LP	Ownership	100.000	New York Life Insurance Company	N N	
	. 00000	00000				MCF Fund I LLC	WUF FUIIU I LLU	UE	NI A	Madison Capital Funding LLC	ownership		New York Life Insurance Company	N	
	00000	00000				Warwick McAlester	Wannish Madasakan Haliff 190	חר	ALL A	Mediana On ideal Frond' 110	0	100 000	New Years Life Leave 0		
	00000	00000				Holdings, LLC	Warwick McAlester Holdings, LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	+			Meeco Sullivan, LLC	Meeco Sullivan, LLC	DE	NI A	Warwick McAlester Holdings, LLC	. Ownership	100.000	New York Life Insurance Company	N	·
	00000	00000				Electric Avenue, LLC	Electric Avenue, LLC	DE	NI A	Warwick McAlester Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
						Young America Holdings,					l		L		
	. 00000	00000				LLC	Young America Holdings, LLC	DE	NI A	Madison Capital Funding LLC	Ownership	36.350	New York Life Insurance Company	N	
	. 00000	00000	+			YAC.ECOM Incorporated	YAC.ECOM Incorporated	MN	NI A	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				Young America, LLC	Young America, LLC	MN	NI A	Young America Holdings, LLC	Ownership	100.000	New York Life Insurance Company	N	
1		1				Global Fulfillment		1							
	00000	00000				Services, Inc.	Global Fulfillment Services, Inc.	AZ	NI A	Young America, LLC	Ownership	100.000	. New York Life Insurance Company	N	
		1				SourceOne Worldwide, Inc.									
	00000	00000					SourceOne Worldwide, Inc.	MN	NI A	Young America, LLC	Ownership	100.000	. New York Life Insurance Company	N	
	00000	00000				YA Canada Corporation	YA Canada Corporation	CAN	NI A	Young America, LLC	Ownership	100.000	. New York Life Insurance Company	N	
		1				Zenith Products Holdings,		1							
	00000	00000				Inc	Zenith Products Holdings, Inc	DE	NI A	Madison Capital Funding LLC	Ownership	16.360	New York Life Insurance Company	N	

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											of Control	Control			
											(Ownership,	is		ls an	
						No			D. L. C.						
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	00000	00000			0001347648	ZPC Holding Corp.	ZPC Holding Corp.	DE	NIA	Zenith Products Holdings Inc.	Ownership	100.000	New York Life Insurance Company	N	
						Zenith Products				_					
	00000	00000				Corporation	Zenith Products Corporation	DE	NI A	ZPC Holding Corp.	Ownership	100.000	New York Life Insurance Company	N	
						Ironshore Investment BL I									
	. 00000	00000				Ltd	Ironshore Investment BL   Ltd	BMU	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
	. 00000	00000				MCF CLO III LLC	MCF CLO III LLC	DE	NI A	Madison Capital Funding LLC	Ownership	2.330	New York Life Insurance Company	N	
	. 00000	00000				MCF CLO III LLC	MCF CLO III LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
	. 00000	00000				MCF CLO IV LLC	MCF CLO IV LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	
						LMF WF Portfolio III, LLC									
	. 00000	00000					LMF WF Portfolio III, LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
	00000	00000	l			MCF CLO I LLC	MCF CLO I LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
	. 00000	00000				MCF CLO I LLC	MCF CLO I LLC	DE	NI A	Madison Capital Funding LLC	Ownership	2.530	New York Life Insurance Company	N	
						Cornerstone Capital				New York Life Investment Management					
	. 00000	00000	13-5582869		0001453415	Management Holdings LLC	Cornerstone Capital Management Holdings LLC .	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
						Cornerstone Capital				Cornerstone Capital Management Holdings LLC					
	00000	00000	41-1763532		0001123570	Management LLC	Cornerstone Capital Management LLC	DE	NI A		Ownership	51.000	New York Life Insurance Company	N	
						Cornerstone US Equity									
						Market Neutral Fund, LLC	Cornerstone US Equity Market Neutral Fund,								
	. 00000	00000					LLC	DE	NI A	Cornerstone Capital Management LLC	Ownership	100.000	. New York Life Insurance Company	N	
						Cornerstone Capital									
						Management Large-Cap									
						Enhanced Index Fund GP,	Cornerstone Capital Management Large-Cap			Cornerstone Capital Management Holdings LLC					
	. 00000	00000				LLC	Enhanced Index Fund GP, LLC	DE	NI A		Ownership	100.000	. New York Life Insurance Company	N	
						Cornerstone Capital									
						Management Large-Cap	Cornerstone Capital Management Large-Cap			Cornerstone Capital Management Large-Cap					
	. 00000	00000			0001329043	Enhanced Index Fund, LP	Enhanced Index Fund, LP	DE	NI A	Enhanced Index Fund GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Investment Management					
	. 00000	00000					NYL Workforce GP LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Investment				New York Life Investment Management					
	00000	00000			0001133639	Management LLC	New York Life Investment Management LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
							New York Life Investment Management (U.K.)								
	00000	00000					Ltd	GBR	NI A	NYL Investors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Madison Core Property									
	_ 00000	00000	+			Fund LLC	Madison Core Property Fund LLC	DE	NI A	New York Life Insurance Company	Management		New York Life Insurance Company	N	<b></b>
	. 00000	00000				MIREF 1500 Quail, LLC	MIREF 1500 Quail, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000		N	
	. 00000	00000					MIREF Mill Creek, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000		N	
	. 00000	00000				MIREF Gateway, LLC	MIREF Gateway, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000		N	
	_ 00000	00000				MIREF Delta Court, LLC	MIREF Delta Court, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MIREF Fremont			1						
						Distribution Center, LLC					l	400.00-		l	
	. 00000	00000					MIREF Fremont Distribution Center, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership		New York Life Insurance Company	N	
	. 00000	00000	+			MIREF Century, LLC	MIREF Century, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000		N	
	. 00000	00000				MIREF York Road, LLC	MIREF York Road, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership		New York Life Insurance Company	N	
	. 00000	00000	+			York Road EW, LLC	York Road EW, LLC	DE	NI A	MIREF York Road, LLC	Ownership	64.800	New York Life Insurance Company	N	·
	00000					York Road Retail West,	V . B . I B . : I W	DE		MIDEE V. I. D. I. II.O.		0	N V 1 1 7 1		
ļ	. 00000	00000	+			LLC	York Road Retail West, LLC	DE	NI A	MIREF York Road, LLC	Ownership	64.800	New York Life Insurance Company	N	
	. 00000	00000				2001 EW LLC	2001 EW LLC	DE	NIA	York Road EW, LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000	+			2122 EW LLC	2122 EW LLC	DE	NI A	York Road EW, LLC	Ownership	100.000		N	· · · · · · · · · · · · · · · · · · ·
	. 00000	00000				MIREF Saddle River LLC	MIREF Saddle River LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	· · · · · · · · · · · · · · · · · · ·
	. 00000	00000	+			MIREF DC Corp.	MIREF DC Corp.	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	· · · · · · · · · · · · · · · · · · ·
	. 00000	00000					MIREF L Street, LLC	DE	NIA	MIREF DC Corp.	Ownership	100.000	New York Life Insurance Company	N	· · · · · · · · · · · · · · · · · · ·
	. 00000	00000				1901 L Street Corp	1901 L Street Corp.	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				1901 L Street LLC	1901 L Street LLC	DC	NI A	1901 L Street Corp.	Ownership	100.000	New York Life Insurance Company	N	

## **SCHEDULE Y**

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											of Control	Control			
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											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	3.04p (140				0	MIREF Newpoint Commons,	0.7		Linuty	(Hame of Emaly): Groom	0	- Lago	=::::ty(:00):: 0:00::(0)	(1711)	1
	00000	00000				II.C	MIREF Newpoint Commons, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MIREF Northsight, LLC	MIREF Northsight, LLC	. DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N.	
	00000	00000				MIREF Riverside, LLC	MIREF Riverside, LLC	. DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N N	
	00000					MIREF Corporate Woods,	WITE HIVEISTUE, ELC	UL	NI /	madison core rroperty rund LLC	Owner strip		INEW TORK LITE HISURANCE COMPANY		
	00000	00000				LLC	MIREF Corporate Woods, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MIREF Bedminster, LLC	MIREF Bedminster, LLC	DE	NIA	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	NI	
	. 00000	90000				MIREF Bartons Creek, LLC	WINEF Deulittister, LLC	UE	NIA	wadison core Property Fund LLC	. Towner strip			-  IN	
1	00000	00000				MINET DAILUIS CIEEK, LLC	MIREF Bartons Creek, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000					Bartons Lodge Apartments,	WITHER DATEOUS CIECK, LLC	UE	INI A	maurson oute rioperty rund LLo	- Inminer stub		INCW TOTA LITE HISUTATICE COMPANY	-  INL	1
	00000	00000				LLC	Bartons Lodge Apartments, LLC	DE	NIA	MIREF Bartons Creek, LLC	Ownership	90.000	New York Life Insurance Company	N	1
	00000							.  DE						-  N	
	. 00000	00000				MIREF Marketpointe, LLC	MIREF Marketpointe, LLC	UE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
1	00000	00000				MIREF 101 East	MIREF 101 East Crossroads, LLC	DE	NII A	Medican Care Branasty Fund 110	Ownership	100 000	New York Life Incurred Commen	A.I	
	. 00000	00000				Crossroads, LLC	MINEF IUI EAST UFOSSFOAGS, LLU	UE	NI A	Madison Core Property Fund LLC		100.000	New York Life Insurance Company	N	
	00000	00000				101 East Crossroads, LLC	101 5 1 0 1 110	DE	NII 4	WIDEE 404 E 4 0 1 110		400.000	N V 1 1 1 1 2	.,	
	. 00000	00000					101 East Crossroads, LLC	DE	NI A	MIREF 101 East Crossroads, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MIREF Waterview, LLC	MIREF Waterview, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000					MIREF Chain Bridge, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						1991 Chain Bridge Road,									
	. 00000	00000				LLC	1991 Chain Bridge Road, LLC	DE	NI A	MIREF Chain Bridge, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MIREF Aptakisic, LLC	MIREF Aptakisic, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						Aptakisic Creek Corporate									
	00000	00000				Park, LLC	Aptakisic Creek Corporate Park, LLC	DE	NI A	MIREF Aptakisic, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MIREF Hawthorne, LLC	MIREF Hawthorne, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				. MIREF Auburn 277, LLC	MIREF Auburn 277, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000					MIREF Sumner North, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	00000				. MIREF Wellington, LLC	MIREF Wellington, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MIREF Warner Center, LLC									
	00000	00000					MIREF Warner Center, LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-IND Valley									
	00000	00000				Business Park CA LLC	MADISON-IND Valley Business Park CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-MF Duluth GA LLC									
	00000	00000					MADISON-MF Duluth GA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
1						MADISON-MF Casa Santa Fe					1			1	
	00000	00000				AZ LLC	MADISON-MF Casa Santa Fe AZ LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
1						MADISON-MF Cabrillo AZ					1			1	
	00000	00000				LLC	MADISON-MF Cabrillo AZ LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-OFC Centerstone I									1
	00000	00000				CA LLC	MADISON-OFC Centerstone   CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-OFC Centerstone				· ·	1				
	00000	00000				III CA LLC	MADISON-OFC Centerstone III CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-MOB Centerstone				, ,			,		]
	00000	00000				IV CA LLC	MADISON-MOB Centerstone IV CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-OFC Centerpoint				, ,			,		]
	00000	00000				Plaza CA LLC	MADISON-OFC Centerpoint Plaza CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-IND Logistics NC				, ,			, . ,		
	00000	00000	]			LLC	MADISON-IND Logistics NC LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	l			. MCPF-LRC Logistics LLC	MCPF-LRC Logistics LLC	. DE	NI A	MADISON-IND Logistics NC LLC	Ownership	90.000	New York Life Insurance Company	N	
						MADISON-MF Desert Mirage									
	00000	00000				AZ LLC	MADISON-MF Desert Mirage AZ LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	1
						MADISON-OFC One Main									
1	00000	00000				Place OR LLC	MADISON-OFC One Main Place OR LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-IND Fenton MO LLC	man i i do on the man i i i i i i i i i i i i i i i i i i i			masson core rroperty rand LLC			Total Company		1
	00000	00000					MADISON-IND Fenton MO LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100 000	New York Life Insurance Company	N	1
						.	I MIND TOOK THE FOREIGN MO LECT	-   UL		madioon oold floperty fully LLO	-   Omilor Offip		- Inon rock Life induitance company	-	

## SCHEDULE Y

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											Type	If			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Constition			Dalatian						
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						MADISON-IND Hitzert			•						
	00000	00000				Roadway MO LLC	MADISON-IND Hitzert Roadway MO LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MADISON-MF Hoyt OR LLC	MADISON-MF Hoyt OR LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-RTL Clifton									
	00000	00000				Heights PA LLC	MADISON-RTL Clifton Heights PA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-IND Locust CA LLC									
	00000	00000					MADISON-IND Locust CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-OFC Weston Pointe									
	00000	00000				FL LLC	MADISON-OFC Weston Pointe FL LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-MF Henderson NV					1			1	
	00000	00000				LLC	MADISON-MF Henderson NV LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				MCPF-SP Henderson LLC	MCPF-SP Henderson LLC	DE	NI A	MADISON-MF Henderson NV LLC	Ownership	90.000	. New York Life Insurance Company	N	
	00000	00000				MADISON-SP Henderson LLC .	MADISON-SP Henderson LLC	DE	NI A	MCPF-SP Henderson LLC	Ownership	90.000	New York Life Insurance Company	N	
						MADISON-IND VISTA									
	00000	00000				LOGISTICS OR LLC	MADISON-IND VISTA LOGISTICS OR LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						MADISON-SPECHT VISTA									
	00000	00000				LOGISTICS LLC	MADISON-SPECHT VISTA LOGISTICS LLC	DE	NI A	MADISON-IND VISTA LOGISTICS OR LLC	Ownership	95.000	New York Life Insurance Company	N	
						MADISON-MF MCCADDEN CA									
	00000	00000				LLC	MADISON-MF MCCADDEN CA LLC	DE	NI A	Madison Core Property Fund LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Investment									
						Management Holdings	New York Life Investment Management Holdings			New York Life Investment Management					
	00000	00000				International S.á.r.l	International S.á.r.I.	LUX	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Investment									
						Management Holdings II	New York Life Investment Management Holdings			New York Life Investment Management					
	00000	00000				International S.á.r.l	II International S.á.r.I.	LUX	NI A	Holdings International S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Investment									
						Management Global	New York Life Investment Management Global			New York Life Investment Management		400.000			
	00000	00000				Holdings S.á.r.l.	Holdings S.á.r.l.	LUX	NI A	Holdings II International S.á.r.I.	Ownership	100.000	New York Life Insurance Company	N	
										New York Life Investment Management Global		400.000			
	00000	00000				Candriam Luxco S.á.r.I	Candriam Luxco S.á.r.I.	LUX	NI A	Holdings S.á.r.l.	Ownership	100.000	New York Life Insurance Company	N	
						Candriam Luxembourg,	Outdies Laurebourn and anothing 12 14 14			No. Vools Life Lauretanne Management O. J. J.					
	00000	00000				partnership limited by	Candriam Luxembourg, partnership limited by	LUV	AULA	New York Life Investment Management Global	0	05.000	New York Life Leavening Com-		
	00000	00000				shares	shares	LUX	NI A	Holdings S.á.r.l.	Ownership	95.008	New York Life Insurance Company	N	
						Candriam Luxembourg,	Conduian Livenbaum								
	00000	00000				partnership limited by shares	Candriam Luxembourg, partnership limited by shares	LUX	NIA	Candriam Luxco S.á.r.l.	Ownership	4.992	New York Life Insurance Company	M	
	. 00000	المممم				Ausbil Investment	SIIAI 55	LUA	INI A		owner zurh	4.992			·
	00000	00000				Management Limited	Ausbil Investment Management Limited	AUS	NI A	New York Life Investment Management Global Holdings S.á.r.l.	Ownership	78.550	New York Life Insurance Company	N	
	. 00000					Ausbil Australia Pty.	Ausbii investment management Limited	AUS	NI A		Owner 2011b			IVL	1
	00000	00000				Ltd.	Ausbil Australia Pty. Ltd	AUS	NIA	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
	. 00000	ا	1			Ausbil Asset Management	nuovii muotiaila Fty. Llu	601	INI A	nusbri investment management Limited	owner zuih		INCH TOLK LITE HISUTATICE COMPANY		
	00000	00000				Pty. Ltd	Ausbil Asset Management Pty. Ltd	AUS	NI A	Ausbil Investment Management Limited	Ownership	100.000	New York Life Insurance Company	N	
		ا	1			Ausbil Investment	nusbri noset manayement Fty. Ltu.		IVI M	nusbri investment wanayement Limited	omiorality		Inow fork Life Hisulance company		1
						Management Limited	Ausbil Investment Management Limited Employee								
	00000	00000				Employee Share Trust	Share Trust	AUS	NIA	Ausbil Investment Management Limited	Ownership	100,000	New York Life Insurance Company	N	
						Candriam France,	Onar o 11 40 t			naobii intestinent management Emiliteu	o		The roll Life modifiance company		
						simplified joint-stock	Candriam France, simplified joint-stock			Candriam Luxembourg, partnership limited	1				
	00000	00000				company	company	FRA	NIA	by shares	Ownership	100.000	New York Life Insurance Company	N	
						Candriam Switzerland LLC,	ompany	11/10		b, onu oo	o		Ton Tonk Erro mourance company		1
						limited liability company	Candriam Switzerland LLC, limited liability			Candriam Luxembourg, partnership limited	1			1	
	00000	00000				parries	company	CHE	NI A	by shares	Ownership	100.000	New York Life Insurance Company	N	
						Candriam Belgium public				Candriam Luxembourg, partnership limited			Total Company		
	00000	00000				limited company	Candriam Belgium public limited company	BEL	NIA	by shares	Ownership	99.990	New York Life Insurance Company	N	
										-,	1		=		

## SCHEDULE Y

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											of Control	Control			
														1	
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	Croup riamo			1.002	0	Candriam Belgium public	0.7.mates			New York Life Investment Management Global	0	.ugo		(.,,,	<b>†</b>
	00000	00000				limited company	Candriam Belgium public limited company	BEL	NIA	Holdings S.á.r.l.	Ownership	0.010	New York Life Insurance Company	N	
	00000	00000				Candriam Monétaire	Candriam Monétaire	FRA	NIA	Candriam Belgium public limited company	Ownership	1.710	New York Life Insurance Company	N	
	00000	00000				Candinal Monetaire	Canul I alli monetani e	rnx	NI A		Owner Simp	1.110	aw fork Life Hisurance company		
	00000	00000				Candriam Monétaire	Candriam Monétaire	FRA	NI A	Candriam France, simplified joint-stock	Ownership	1.230	New York Life Insurance Company	N.	
	00000	00000				Candriam Monetaire	Candi iam monetaire	rna	NI A	company	Owner Strip	1.230	New fork Life insurance company	N	
	20000	00000				0 1: 010	0 1: 010	1111/		Candriam Luxembourg, partnership limited	0 1:	00.040	N V 1 1 1 1 2		
	00000	00000				Cordius CIG	Cordius CIG	LUX	NI A	by shares	Ownership	68.040	New York Life Insurance Company	N	
	00000	00000				Cordius CIG	Cordius CIG	LUX	NI A	Candriam Belgium public limited company	Ownership	15.980	New York Life Insurance Company	N	
										Candriam France, simplified joint-stock					
	00000	00000				Cordius CIG	Cordius CIG	LUX	NI A	company	Ownership	15.980	New York Life Insurance Company	N	
		1				Candriam Bonds									
						Convertible Opportunities				Candriam Luxembourg, partnership limited					
	00000	00000					Candriam Bonds Convertible Opportunities	LUX	NI A	by shares	Ownership	31.810	New York Life Insurance Company	N.	
						Candriam Alternative									
						Return Equity Market	Candriam Alternative Return Equity Market			Candriam Luxembourg, partnership limited					
	00000	00000				Neutral	Neutral	LUX	NI A	by shares	Ownership	21.160	New York Life Insurance Company	N	
						Candriam L ESG Defensive				Candriam Luxembourg, partnership limited	·				
	00000	00000				Asset Allocation	Candriam L ESG Defensive Asset Allocation	LUX	NI A	by shares	Ownership	6.000	New York Life Insurance Company	N	
	00000	00000				NYLIC HKP Member LLC	NYLIC HKP Member LLC	DE	NIA	New York Life Insurance Company	Ownership	67.974	New York Life Insurance Company	N	
										New York Life Insurance and Annuity					]
	00000	00000				NYLIC HKP Member LLC	NYLIC HKP Member LLC	DE	NIA	Corporation	Ownership	32.026	New York Life Insurance Company	N	
	00000	00000				NYLIM-GCR Fund I, LLC	NYLIM-GCR Fund I. LLC	DE	NIA	New York Life Investment Management LLC	Ownership	50.000	New York Life Insurance Company	N	1
	00000	00000				NYLIM Fund II GP, LLC	NYLIM Fund II GP. LLC	DE	NIA	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000					NYLIM Real Estate	Willim rund fr dr , LEO	DL		Now York Erro mivestment management LEO	owner strip				
	00000	00000			0001355337	Mezzanine Fund II, LP	NYLIM Real Estate Mezzanine Fund II, LP	DE	NIA	NYLIM Fund II GP, LLC	Ownership	100.000	. New York Life Insurance Company	N	
	00000	00000			000 1000007	NYLIM-TND, LLC	NYLIM-TND, LLC	DE	NIA	NYLIM Real Estate Mezzanine Fund II, LP	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				WFHG, GP LLC	WFHG, GP LLC	DE	NIA	New York Life Investment Management LLC	Ownership	50.000		N	
	00000	00000				Workforce Housing Fund I	iirno, or LLC	UE	NI A	New TOTK LITE THESTHETT Management LLC	ownership		ew fork Life Hisurance company		
	00000	00000			0001406803	- 2007, LP	Warkfares Housing Fund I 2007 ID	DE	NI A	WFHG, GP LLC	Ownership	50.000	New York Life Incurence Company	N.	
	00000				0001400003		Workforce Housing Fund I - 2007, LP	UE	NI A	IIFNG, GF LLC	Owner Strip	00.000	New York Life Insurance Company	N	
	20000	00000				Evolvence Asset	5 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		NIA	0.110.110.1	0 1:	04 500	N V I I ' C I		
	00000	00000				Management, Ltd	Evolvence Asset Management, Ltd	IND	NI A	GoldPoint Partners LLC	Ownership	24.500	New York Life Insurance Company	N	
			10 1001010							New York Life Investment Management		400 000			
	00000	00000	. 13-4091043		0001292892	GoldPoint Partners LLC	GoldPoint Partners LLC	DE	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	
		,				NYLCAP 2010 Co-Invest		25			l			1	
	00000	00000				GenPar GP, LLC	NYLCAP 2010 Co-Invest GenPar GP, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N.	
					1	NYLCAP 2010 Co-Invest					L		L	l	
	00000	00000				GenPar L.P.	NYLCAP 2010 Co-Invest GenPar L.P.	DE	NI A	NYLCAP 2010 Co-Invest GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
					1	NYLCAP 2010 Co-Invest						1			
	00000	00000				L.P	NYLCAP 2010 Co-Invest L.P.	DE	NI A	NYLCAP 2010 Co-Invest GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
					1	NYLCAP 2010 Co-Invest ECI	NYLCAP 2010 Co-Invest ECI Blocker Holdco B								
	00000	00000				Blocker Holdco B L.P	L.P	DE	NI A	NYLCAP 2010 Co-Invest L.P.	Ownership	100.000	New York Life Insurance Company	N.	
					1	NYLCAP 2010 Co-Invest ECI				NYLCAP 2010 Co-Invest ECI Blocker Holdco B					
	00000	00000				Blocker B L.P.	NYLCAP 2010 Co-Invest ECI Blocker B L.P	DE	NI A	L.P	Ownership	100.000	New York Life Insurance Company	N	
		1				NYLCAP 2010 Co-Invest ECI	NYLCAP 2010 Co-Invest ECI Blocker Holdco E								
	00000	00000				Blocker Holdco E L.P	L.P.	DE	NIA	NYLCAP 2010 Co-Invest LP	Ownership	100.000	New York Life Insurance Company	N	
				1	1	NYLCAP 2010 Co-Invest ECI				NYLCAP 2010 Co-Invest ECI Blocker Holdco E		1		1	
	00000	00000	1		1	Blocker E L.P.	NYLCAP 2010 Co-Invest ECI Blocker E L.P.	DE	NI A	L.P.	Ownership	100.000	New York Life Insurance Company	N	l
			1			NYLCAP 2010 Co-Invest ECI				NYLCAP 2010 Co-Invest ECI Blocker Holdco F					1
	00000	00000				Blocker F L.P.	NYLCAP 2010 Co-Invest ECI Blocker F L.P.	DE	NI A	IL.P.	Ownership	100.000	New York Life Insurance Company	N	
			1			NYLCAP 2010 Co-Invest ECI	NYLCAP 2010 Co-Invest ECI Blocker Holdco G								1
	00000	00000			1	Blocker Holdco G L.P.	L.P.	DE	NI A	NYLCAP 2010 Co-Invest LP	Ownership	100,000	New York Life Insurance Company	N	
	00000	00000	+			NYLCAP 2010 Co-Invest ECI	L.I	UE	NI A	NYLCAP 2010 Co-Invest EP	Owner on th				
	00000	00000			I		MVI CAD 2010 Co. Invest FCI Blacker C. I. D.	DE	ALL A	INILOAF ZUIU CO-IIIVEST ECI BIOCKET MOIDCO G	O	100.000	New York Life Incurence Com-	N.	
	υυυυυ	00000				Blocker G L.P.	NYLCAP 2010 Co-Invest ECI Blocker G L.P	DE	NIA	L.F	Ownership	100.000	New York Life Insurance Company	N	·

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											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-		Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	00000	00000			0004540540	New York Life Capital	N V 1 1 1 C O 14 1 D 4 110	DE			0 1:	400 000	N V 1 1 1 2	.,	
	00000	00000			0001513540	Partners, LLC New York Life Capital	New York Life Capital Partners, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	13-4091045		0001513533	Partners, LP	New York Life Capital Partners, LP	DE	NIA	New York Life Capital Partners, LLC	Ownership	100.000	New York Life Insurance Company	N	
			10 403 1043		0001010000	New York Life Capital	Now York Erro oupstar Farthers, Er			now fork Eric oupritur Furthers, LLO	Owner Strip		Heli Tork Erre Hisdrance company		
	00000	00000			0001293285	Partners II, LLC	New York Life Capital Partners II, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital	·								
	00000	00000			0001293286	Partners II, LP	New York Life Capital Partners II, LP	DE	NI A	New York Life Capital Partners II, LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital									
	00000	00000				Partners III GenPar GP, LLC	New York Life Capital Partners III GenPar GP,	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	M	
	00000	00000				New York Life Capital	New York Life Capital Partners III GenPar, LF	VE	NIA	New York Life Capital Partners III GenPar	Owner Strip		New fork Life insurance company	IN	
	00000	00000				Partners III GenPar, LP	New fork Life capital faithers iff delial, Li	DE	NI A	GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
			1			New York Life Capital				New York Life Capital Partners III GenPar,	omor om p		How fork Erro modification company		
	00000	00000				Partners III, LP	New York Life Capital Partners III, LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital				New York Life Capital Partners III GenPar,					
	00000	00000				Partners III-A, LP	New York Life Capital Partners III-A, LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital									
	00000	00000				Partners IV GenPar GP, LLC	New York Life Capital Partners IV GenPar GP,	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	M	
	00000	00000				New York Life Capital	New York Life Capital Partners IV GenPar, LP	VE	NIA	New York Life Capital Partners IV GenPar	Owner Strip		New fork Life insurance company	IV	
	00000	00000				Partners IV GenPar, LP	New fork Life capital faithers in delial, L	DE	NI A	GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital				New York Life Capital Partners IV GenPar,			Total Control Control Company		
	00000	00000				Partners IV, LP	New York Life Capital Partners IV, LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Capital	·			New York Life Capital Partners IV GenPar,					
	00000	00000				Partners IV-A, LP	New York Life Capital Partners IV-A, LP	DE	NI A	LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-									
	00000	00000				Investment V GenPar GP LLC	GoldPoint Partners Co-Investment V GenPar GP	DE	NI A	GoldPoint Partners LLC	Ownership	100 000	New York Life Incurence Company	M	
	00000	00000				GoldPoint Partners Co-	LLC	VE	NI A	GoldPoint Partners LLC	. Owner Strip		New York Life Insurance Company		
						Investment V GenPar. L.P.	GoldPoint Partners Co-Investment V GenPar,			GoldPoint Partners Co-Investment V GenPar					
	00000	00000					L.P.	DE	NI A	GP LLC	Ownership	100.000	New York Life Insurance Company	N	l
						GoldPoint Partners Co-				GoldPoint Partners Co-Investment V GenPar,	·		. ,		
	00000	00000	4		0001562188	Investment V, L.P.	GoldPoint Partners Co-Investment V, L.P	DE	NI A	L.P	Ownership	100.000	New York Life Insurance Company	N	
		1			1	GoldPoint Partners Co-	0.110.110.1								
	00000	00000			1	Investment V ECI Blocker Holdco A. LP	GoldPoint Partners Co-Investment V ECI Blocker Holdco A. LP	DE	NIA	ColdDaint Daythaya Co Investment V ID	O	100.000	New York Life Incurence Com	N.	
	00000	00000				GoldPoint Partners Co-	DIOCKET HOTOCO A, LP	UE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
		1			1	Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
L	00000	00000	1		1	A. LP	Blocker A. LP	DE	NI A	Blocker Holdco A. LP	Ownership	100.000	New York Life Insurance Company	N	]
			1			GoldPoint Partners Co-	, _								
		1			1	Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI								
	00000	00000				Holdco B, LP	Blocker Holdco B, LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
		1	1		1	GoldPoint Partners Co-	Octobricat Decatación Contra de la 17750			Out district Destruction of the Aut 50's					
	00000	00000			1	Investment V ECI Blocker B. LP	GoldPoint Partners Co-Investment V ECI Blocker B. LP	DE	NI A	GoldPoint Partners Co-Investment V ECI Blocker Holdco B. LP	O	100.000	New York Life Incurence Com	N.	
	00000	טטטטע	†			GoldPoint Partners Co-	DIOCKEI D, LP	VE	NI A	Diocker nordco B, LP	Ownership	100.000	New York Life Insurance Company	IN	·····
		1			1	Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI								
	00000	00000	1			Holdco C, LP	Blocker Holdco C, LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	]
						GoldPoint Partners Co-					,				
		1			1	Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
	00000	00000	.1			C, LP	Blocker C, LP	DE	NI A	Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	l

## **SCHEDULE Y**

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											Type	lf			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	5.54p	0000			0	GoldPoint Partners Co-	0.7 mmates		Linety	(Hame of Emaly): Greenly	0	.a.gc	2.11.13 (1.00)/1 0.001.1(0)	(1711)	
						Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI								
	00000	00000				Holdco D, LP	Blocker Holdco D, LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-									
						Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
	00000	00000				D, LP	Blocker D, LP	DE	NI A	Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-	0.1.0.1.0.1.0.1.1.1.1.1.1.1.1.1.1.1.1.1								
	00000	00000				Investment V ECI Blocker Holdco E. LP	GoldPoint Partners Co-Investment V ECI Blocker Holdco E. LP	DE	NIA	GoldPoint Partners Co-Investment V. LP	Ownership	100 000	New York Life Insurance Company	N	
	. 00000					GoldPoint Partners Co-	Blocker Holdco E, LP		NIA	GOTOPOTHIC PARTHERS CO-THVESTMENT V, LP	ownership		New fork Life insurance company		
							GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
	00000	00000				E. LP	Blocker E. LP	DE	NIA	Blocker Holdco E. LP	Ownership	100 000	New York Life Insurance Company	N	
						GoldPoint Partners Co-	D100101 E, E			5100K01 101000 E, El	omoromp		Tork Erro modiance company		
							GoldPoint Partners Co-Investment V ECI								
	00000	00000				Holdco F, LP	Blocker Holdco F, LP	DE	NI A	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-									
						Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
	00000	00000				F, LP	Blocker F, LP	DE	NI A	Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-									
	00000	00000				Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI	DE		GoldPoint Partners Co-Investment V ECI		400.000	N V I I I I	.,	
	00000	00000				Holdco G,LP GoldPoint Partners Co-	Blocker Holdco G,LP	DE	NI A	Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
						Investment V ECI Blocker	GoldPoint Partners Co-Investment V ECI			GoldPoint Partners Co-Investment V ECI					
	00000	00000				G.LP	Blocker G.LP	DE	NIA	Blocker Holdco F, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-	5100KG1 0,E			Brooker Herdee F, El	owner drifty		Their fork Erro modification company		
	00000	00000				Investment Fund-A, LP	GoldPoint Partners Co-Investment Fund-A, LP	DE	NIA	GoldPoint Partners Co-Investment V, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-					·				
						Investment VI GenPar GP	GoldPoint Partners Co-Investment VI GenPar GP								
	00000	00000				LLC	LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Co-									
	00000	00000				Investment VI GenPar LLC	GoldPoint Partners Co-Investment VI GenPar	DE		GoldPoint Partners Co-Investment VI GenPar		400.000	N V I I I I	.,	
	00000	00000				GoldPoint Partners Co-	LLC	DE	NI A	GP LLCGoldPoint Partners Co-Investment VI GenPar	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				Investment VI LP	GoldPoint Partners Co-Investment VI LP	DE	NI A.	GP LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP India Funding LLC	actua contrat their contrata their tribing and their contrata thei			- LLO	0011p		Their roll Elle insulance company		
	00000	00000			l		NYLCAP India Funding LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	<u>                                     </u>
						NYLIM-JB Asset Management	NYLIM-JB Asset Management Co. (Mauritius) LLC				,				
	00000	00000				Co. (Mauritius) LLC		MUS	NI A	NYLCAP India Funding LLC	Ownership	24.660	New York Life Insurance Company	N	3
		1				New York Life Investment									
		1			l	Management India Fund II,	New York Life Investment Management India			NYLIM-JB Asset Management Co. (Mauritius)			L	_ [ ]	
	00000	00000			0001356865	LLC (Mauritius)	Fund II, LLC (Mauritius)	MUS	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
		1				New York Life Investment									
		1				Management India Fund (FVCI) II. LLC	New York Life Investment Management India			New York Life Investment Management India					
	00000	00000				(Mauritius)	Fund (FVCI) II, LLC (Mauritius)	MUS	NI A	Fund II. LLC (Mauritius)	Ownership	100 000	New York Life Insurance Company	N	
		00000				NYLCAP India Funding III	i unu (i voi) II, LLO (maulittus)		NI //	i unu ii, LLO (mauiitius)	omiorality		The Tork Life Hisurance company		
	00000	00000				LLC	NYLCAP India Funding III LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N.	
						NYLIM-Jacob Ballas Asset					. ,				
		1				Management Company III,	NYLIM-Jacob Ballas Asset Management Company								
	00000	00000				(Mauritius) LLC	III, (Mauritius) LLC	MUS	NI A	NYLCAP India Funding III LLC	Ownership	24.660	New York Life Insurance Company	N	4
		1				NYLIM Jacob Ballas India							1		
		1			l	Fund III (Mauritius) LLC				NYLIM-Jacob Ballas Asset Management					
	00000	00000			0001435025		(Mauritius) LLC	MUS	NIA	Company III, LLC	Ownership	100.000	New York Life Insurance Company	N	

## SCHEDULE Y

				,						ING COMPANT					
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											Type	If			
											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC					Names of								
				l <sub>-</sub>		if Publicly Traded	Names of	ciliary	to	D: # 0 / # 11	Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						NYLIM Jacob Ballas									
						Capital India (FVCI) III	NYLIM Jacob Ballas Capital India (FVCI) III			NYLIM Jacob Ballas India Fund III					
00000		00000				(Mauritius) LLC	(Mauritius) LLC	MUS	NI A	(Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLIM Jacob Ballas India									
						(FII) III (Mauritius) LLC	NYLIM Jacob Ballas India (FII) III			NYLIM Jacob Ballas India Fund III					
00000		00000					(Mauritius) LLC	MUS	NI A	(Mauritius) LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLIM Jacob Ballas India									
00000		00000				Holdings IV	NYLIM Jacob Ballas India Holdings IV	MUS	NI A	New York Life Investment Management LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Holdings				New York Life Investment Management					
00000		00000				. (Mauritius) LLC	NYLCAP Holdings (Mauritius) LLC	MUS	NI A	Holdings LLC	Ownership	100.000	New York Life Insurance Company	N	.]
						Jacob Ballas Capital				·			1		
00000		00000				India PVT. LTD	Jacob Ballas Capital India PVT, LTD	MUS	NIA	NYLCAP Holdings (Mauritius) LLC	Ownership	23.300	New York Life Insurance Company	N	
						NYLIM Mezzanine GenPar	, , , , , , , , , , , , , , , , , , , ,			<b>3</b> , ,			]		
00000		00000			0001513541	GP, LLC	NYLIM Mezzanine GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLIM Mezzanine GenPar.									1
00000		00000			0001513539	I P	NYLIM Mezzanine GenPar, LP	DE	NIA	NYLIM Mezzanine GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Investment				THE INCLUSION OF A STATE OF A STA			Total Control Control Company		
						Management Mezzanine	New York Life Investment Management Mezzanine								
00000		00000			0001193500	Partners, LP	Partners. LP	DE	NIA	NYLIM Mezzanine GenPar. LP	Ownership	100.000	New York Life Insurance Company	N	
00000					. 0001130300	NYLIM Mezzanine Partners	Tal tilots, Li			WILLIM MCZZAITHC GCH al , Li	owner strip		Their fork Effe modifiance company		
00000		00000			0001259536	Parallel Fund LP	NYLIM Mezzanine Partners Parallel Fund LP	DE	NIA	NYLIM Mezzanine GenPar. LP	Ownership	100.000	New York Life Insurance Company	N	
00000					. 0001233330	NYLIM Mezzanine Partners	NILIW WEZZAITHE LAI THEIS LAIATTEL LUIG LI	UL		NILIM MEZZAIIIIE GEIII AI , LI	Owner strip	100.000	New Tork Life Hisurance company		
00000		00000				. II GenPar GP, LLC	NYLIM Mezzanine Partners II GenPar GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
00000						NYLIM Mezzanine Offshore	INTERN WEZZAITHE FAITHEIS IT GEHLAT GI, LEG	UL		NYLIM Mezzanine Partners II GenPar GP. LLC	- Owner Simp	100.000	New Tork Life Hisurance company		
00000		00000				Partners II, LP	NYLIM Mezzanine Offshore Partners II. LP	CYM	NIA	INILIM MEZZAITHE LAITHEIS II GEHLAI GI, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYLIM Mezzanine Partners	INTELIM MEZZAITHE UTISHOTE FAITHEIS IT, LF			NYLIM Mezzanine Partners II GenPar GP, LLC	Owner Simp	100.000	. New fork Life Hisurance company		
00000		00000				. II GenPar, LP	NYLIM Mezzanine Partners II GenPar, LP	DE	NIA	INILIM MEZZAIIIIE FAI LIEIS II GEIFAI GF, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				New York Life Investment	INTLIM Mezzanine Partners II GenPar, LP	UE	NIA		Ownership	100.000	New fork Life insurance company		
						Management Mezzanine	New York Life Investment Management Mezzanine								
00000		00000						DE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100 000	New York Life Incurence Commence	N	
00000		00000				Partners II, LP	Partners II, LP	UE	NIA	NILIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company		
00000		00000			0001007005	NYLIM Mezzanine Partners	NYLIM Mezzanine Partners II Parallel Fund, LF	DE	NIA	NVI IN Manageria - Dankara - II Oanbar - ID	0	100 000	New Years Life Languages Comment	N.	
00000		00000			0001387095	II Parallel Fund, LP		UE	NIA	NYLIM Mezzanine Partners II GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
00000		00000				NYLIM Mezzanine II		1.10/		NYLIM Mezzanine Partners II Parallel Fund,	0 1:	400 000	lu v i i i o		
00000		00000	t			Parallel Luxco S.a.r.l	NYLIM Mezzanine II Parallel Luxco S.a.r.l	LUX	NIA	NVI III Managaria da Danalla Langua	Ownership	100.000	New York Life Insurance Company	N	
00000		00000		1		V-1 II-14 144	Walter Halder LAd	CAN	NII A	NYLIM Mezzanine II Parallel Luxco S.a.r.l.	0	07.000	New Years Life Lawrence Occur		
00000		00000	ł		-	Voice Holdco Ltd.	Voice Holdco Ltd.	CAN	NI A		Ownership	27.000	New York Life Insurance Company	N	
				1		NYLCAP Mezzanine Partners	NYLCAP Mezzanine Partners III GenPar GP, LLC	25		0.18.18.1	l	400	L		1
00000		00000	ł			. III GenPar GP, LLC		DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
				1		NYLCAP Mezzanine Offshore		25		NYLCAP Mezzanine Partners III GenPar GP,	l	400	L		1
00000		00000	ļ			Partners III, LP	NYLCAP Mezzanine Offshore Partners III, LP	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
				1		NYLCAP Mezzanine Partners				NYLCAP Mezzanine Partners III GenPar GP,	I		L		1
00000		00000	ļ			. III GenPar, LP	NYLCAP Mezzanine Partners III GenPar, LP	DE	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
				1		NYLCAP Mezzanine Partners					1.		1		1
00000		00000	ł			. III-K, LP	NYLCAP Mezzanine Partners III-K, LP	DE	NI A	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		1		1		NYLCAP Mezzanine Partners								1	
00000		00000	ļ		. 0001482545	. III, LP	NYLCAP Mezzanine Partners III, LP	DE	NI A	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
		1		1		NYLCAP Mezzanine Partners	NYLCAP Mezzanine Partners III Parallel Fund,							1	
00000		00000			. 0001539552	. III Parallel Fund, LP	LP	DE	NI A	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
				1			NYLCAP Mezzanine Partners III 2012 Co-Invest,						1		1
00000		00000	l			. III 2012 Co-Invest, LP	LP	DE	NI A	NYLCAP Mezzanine Partners III GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
I		1		1		NYLCAP Mezzanine Partners					·		1	1	
					•			1	1	100 01D 11 1 D 1 111 0010 0	1	1		1	1
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest	DE		NYLCAP Mezzanine Partners III 2012 Co-					

## **SCHEDULE Y**

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											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
						NYLCAP Mezzanine Partners									
	00000	00000				III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest	DE	AU A	NYLCAP Mezzanine Partners III 2012 Co-	0	100,000	New Years Life Lawrence Occurren	N	
	00000	00000				Blocker Holdco B, LP NYLCAP Mezzanine Partners	ECI Blocker Holdco B, LP	UE	NI A	Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
	00000	00000				Blocker B, LP	ECI Blocker B. LP	DE	NI A	Invest ECI Blocker Holdco B. LP	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners									
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
	00000	00000				Blocker Holdco C, LP	ECI Blocker Holdco C, LP	DE	NI A	Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners									
	00000	00000				III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest	DE		NYLCAP Mezzanine Partners III 2012 Co-		400 000	N V I I C I		
	00000	00000				Blocker C, LP	ECI Blocker C, LP	DE	NI A	Invest ECI Blocker Holdco C, LP	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
	00000	00000				Blocker Holdco D, LP	ECI Blocker Holdco D, LP	DE	NIA	Invest. LP	Ownership	100 000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners				111100t, E	owner on p		Their fork Effe moditance company		
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
	00000	00000				Blocker D, LP	ECI Blocker D, LP	DE	NI A	Invest ECI Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners									
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-		400.000			
	00000	00000				Blocker Holdco E, LP NYLCAP Mezzanine Partners	ECI Blocker Holdco E, LP	DE	NI A	Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
	00000	00000				Blocker E. LP	ECI Blocker E. LP	DE	NIA	Invest ECI Blocker Holdco E. LP	Ownership	100 000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners							The roll 2110 modifies company		
						III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest			NYLCAP Mezzanine Partners III 2012 Co-					
	00000	00000				Blocker Holdco F,LP	ECI Blocker Holdco F,LP	DE	NI A	Invest, LP	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Mezzanine Partners									
	00000	00000				III 2012 Co-Invest ECI	NYLCAP Mezzanine Partners III 2012 Co-Invest ECI Blocker F. LP	DE	AU A	NYLCAP Mezzanine Partners III 2012 Co- Invest ECI Blocker Holdco F.LP	Ownership	100,000	New York Life Insurance Company	N	
	. 00000	00000				Blocker F, LP GoldPoint Mezzanine	EUI BIOCKEI F, LF	UE	NI A	Invest Ect blocker notaco F,LF	Owner Ship	100.000	New fork Life insurance company		
						Partners IV GenPar GP,	GoldPoint Mezzanine Partners IV GenPar GP,								
	00000	00000				LLC	LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Mezzanine									
					1	Partners Offshore IV,	GoldPoint Mezzanine Partners Offshore IV,			GoldPoint Mezzanine Partners IV GenPar GP,					
	00000	00000				L.P	L.P.	CYM	NI A	LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		1	1	GoldPoint Mezzanine	O-LiDeint Hamming Dantons IV O. S. LS	DE	AU A	GoldPoint Mezzanine Partners IV GenPar GP,	0	100.000	New Yearly Life Incomes on Com		
	00000	00000				Partners IV GenPar LP GoldPoint Mezzanine	GoldPoint Mezzanine Partners IV GenPar LP	DE	NI A	LLV	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		1	1	Partners IV, LP	GoldPoint Mezzanine Partners IV, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP .	Ownership	100 000	New York Life Insurance Company	N	
						GPP Mezzanine Blocker	Some office modelatino rate titolo 17, El			asia sint mozzanno rartnois ir don al Li .			ork Erro modianoc company		1
	00000	00000				Holdco A, LP	GPP Mezzanine Blocker Holdco A, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
						GPP Mezzanine Blocker	GPP Mezzanine Blocker Holdco Preferred A, LP								
	00000	00000				Holdco Preferred A, LP		DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		1	1	GPP Mezzanine Blocker A,	000 H	DE		000 4	l	7 500	N V 1 1 1 2		
	00000	00000				GPP Mezzanine Blocker A,	GPP Mezzanine Blocker A, LP	DE	NI A	GPP Mezzanine Blocker Holdco A, LP	Ownership		New York Life Insurance Company	N	
	00000	00000		1	1	ID	GPP Mezzanine Blocker A, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	92.500	New York Life Insurance Company	N	
						GPP Mezzanine Blocker	WIT MOZZATINO DIOUNOI A, LI			dora ont mozzanino rartiforo 11, Li	omor only		The Tork Erro modiance company		
	00000	00000				Holdco B, LP	GPP Mezzanine Blocker Holdco B, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
						GPP Mezzanine Blocker B,									
	00000	00000				LP	GPP Mezzanine Blocker B, LP	DE	NI A	GPP Mezzanine Blocker Holdco B, LP	Ownership	4.400	New York Life Insurance Company	N	
				1	1	GPP Mezzanine Blocker B,					1.				
	00000	00000				LP	GPP Mezzanine Blocker B, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	95.600	New York Life Insurance Company	N	

## **SCHEDULE Y**

					M X I I I I	4 - DE I AI	L OF INSURANC	/L	IOLL	ING COMPANI	SISILIVI				
1	2	3	4	5	6	7	8	9	10	11	_12	13	14	15	16
											Туре	If			
											of Control	Control			
											(Ownership,	is		Is an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
	·					GPP Mezzanine Blocker				•	,		, , , , , ,	, , , , ,	
	00000	00000				Holdco C, LP	GPP Mezzanine Blocker Holdco C, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
						GPP Mezzanine Blocker C,									
	00000	00000				LP	GPP Mezzanine Blocker C, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
						GPP Mezzanine Blocker									
	00000	00000				Holdco D, LP	GPP Mezzanine Blocker Holdco D, LP	DE	NI A	GoldPoint Mezzanine Partners IV, LP	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				GPP Mezzanine Blocker D,	CDD Manageria - Blacker D. I.D.	DE	NII A	CDD Manageria - Disaban Haldas D. I.D.	0	100.000	New Years Life Leaveners Communication	NI.	
						GoldPoint Mezzanine	GPP Mezzanine Blocker D, LP	VE	NI A	GPP Mezzanine Blocker Holdco D, LP	Ownership	100.000	New York Life Insurance Company	N	
						Partners Co-Investment	GoldPoint Mezzanine Partners Co-Investment								
	00000	00000				Fund A, LP	Fund A, LP	DE	NIA	GoldPoint Mezzanine Partners IV GenPar LP	Ownership	100.000	New York Life Insurance Company	N	
			1			NYLCAP Select Manager				The second secon					1
	00000	00000		.	.	GenPar GP, LLC	NYLCAP Select Manager GenPar GP, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Select Manager							,		
	00000	00000				GenPar, LP	NYLCAP Select Manager GenPar, LP	DE	NI A	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Select Manager									
	00000	00000			. 0001441093	Fund, LP	NYLCAP Select Manager Fund, LP	DE	NI A	NYLCAP Select Manager GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Select Manager		0.01				400.000			
	00000	00000				Cayman Fund, LP NYLCAP Canada GenPar,	NYLCAP Select Manager Cayman Fund, LP	CYML	NI A	NYLCAP Select Manager GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				Inc	NYLCAP Canada GenPar, Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NYLCAP Select Manager	NILON GARAGA GERRAL, THE.			dorui offic fai thers LLO	Owner strip		INEW TOTK LITE HISUTAINCE COMPANY		
	00000	00000				Canada Fund, LP	NYLCAP Select Manager Canada Fund, LP	CAN	NI A	NYLCAP Canada GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Select Manager II									
	00000	00000				GenPar GP, LLC	NYLCAP Select Manager II GenPar GP, LLC	DE	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Select Manager II									
	00000	00000				GenPar GP, L.P	NYLCAP Select Manager II GenPar GP, L.P	CYM	NI A	NYLCAP Select Manager II GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						NYLCAP Select Manager									
	00000	00000			0001520743	Fund II, L.P.	NYLCAP Select Manager Fund II, L.P	CYM	NI A	NYLCAP Select Manager II GenPar GP, LP	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NYLCAP Canada II GenPar, Inc.	NYLCAP Canada II GenPar. Inc.	CAN	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				NYLCAP Select Manager	NILON CANAGA II CENIAI, INC.	Univ		dorui onit i ai theis LLO	Owner strip		INEW TOTK LITE HISUTAINCE COMPANY		
	00000	00000				Canada Fund II, L.P.	NYLCAP Select Manager Canada Fund II, L.P	CAN	NIA	NYLCAP Canada II GenPar, Inc.	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Select	,			,					
						Manager III GenPar GP,	GoldPoint Partners Select Manager III GenPar								
	00000	00000			.	LLC	GP, LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	ļ
						GoldPoint Partners Select									
	00000	00000				Manager III GenPar, L.P.	GoldPoint Partners Select Manager III GenPar,	OVAL	NII A	GoldPoint Partners Select Manager III	0	100.000	No. Vonta Life Language Com		
	00000	00000	ł			CaldDaint Dautnaua Calaat	L.P.	CYM	NI A	GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				GoldPoint Partners Select Manager Fund III, L.P	GoldPoint Partners Select Manager Fund III, L.P.	CYM	NIA	GenPar. LP	Ownership	100.000	New York Life Insurance Company	N	
		00000	1			GoldPoint Partners Select	L.1 .	V   WL			omioranip		Their fork Erre mourance company		1
						Manager Fund III AIV,	GoldPoint Partners Select Manager Fund III			GoldPoint Partners Select Manager III					
	00000	00000		.	.	L.P	AIV, L.P.	DE	NI A	GenPar, LP	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Canada					·		. ,		
	00000	00000				III GenPar, Inc	GoldPoint Partners Canada III GenPar, Inc	CAN	NI A	GoldPoint Partners LLC	Ownership	100.000	New York Life Insurance Company	N	
						GoldPoint Partners Select									
	00000	00000				Manager Canada Fund III,	GoldPoint Partners Select Manager Canada Fund		NII A	ColdDeiod Denous Consider III ConD	0	100.000	No. Vonta Life Language Com		
	00000	00000				L.P GoldPoint Private Credit	III, L.P	CAN	NI A	GoldPoint Parners Canada III GenPar, Inc	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				GenPar GP, LLC	GoldPoint Private Credit GenPar GP. LLC	DE	NIA	GoldPoint Partners LLC	Ownership	100,000	New York Life Insurance Company	N	
						GoldPoint Private Credit	dorar office from the domain of , LLO	UL		dora onic rai tiloro ello	. omior only		Tron Tork Erro Hisurance company		
	00000	00000	l			Fund, LP	GoldPoint Private Credit Fund, LP	DE	NI A	GoldPoint Private Credit GenPar GP, LLC	Ownership	100.000	New York Life Insurance Company	N	<u></u>
		,	,	,											

## SCHEDULE Y

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											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-			Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary			Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-		Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Couc	Group rearrie	Oodc	Number	ROOD	Ont	MCF Mezzanine Carry I LLC	Of Admidles	tion	Littly	(Ivaine of Entity/I craon)	Guici)	lage	Entity(ica)/i craon(a)	(1/14)	
	00000	00000				mor mczzannie odriy i ELO	MCF Mezzanine Carry I LLC	DE	NI A	Madison Capital Funding LLC	Other	0.000	New York Life Insurance Company	N	1
	. 00000					MCF Mezzanine Fund I LLC	mor mezzannie odri y i EEO	DL		madison capital runding LLC	other		Tork Erre mourance company		
	00000	00000					MCF Mezzanine Fund I LLC	DE	NI A	New York Life Insurance Company	Ownership	66.670	New York Life Insurance Company	N	J
						MCF Mezzanine Fund I LLC				New York Life Insurance and Annuity	,				
	00000	00000					MCF Mezzanine Fund I LLC	DE	NI A	Corporation	Ownership	33.330	New York Life Insurance Company	N	
						Montpelier Carry Parent,									
	. 00000	00000				LLC	Montpelier Carry Parent, LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				Montpelier Carry, LLC	Montpelier Carry, LLC	DE	NIA	Montpelier Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	·
	00000	00000	00.0000400		0004570004	Montpelier GP, LLC	Montpelier GP, LLC	DE	NI A	Madison Capital Funding LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000	90-0938480		0001570694	Montpelier Fund, L.P.	Montpelier Fund, L.P.	DE	NIA	Montpelier GP, LLC	Ownership	100.000	New York Life Insurance Company	N	·
	00000	00000				NYLIM Flatiron CLO 2004-1 Ltd.	NYLIM Flatiron CLO 2004-1 Ltd	CYM	0TH	New York Life Insurance Company	Influence	0.000	Now York Life Incurence Com-on:	N	-
	. 00000	טטטטע	†			NYLIM Flatiron CLO 2004-1	INTERM FIATIFOR GEO 2004-1 Ltd.	1WL	Jnir	INCH TOTK LITE TRISULANCE COMPANY	Infruence	0.000	New York Life Insurance Company	NL	5
		1				Equity Holdings LLC,	NYLIM Flatiron CLO 2004-1 Equity Holdings		1						
	00000	00000				Series A	LLC, Series A	DE	OTH	NYLIM Flatiron CLO 2004-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
						NYLIM Flatiron CLO 2006-1	220, 001100 //						Total Control Moderation Company		
	00000	00000				Ltd	NYLIM Flatiron CLO 2006-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
						NYLIM Flatiron CLO 2006-1									
						Equity Holdings LLC,	NYLIM Flatiron CLO 2006-1 Equity Holdings								
	00000	00000				Series A	LLC, Series A	DE	DTH	NYLIM Flatiron CLO 2006-1 Ltd	Influence	0.000	New York Life Insurance Company	N	5
						Flatiron CLO 2007-1 Ltd.									
	00000	00000					Flatiron CLO 2007-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
						NYLIM Flatiron CLO 2007-1	NW IN EL 1: 010 0007 4 E :4 II II:								
	00000	00000				Equity Holdings LLC, Series A	NYLIM Flatiron CLO 2007-1 Equity Holdings LLC, Series A	CYM	OTH	NYLIM Flatiron CLO 2007-1 Ltd.	Influence	0.000	New York Life Insurance Company	N	5
	. 00000	90000				Flatiron CLO 2011-1 Ltd.	LLO, Series A	UTWL	חוע	NYLIM FIATIFOR GLO 2007-1 Ltd.	Infruence		New fork Life insurance company	N	G
	00000	00000				TIACITOII OLO ZOTI-I LIU.	Flatiron CLO 2011-1 Ltd.	CYM	отн	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
						Flatiron CLO 2012-1 Ltd.				lion for Erro modiano company			Ton Ton 2110 modranes company		
	00000	00000					Flatiron CLO 2012-1 Ltd.	CYM	OTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
						Flatiron CLO 2013-1 Ltd.				. ,			• •		
	00000	00000					Flatiron CLO 2013-1 Ltd	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
		1				Flatiron CLO 2014-1 Ltd.			1						
	00000	00000					Flatiron CLO 2014-1 Ltd.	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
	00000	00000				Flatiron CLO 2014-1 Ltd.	Flating 010 0044 4 14 1	0)44	OTIL	New York Life Learner 2	lations.	0.000	New Years Life Laws 2		_
	00000	00000				Flatina Ol O 17 Ltd	Flatiron CLO 2014-1 Ltd.	CYM	0TH	New York Life Insurance Company New York Life Insurance Company	Influence	0.000	New York Life Insurance Company New York Life Insurance Company	NN	ģ
	00000	00000				Flatiron CLO 17 Ltd Flatiron CLO 2015-1 Ltd	Flatiron CLO 2015-1 Ltd	CYM	DIH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5 5
	00000	00000	1			Stratford CDO 2001-1 Ltd.		VIWL	νιπ	I well for Life Hisulance company	I III Tuelice	000. ע	Times fork Life insurance company		V
	00000	00000				C. ationa obo 2001 i Eta.	Stratford CDO 2001-1 Ltd.	CYML	0TH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
			1			Silverado CLO 2006-II	200 200 1 200						The state of the s		
	00000	00000		4007034		Limited	Silverado CLO 2006-II Limited	CYM	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	5
						Silverado CLO 2006-II				. ,			,		
		1				Equity Holdings LLC,	Silverado CLO 2006-II Equity Holdings LLC,		1						
	. 00000	00000				Series A	Series A	CYM	DTH	Silverado CLO 2006-II Limited	Influence	0.000	New York Life Insurance Company	N	5
	00000	00000				New York Life Funding	New York Life Funding	CYM	DTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
	00000	00000				New York Life Global	New Years Life Olekel Franchise	DE	OTIL	New York Life Learning Community	046	0.000	New Years Life Learning Com-	N.	_
	00000	00000				Funding	New York Life Global Funding	DE	DTH	New York Life Insurance Company	Other	0.000	New York Life Insurance Company	N	6
	. 00000	00000				Martingale Road LLC UFI-NOR Federal	Martingale Road LLC	UE	NI A	New York Life Insurance Company	Ownership	100.000	New York Life Insurance Company	N	
		1					UFI-NOR Federal Receivables Trust, Series		1						
	00000	00000				2009B	2009B	NY	0TH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
			1			Government Energy Savings			J	The Total Life modulation company	Tim radio		Total Life insulation company		
	00000	00000	1			Trust 2003-A	Government Energy Savings Trust 2003-A	NY	DTH	New York Life Insurance Company	Influence	0.000	New York Life Insurance Company	N	7
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## SCHEDULE Y

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Name of Security   Name of Sec	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Name of Genzalina   Part   P										-		Type	If			
Company   Comp																
Name															la an	
Company   Department   Process   P										<b>.</b>			-			
Code   Code															SCA	
Corpus   C										ship			ship		Filing	
Corpus   C			NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Code   Group Name   Code   Number   RSSD   OIK   Informational   OIK   Complete   Insure   OIK   Complete   Insure   OIK   O	Group		Company	ID	Federal			Parent, Subsidiaries		Reporting	Directly Controlled by		Percen-	Ultimate Controlling	quired?	
		Group Name		Number	RSSD	CIK		Or Affiliates					tage			*
Mode   Mode		Group Harris	0000			0		0.7.mates			(Hamber Ematyre ereen)	0	go		(1711)	1
Month   Company   Month   Month   Company   Mo		00000	00000					NVI Equipment Issuance Trust	ne	∩TH	Now York Life Incurance Company	Influence	0.000	New York Life Incurance Company	N	7
Decomposition   Process		00000	00000					WIL Equipment issuance irust	UL		livew fork Life insurance company	. Illi i delice				
March   Marc		00000	00000					NVI Fautament Leguanes Trust 2014 2	DE	OTL	New York Life Incurence Company	Influence	0.000	New York Life Incurence Commence	M	7
COUGN		00000	00000				Trust 2014-2	NTL Equipment issuance trust 2014-2	VE	וע	New York Life Insurance Company	. Intruence	0.000	New fork Life insurance company	IN	
2000   2000		20000	00000	E4 40007E4		0004005444	D : 4 41 : 110	D : 4 41 : 110	DE				05 050	N V 1 1 1 1 0	.,	
Mode   Mode				54-1886/51		0001065114			UE						N	
0000									UE							
0000							PACIF Carry, LLC	PACIF Carry, LLC	DE		PACIF Carry Parent, LLC					
0000		00000	00000					PACIF GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
Post																
MODIO   MODI		00000	00000			0001368975		Private Advisors Coinvestment Fund, LP	DE	NI A	PACIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
Month   Mont																
Month   Mont									DE							
Private Advisors Connectant Fund (I), UP   Friest Advisors Connectant Fund (I), UP   Friest Advisors Connectant Fund (I), UP   Friest Advisors Connectant Fund (I), UP   Friest Advisors Connectant Fund (I), UP   Friest Advisors Connectant Fund (I), UP   Friest Advisors Connectant Fund (II), UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant III, UP   Friest Advisors Connectant			00000				PACIF II Carry, LLC	PACIF II Carry, LLC							N	
Prints Advisors Connected Fund II   UP   First Advisors Connected Fund II   UP   First Advisors Connected Fund II   UP   First Advisors LLC   Connecting   100,000   Res York Life Insurance Corpusty   N.		00000	00000				PACIF II GP, LLC	PACIF II GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	. New York Life Insurance Company	N	
Month   Mont							Private Advisors									
Month   Mont							Coinvestment Fund II. LP									
Month   Mont		00000	00000			0001489910		Private Advisors Coinvestment Fund II. LP	DE	NI A	PACIF II GP. LLC	Ownership	100.000	New York Life Insurance Company	N	
00000							PACIF III Carry Parent.					·		' '		
Month   Mont		00000	00000					PACIF III Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
Dools   Dool		00000						PACIF III Carry IIC	DF						N	
Dools   Dool								PACIF III GP IIC	DE							
00000								Then I'll di, LEC			TTTVACO NAVIGOTO ELO	owner on ip		Tork Erro modrano company		
00000   00000   00000   00000   00000   00000   00000   000000																
00000		00000	00000	46 1260141		0001562275	Convestment rund iii, Li	Private Advisors Coinvestment Fund III IP	ne ne	NI A	DACIE III CD II C	Ownership	100 000	New York Life Incurence Company	N	
Description				40-1300141		0001302373	DACIE IV CD II C	DACIE IV CD IIC			Private Advisors IIC	Ownership	100.000	New York Life Insurance Company	NI	
Double		00000	90000					PACIF IV UP, LLC	UE	NI A	Private Advisors LLC	Owner Strip	100.000	New fork Life insurance company	IN	
00000																
Description   Description							Coinvestment Fund IV, LP				D.O.F. W. OB. 11.0		400.000			
00000		00000	00000			0001646588		Private Advisors Coinvestment Fund IV, LP	DE	NI A	PACIF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
March   Marc																
March   Marc								PACIF IV Carry Parent, LLC	DE	NI A	Private Advisors LLC				N	
Private Advisors Small Company Coinvestment Fund, LP											PACIF IV Carry Parent, LLC					
Company Coinvestment   Fund, P   Private Advisors Small Company Coinvestment   Fund, P   Private Advisors Small Company Coinvestment   Fund, P   Private Advisors Small Company Coinvestment   Company Coinvestment   Fund, P   Private Advisors Small Company Coinvestment   Company Coinvestment   Company Coinvestment   Fund, P   Private Advisors Small Company Coinvestment   Company Coinvest		00000	00000					PASCCIF GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
Company Coinvestment Fund   Fund, IP   Fund, IP   Fund, IP   Fund   Fu						1									1	
Private Advisors Small   Company Coinvestment Fund   Company Coinvestment Fund   Private Advisors Small Company Coinvestment   Company Coinvestment   Private Advisors Small   Company Coinvestment   Private Advisors Small   Private Advisors Small   Private Advisors Small   Company Suyout Fund   Private Advisors Small			1					Private Advisors Small Company Coinvestment							1	
Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Coinvestment Fund   Private Advisors Small Company Description   DE MIA Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   Private Advisors Small Company Buyout Fund   III, LP   Private Advisors Small Company Buyout Fund   III, LP   Private Advisors Small Company Buyout Fund   III, LP   Private Advisors Small Company Buyout Fund   III, LP   DE MIA Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   N   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   N   Priv		00000	00000					Fund, LP	DE	NI A	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	ļ
March   Company Buyout Fund   Company Buyo						1									1	
March   Company Buyout Fund   Company Buyo	1		I			I	Company Coinvestment Fund	Private Advisors Small Company Coinvestment	1						1	
PASCCIF Carry Parent, LLC		00000	00000				ERISA, LP	Fund ERISA, LP	DE	NI A	PASCCIF GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
00000			1													
Description   Description	l	00000	00000			1	,,	PASCCIF Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100,000	New York Life Insurance Company	N	
Private Advisors Small   Company Buyout Fund   II,   L.P.   DE   NIA   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N.							PASCCIF Carry IIC	PASCCIF Carry, LLC							N	
Company Buyout Fund   II, L.P.				I										2110 modification company		1
	1		I			I		Private Advisors Small Company Rusout Fund	1						1	
D0000		00000	00000			0001279593			n=	NΙΛ	Private Advisors IIC	Ownership	100 000	New York Life Insurance Company	N	
Private Advisors Small   Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Small C						000 12/0000		DASCRE III CD IIC								
Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company Buyout Fund   III,   Private Advisors Small Company		00000	00000					I NOUDI III UF, LLU	VE	NI A	ITTIVATE MUVISUIS LLU	owigi aliih		TOTA LITE ITISUTANCE COMPANY	INL	
00000			I			I		Dainete Adriana Onell Oranan Br. 4 5	1						1	
PASCBF IV Carry Parent,   U.C.   PASCBF IV Carry Parent, LLC   DE NIA   Private Advisors LLC   Ownership   100.000   New York Life Insurance Company   N   N   N   N   N   N   N   N   N	1	00000	00000			0004074004	company Buyout Fund III,		DE	NII A	DAGGE III OD III O	0	100.000	New Years Life Learners Orm		
00000		00000	00000			00013/4891	LP	III, LP	UE	NI A	PASCBF III GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						1	PASCBF IV Carry Parent,	Diagram W. a. B			la	l	40			
							LLC		DE						N	
00000																
		00000	00000				PASCBF IV GP, LLC	PASCBF IV GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	

## **SCHEDULE Y**

				FAI	ri i	A - DE I A	IL OF INSURANC	J⊑ I	TULL		ISISIEW				
1	2	3	4	5	6	7	8	9	10	11	12 Type of Control	13 If Control	14	15	16
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board,	Owner-		SCA	
						Exchange		Domi-	ship		Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary	to		Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.Ś. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence,	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
					-	Private Advisors Small				(France or Entroyer errorry		10.90	=::::5(:::5)::::::(0)		1
						Company Buyout Fund IV,	Private Advisors Small Company Buyout Fund								
	00000	00000			0001442524	LP	IV. LP	DE	NIA	PASCBF IV GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						PASCBF V Carry Parent,	, =			, ===					1
	00000	00000				LLC	PASCBF V Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				PASCBF V Carry, LLC	PASCBF V Carry, LLC	DE	NI A	PASBF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000				PASCBF V GP, LLC	PASCBF V GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Private Advisors Small									
						Company Buyout Fund V, LF	Private Advisors Small Company Buyout Fund								
		00000			0001537995		. V, LP	DE	NI A	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						Private Advisors Small									
							Private Advisors Small Company Buyout V -								
	00000	00000		.   (	0001576987	Fund, LP	ERISA Fund, LP	DE	NI A	PASCBF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						PASCPEF VI Carry Parent,									
	00000	00000				LLC	PASCPEF VI Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership		New York Life Insurance Company	N	
		00000				PASCPEF VI Carry, LLC	PASCPEF VI Carry, LLC	DE	NI A	PASCPEF VI Carry Parent, LLC	Ownership		New York Life Insurance Company	N	
		00000				PASCPEF VI GP, LLC	PASCPEF VI GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Private Advisors Small									
						Company Private Equity	Private Advisors Small Company Private							l	
	00000	00000		.     (	0001595889	Fund VI, LP	Equity Fund VI, LP	DE	NI A	PASCPEF VI GP, LLC	Ownership	100.000	New York Life Insurance Company	.  N	
						Private Advisors Small									
	00000	00000		l l	0004005054	Company Private Equity	Private Advisors Small Company Private	0)///	NII 4	DAGGREE VI OR LLO	0 1:	400 000	N V 1 1 1 1 2	١.,	
	00000	00000		-     (	0001635254	Fund VI, LPPASCPEF VII GP, LLC	Equity Fund VI, LPPASCPEF VII GP. LLC	CYM DE	NIA	PASCPEF VI GP, LLC	Ownership Ownership		New York Life Insurance Company	- N	
		00000				Private Advisors Small	PASCPER VII GP, LLC	UE	NIA	Private Advisors LLC	Uwnersnip	100.000	New York Life Insurance Company	N	
						Company Private Equity	Private Advisors Small Company Private								
	00000	00000				Fund VII, LP	Equity Fund VII, LP	DE	NI A	PASCPEF VII GP. LLC	Ownership	100,000	New York Life Insurance Company	N	
	00000					PASCPEF VII Carry Parent.	Legarty rand vii, Li			TAGGILI VIII GI , ELG	omici sirip		THE TOTAL LITE THIS GRADE COMPANY		
	00000	00000				LLC	PASCPEF VII Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100 000	New York Life Insurance Company	N	
	00000	00000				PASCPEF VII Carry, LLC	PASCPEF VII Carry, LLC	DE	NI A	PASCPEF VII Carry Parent, LLC	Ownership		New York Life Insurance Company	N	
						PA Real Assets Carry							Ton Ton 2110 mod and Company		1
	00000	00000				Parent, LLC	PA Real Assets Carry Parent, LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						PA Real Assets Carry, LLC					,				
	00000	00000	ļ	.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	PA Real Assets Carry, LLC	DE	NI A	PA Real Assets Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	.]
1						PA Emerging Manager Carry						1			1 ]
	00000	00000	ļ	.		Parent, LLC	PA Emerging Manager Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	.
						PA Emerging Manager									
	00000	00000		.		Carry, LLC	PA Emerging Manager Carry, LLC	DE	NI A	PA Emerging Manager Carry Parent, LLC	Ownership		New York Life Insurance Company	N	
		00000				RIC I GP, LLC	RIC I GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Richmond Coinvestment									
	00000	00000				Partners I, LP	Richmond Coinvestment Partners I, LP	DE	NI A	RIC I GP, LLC	Ownership		New York Life Insurance Company	N	
	00000	00000		-			RIC   Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership		New York Life Insurance Company	N	4
	00000	00000		-		RIC I Carry, LLC	RIC I Carry, LLC	DE	NI A	RIC I Carry Parent, LLC	Ownership		New York Life Insurance Company	N	
		00000		-		PARAF GP, LLC	PARAF GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Private Advisors Real				L			L	l	
		00000				Assets Fund, LP	Private Advisors Real Assets Fund, LP	DE	NI A	PARAF GP, LLC	Ownership		New York Life Insurance Company	.  N	
	00000	00000					PARAF Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership		New York Life Insurance Company	N	
	00000	00000	+	-		PARAF Carry, LLC	PARAF Carry, LLC	DE	NI A	PARAF Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	4
	00000	00000		0000404			Cuyahoga Capital Partners I Management	DE	NII A	D		400.000	N V I I I I	.,	
	00000	00000	+	. 3328161		I Management Group, LLC		DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000		0504050		Cuyanoga Capital Partners	Cuyahoga Capital Partners II Management	D=	ALL A	Daissets Advisors II C	0	100 000	New Years Life Leave 2		
		00000	.1	. 3594658		II Management Group LLC	_ uroup_LLU	DE	NI A	Private Advisors LLC	Ownership	1	New York Life Insurance Company		.1

## SCHEDULE Y

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
•	_		-	_			_				Type	If			
											of Control	Control			
											(Ownership,	is		ls an	
						Name of Securities			Relation-		Board.	Owner-		SCA	
						Exchange		Domi-			Management,	ship		Filing	
		NAIC				if Publicly Traded	Names of	ciliary			Attorney-in-Fact,	Provide		Re-	
Group		Company	ID	Federal		(U.S. or	Parent, Subsidiaries	Loca-	Reporting	Directly Controlled by	Influence.	Percen-	Ultimate Controlling	quired?	
Code	Group Name	Code	Number	RSSD	CIK	International)	Or Affiliates	tion	Entity	(Name of Entity/Person)	Other)	tage	Entity(ies)/Person(s)	(Y/N)	*
Jouc	Group Ivanic	Oouc	IVallibei	TOOD	Oiix	Cuvahoga Capital Partners		tion	Linuty	(Ivallie of Entity/I croon)	Other)	lage	Littly(les)/1 erson(s)	(1/14)	<u> </u>
							Cuyahoga Capital Partners III Management								
	00000	00000		3729106		TTT management aroup E20	Group LLC	DE	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Cuyahoga Capital Partners	Cuyahoga Capital Partners IV Management								
	00000	00000				IV Management Group LLC		DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
	00000	00000					PASF V GP, LLC	DE	NI A	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
						Private Advisors									
	00000	00000			. 0001646590		Private Advisors Secondary Fund V, LP	DE	NI A	PASF V GP, LLC	Ownership	100.000	New York Life Insurance Company	N	
						PASF V Carry Parent, LLC									
	00000	00000					PASF V Carry Parent, LLC	DE	NI A	Private Advisors LLC	Ownership		New York Life Insurance Company	N	
	00000	00000					PASF V Carry, LLC	DE	NIA	PASF V Carry Parent, LLC	Ownership	100.000	New York Life Insurance Company	N	
						Cuyahoga Capital Emerging	On the control of the Landson Country Broad-								
	00000	00000		3835342		Buyout Partners Management Group LLC	Cuyahoga Capital Emerging Buyout Partners Management Group LLC	DE	NIA	Private Advisors LLC	Ownership	100 000	New York Life Insurance Company	N	
	00000	00000		3033342			UVF GP. LLC	DF	NIA	Private Advisors LLC	Ownership		New York Life Insurance Company	N	
	00000	00000				Undiscovered Value Fund.	OVI GI , LEC			TTTVATE AUVISOIS LEC	Ownership		liver fork Life insurance company		
	00000	00000			0001605509	I P	Undiscovered Value Fund, LP	DF	NIA	Private Advisors LLC	Ownership	100.000	New York Life Insurance Company	N	
			1			Undiscovered Value Fund,									
	00000	00000			0001605502	Ltd.	Undiscovered Value Fund, Ltd.	CYM	NI A	Private Advisors LLC	Other	0.000	New York Life Insurance Company	N	8
						Undiscovered Value Master			1					1	
	00000	00000				Fund SPC	Undiscovered Value Master Fund SPC	CYM	NI A	Undiscovered Value Fund, Ltd	Ownership	100.000	New York Life Insurance Company	N	
						New York Life Trust								1	
	00000	00000	13–3808042		. 0001033244	Company	New York Life Trust Company	NY	NI A	NYLIFE LLC	Ownership	100.000	New York Life Insurance Company	N	ļ

Asterisk	Explanation
1 Contractual Client - Madisor	Capital Funding LLC, an indirect wholly owned affiliate of the Company, has contractual control of this entity's loan portfolio.
Control of this entity is pu	ursuant to an investment management contract with New York Life Investment Management Holdings LLC or affiliate, not through ownership of voting interests.
GoldPoint Partners LLC owns	24.66% of the voting management shares. NYLCAP India Funding LLC owns 36% of non-voting carry shares.
4 GoldPoint Partners LLC owns	24.66% of the voting management shares. NYLCAP India Funding III LLC owns 31.36% of non-voting carry shares.
5 Investment Pool - Investment	pool of leveraged loans managed by New York Life Investment Management LLC, an indirect wholly owned affiliate of the Company.
Reliance Relationship - Enti	re proceeds of the entity are invested in a funding agreement of the Company.
7 Energy Contracts and Aircraf	t Loans Investments - with 100% of the investments coming from the Company and its wholly owned affiliate New York Life Insurance and Annuity Corporation.
Control of this entity is pu	ursuant to an investment management contract with Private Advisors LLC, or affiliate, not through ownership of voting interests.

#### SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

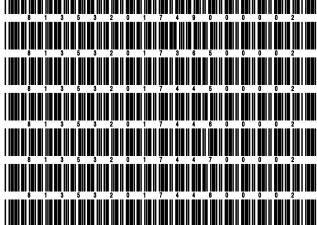
	<u> </u>	Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
	·	NO NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
	Explanation:	
	Explanation:	
1.		
2.		
3.		
4.		
5.		
6.		
7.		
	Bar Code:	
1.	Trusteed Surplus Statement [Document Identifier 490]	
2.	Medicare Part D Coverage Supplement [Document Identifier 365]	
3.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	
4	Reasonableness and Consistency of Assumptions Certification required by	<b>                                    </b>

Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]

Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]

Actuarial Guideline XXXV [Document Identifier 446]

- Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



# STATEMENT AS OF JUNE 30, 2017 OF THE NYLIFE INSURANCE COMPANY OF ARIZONA OVERFLOW PAGE FOR WRITE-INS

#### **SCHEDULE A - VERIFICATION**

Real Estate

		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted rying		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

#### **SCHEDULE B - VERIFICATION**

Mortgage Loans

	Mongage Loans		
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage in lest plant and mitmen ses		
9.	Total foreign exchange change in book value/receded involument exchange accrued interest		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

#### **SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	Other Long-Term Invested Assets		
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value, December 31 of prior year		1,074
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		1,074
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

#### **SCHEDULE D - VERIFICATION**

Bonds and Stocks

	Bolius and Stocks		
		1	2
			Prior Year Ended
		Year to Date	December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	148,582,826	152,855,582
2.	Cost of bonds and stocks acquired	7,332,143	39,691,092
3.	Accrual of discount	49,728	92,795
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	60,742	(465,838
6.	Deduct consideration for bonds and stocks disposed of	13,547,894	43,303,716
7.	Deduct amortization of premium	132,815	279,609
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		7,480
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	142,344,730	148,582,826
11.	Deduct total nonadmitted amounts		
12	Statement value at end of current period (Line 10 minus Line 11)	142.344.730	148.582.826

## **SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	During the Current Quarter for	2	3	4	5	6	7	8
	Book/Adjusted	2	3	4	Book/Adjusted	Book/Adjusted	Book/Adjusted	Book/Adjusted
	Carrying Value	Acquisitions	Dispositions	Non-Trading Activity	Carrying Value	Carrying Value	Carrying Value	Carrying Value
	Beginning	During	During	During	End of	End of	End of	December 31
NAIC Designation	of Current Quarter	Current Quarter	Current Quarter	Current Quarter	First Quarter	Second Quarter	Third Quarter	Prior Year
BONDS								
1. NAIC 1 (a)	145,033,656	38,795,128	44,321,351	(528,478)	145,033,656	138,978,955		142,213,294
2. NAIC 2 (a)	10,227,244		100,000	499,464	10,227,244	10,626,708		9,291,867
3. NAIC 3 (a)	1,484,261		986,980	2,665	1,484,261	499,946		1,481,038
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	156,745,161	38,795,128	45,408,331	(26,349)	156,745,161	150,105,609		152,986,199
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds and Preferred Stock	156,745,161	38,795,128	45,408,331	(26,349)	156,745,161	150,105,609		152,986,199

a	Book/Ad	usted (	Carrying	Value	column	for the	end o	of the	current	reportin	na ne	riod i	include	es the	following	ia amo	unt of	short	t-term	and a	cash e	equival	ent bo	nds b	v NA	JC de	siana	ation

#### **SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	14,074	XXX	14,073	7 5011 10 2 5110	1 0011 10 = 0110

#### **SCHEDULE DA - VERIFICATION**

Short-Term Investments

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	393,997	8,228,570
2.	Cost of short-term investments acquired	18,083,998	67, 122, 803
3.	Accrual of discount		13,043
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		3,066
6.	Deduct consideration received on disposals	18,463,921	74,973,485
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	14,074	393,997
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	14,074	393,997

# Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards NONE

Schedule DB - Part B - Verification - Futures Contracts

#### NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open **NONE** 

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open **NONE** 

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

NONE

#### **SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	, , ,	1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	4,009,376	6,459,892
2.	Cost of cash equivalents acquired	64,910,209	79,780,020
3.	Accrual of discount	21,513	9,901
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals	116	299
6.	Deduct consideration received on disposals	61,194,408	82,240,270
7.	Deduct amortization of premium		466
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	7,746,806	4,009,376
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	7,746,806	4,009,376